

Message

**From:** UBS Financial Services Inc. [elert@[REDACTED]]  
**Sent:** 6/18/2014 5:13:43 AM  
**To:** gmax1@[REDACTED]  
**Subject:** Your UBS Investment Advisory ADV is now available  
**Attachments:** FormADV\_Brochure.pdf



## Professional and biographical information about your Financial Advisors is attached

The attached Form ADV Brochure Supplement provides important information about your Financial Advisors and how we supervise them.

We have included Brochure Supplements for all UBS employees who contribute to the support and services you receive from us even if you do not work directly with them on a ongoing basis. If there are updates or additions to the team that serves you, we provide **only** those brochures - not ones you received previously.

We are required by Securities and Exchange Commission regulations to provide this information to you.

### Questions

If you have questions, please contact your Financial Advisors or the Supervisors at the phone numbers provided in the attached Brochure Supplements.

### Disclosure

We are providing this information to you to comply with Securities and Exchange Commission requirements for investment advisory programs and services.

We consider your enrollment and continued use of our e-Delivery services as your agreement to the terms and conditions for e-Delivery.

### Notice

#### Why this is important

The Securities and Exchange Commission requires us to provide this information to you.

This information supplements the firm's Form ADV Disclosure Brochure, which provides information about UBS Financial Services Inc.'s investment advisory programs and services, related fees and how UBS and your Financial Advisors are compensated, as well as potential conflicts of interest.

Please retain this information for future reference, as it contains important information that you may want to reference should you decide to add services or establish additional advisory accounts with your Financial Advisors.