

Message

From: Ramdeen, Vijai [REDACTED]
Sent: 9/15/2014 10:27:54 PM
To: [REDACTED]
CC: Casriel, Lyle [REDACTED]; Klein, Matthew [REDACTED]; Shkreli, Juliana [REDACTED]; Waldron, Chelsey Devon [REDACTED]
Subject: MAXWELL - Trade

Ghislaine,

Per your conversation with Scott and Lyle, we sold your position (301 shares) in ETF Metal Securities Ltd ETFS Physical Gold (EFMSF) and bought (1,113 shares) Blackstone Group (BX) with the proceeds.

Please let us know if you have any questions.

Thanks,
Vijai

Vijai Ramdeen
Authorized Officer
Senior Wealth Strategy Associate

UBS Financial Services Inc.
Private Wealth Management
299 Park Avenue, 25th Floor
New York, NY 10171
Tel. [REDACTED]
Fax [REDACTED]
Int'l Fax [REDACTED]

[REDACTED]
www.ubs.com/privatewealthmanagement

The information set forth herein was obtained from sources which we believe to be reliable, but we do not guarantee its accuracy. Please refer to your trade confirmations, account statement and/or Form 1099 for actual trade and/or pricing information. Any profits or losses shown, or similar calculations, should be verified against the applicable source documents. Factors such as original issue discount and amortization of premiums may impact your tax considerations. Please consult your tax professional. Neither the information, nor any opinion expressed herein, constitutes a solicitation for the purchase or sale of any securities.

Please be advised that this e-mail is not an official transaction confirmation. The official confirmation of this transaction will be sent to you via regular mail