

Message

From: [REDACTED] s [REDACTED] h]
Sent: 3/6/2015 7:48:51 PM
To: Waldron, Chelsey Devon [REDACTED] h]
CC: Shkreli, Juliana [REDACTED]; Ramdeen, Vijai [REDACTED] h]
Subject: FW: Maxwell: Account Amendment Agreement
Attachments: Maxwell UBS.pdf

Good afternoon,

Please see attached the signed account amendment agreement from Ms. Maxwell.

Kind regards,

[REDACTED]

From: NY-Ghislaine
Date: Wednesday, March 4, 2015 at 12:40 PM
To: Scott Stackman, TheTerraMarProject, [REDACTED],
[REDACTED] Juliana Shkreli, Chelsey Waldron
Cc: [REDACTED]
Subject: Re: Maxwell: Account Amendment Agreement

Thank you for sending. I will sign and get it back to you on Friday
Best
G

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From: Scott Stackman
Date: Tuesday, March 3, 2015 at 18:48 PM
To: TerraMar Max, [REDACTED]
[REDACTED]
Subject: FW: Maxwell: Account Amendment Agreement

Ghislaine,

We wanted to reach out to you regarding an exception we would like to obtain for your accounts held at UBS. Currently UBS requires a minimum of 3 securities/positions in each individual account. Our typical account structure lends us to separate different asset classes into different accounts (i.e. equities/fixed income/etc) sometimes not meeting the firm minimum of 3 securities/positions per account. UBS is in the process of eliminating this three account rule and looking across the whole relationship instead, however that may take some time. We spoke with management and they have

given us an exception for our clients. In order to utilize the exception we need for you to sign an exception amendment, which is attached here. Please let us know when you might have some time to discuss further.

Thanks,

Scott and Lyle