

Message

From: [REDACTED]
Sent: 4/1/2015 8:59:26 PM
To: Waldron, Chelsey Devon [REDACTED]
Subject: Re: MAXWELL - RE: Adding Signatory to accounts
Attachments: image001.gif

Thanks so much.

[REDACTED]

From: Chelsey Waldron
Date: Wednesday, April 1, 2015 at 4:29 PM
To: [REDACTED]
Cc: Juliana Shkreli, [REDACTED]", Chelsey Waldron
Subject: RE: MAXWELL - RE: Adding Signatory to accounts

Hi [REDACTED],

Thank you for returning the signed document.

Also, as discussed the transfer is complete.

Thank you,
Chelsey

From: [REDACTED]
Sent: Wednesday, April 01, 2015 12:01 PM
To: Waldron, Chelsey Devon
Cc: Shkreli, Juliana; Ramdeen, Vijai
Subject: Re: MAXWELL - RE: Adding Signatory to accounts

Hi Chelsey,

Attached is the signed POA for adding Daniel.

Ms. Maxwell would also like to transfer \$50,000 from her savings to her 116 E 65th acct ending in *692.

Many thanks,

[REDACTED]

From: Chelsey Waldron
Date: Monday, March 30, 2015 at 2:05 PM
To: [REDACTED]
Cc: Chelsey Waldron
Subject: RE: MAXWELL - RE: Adding Signatory to accounts

[REDACTED],

Please give me a quick call to discuss the attached at [REDACTED].

Thank you!
Chelsey

From: [REDACTED]
Sent: Thursday, March 19, 2015 10:35 AM
To: Waldron, Chelsey Devon
Subject: Re: MAXWELL - RE: Adding Signatory to accounts

Yes, adding Daniel to all of her accounts.

Many thanks,

[REDACTED]

Sent from my BlackBerry 10 smartphone.

From: [REDACTED]
Sent: Thursday, March 19, 2015 10:22 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: MAXWELL - RE: Adding Signatory to accounts

Hi [REDACTED],

I just wanted to follow-up on the below.

Thank you.

From: Waldron, Chelsey Devon
Sent: Wednesday, March 18, 2015 2:13 PM
To: [REDACTED]
Cc: Waldron, Chelsey Devon
Subject: RE: MAXWELL - RE: Adding Signatory to accounts

Hi [REDACTED],

I wanted to confirm that we are adding Daniel to all of Ms. Maxwell's accounts.

If not, please share the accounts we should add Daniel to.

Thank you!
Chelsey

From: Waldron, Chelsey Devon
Sent: Tuesday, March 10, 2015 4:03 PM
To: [REDACTED]
Cc: Ramdeen, Vijai; Shkreli, Juliana; Waldron, Chelsey Devon
Subject: FW: MAXWELL - RE: Adding Signatory to accounts

Hi [REDACTED],

The two forms below will provide Daniel authority to all accounts. Please see below and call me with any questions, [REDACTED].

1. Authorized Agent/Dual Signor Addendum Form

· This will give Ms. Maxwell's accountant access to cut and sign checks only from accounts that have checking, listed below.

- [REDACTED] Pot & Kettle, LLC
- [REDACTED] Ghislaine Maxwell
- [REDACTED] ELLMAX, LLC
- [REDACTED] 116 East 65th Street LLC
- [REDACTED] TerraMar Project, Inc.
- [REDACTED] MAX FOUNDATION
- [REDACTED] Max Hotel Services Corp.

2. Power of Attorney Form

· This will allow the accountant to call the branch and request checks from accounts that do not have the checking service on the account. Please note that these checks can only be made for the benefit of the Ms. Maxwell.

Thank you,
Chelsey

From: [REDACTED]
Sent: Tuesday, March 10, 2015 10:18 AM
To: Waldron, Chelsey Devon
Subject: FW: MAXWELL - RE: Adding Signatory to accounts

Hi Chelsey,

Perhaps I'm not understanding correctly. Daniel has access to cut checks from all of her accounts (i.e. 116, TerraMar, Max Fdn, Max Hotel, and personal, etc.), correct? Daniel is saying it is just her personal account but from what I understand it is all of her accounts that could possibly have checks.

Thanks for helping clarify.

Best,
[REDACTED]

From: <Kesner>, Daniel
Date: Monday, March 9, 2015 at 5:29 PM
To: Chelsey Waldron, [REDACTED]
Cc: "[REDACTED]", Juliana Shkreli, "Guzman, Marylyn", "Saveriano, Isabel"
Subject: RE: MAXWELL - RE: Adding Signatory to accounts

Chelsey-

Thank you.

Do you need my passport # as well?

[REDACTED]-

I thought the intention was for us to assist in recording all activity for Ms. Maxwell and not just her personal account.

Please let us know and we will all proceed accordingly.

Regards,

Dan

DANIEL KESNER , CPA, Partner-in-Charge
Family Office & Business Management

Marks Paneth, LLP
685 Third Avenue, New York, NY 10017

E. [REDACTED]

M A R K S P A N E T H
ACCOUNTANTS & ADVISORS

From: [REDACTED]
Sent: Monday, March 09, 2015 11:28 AM
To: Kesner, Daniel
Cc: [REDACTED]; Guzman, Marylyn; Saveriano, Isabel;
[REDACTED]
Subject: RE: MAXWELL - RE: Adding Signatory to accounts

Hi Daniel,

Yes, please return both signed forms via email (PDF) and give me a call at [REDACTED] regarding your social.

The Authorized Agent/Dual Signor Addendum Form will give your access to cut and sign checks only from Ms. Maxwell's accounts that have checking.

The Power of Attorney Form will allow you to call the branch and request checks from accounts that do not have the checking service on the account. Please note that these checks can only be made for the benefit of the Ms. Maxwell.

Regarding dupe statements, once we receive the signed documents, we will be able to add you to receive dupe statements as Ms. Maxwell authorized you to receive on the Power of Attorney Form.

Thank you,
Chelsey

From: Kesner, Daniel [REDACTED]
Sent: Saturday, March 07, 2015 10:50 AM
To: Waldron, Chelsey Devon
Cc: Ramdeen, Vijai; [REDACTED]; Shkreli, Juliana; Guzman, Marylyn; Saveriano, Isabel
Subject: RE: MAXWELL - RE: Adding Signatory to accounts

Chelsey-

I came to work today to cleanup my Outlook (among other things) and realized that an email that I typed in January never went through to you.

I had signed all of the documents, but wanted to know if I could return them to you without including my SS#. Normally, I call the advisor/banker and give them the number over the phone to avoid the risk/exposure while in transit or in the hands of a messenger.

Is that something we can do?

I also wanted to understand what account(s) this pertained to. Is it just her personal accounts or also business?

Where will statements be mailed to? We will be reconciling the accounts in my office.

Thank you and I am sorry for the delay.

Regards,

Dan

DANIEL KESNER , CPA, Partner-in-Charge
Family Office & Business Management

Marks Paneth, LLP
685 Third Avenue, New York, NY 10017

E. [REDACTED]

M A R K S P A N E T H

ACCOUNTANTS & ADVISORS

From: [REDACTED]

Sent: Thursday, January 08, 2015 9:54 AM

To: Kesner, Daniel

Cc: [REDACTED]

Subject: RE: MAXWELL - RE: Adding Signatory to accounts

Daniel,

Attached please find the paperwork completed by Ghislaine.

Please find instructions below on where to complete on each form. Please complete and return via email (PDF).

Please reach out with any questions.

Thank you,
Chelsey

1. Authorized Agent/Dual Signor Addendum Form

- Page 1 (**Daniel Only**)
- First, Last name
- Date of Birth
- Social Security Number
- Address
- Home Phone
- Sign and Date for "Agent Signature"
- Page 2 (**Ghislaine only**)
- Nothing for Daniel

2. Power of Attorney Form

- Page 1 (**Ghislaine only**)
- Nothing for Daniel
- Page 2 (**Daniel**)
- Daniel signs and date next to his name
- *Please note the client and witness date should be the same because they are signing in the presence of the witness*
- Page 3 (**Daniel Only**)
- Daniel complete all the information on this page

From: Shkreli, Juliana
Sent: Wednesday, January 07, 2015 5:20 PM
To: [REDACTED]
Cc: Ramdeen, Vijai; Waldron, Chelsey Devon; [REDACTED]
Subject: RE: MAXWELL - RE: Adding Signatory to accounts

[REDACTED],

Confirming we received your email. We will review and let you know if we have any questions.

Thanks,
Juliana

From: [REDACTED]
Sent: Wednesday, January 07, 2015 1:07 PM
To: Waldron, Chelsey Devon; [REDACTED]
Cc: Ramdeen, Vijai; Shkreli, Juliana
Subject: Re: MAXWELL - RE: Adding Signatory to accounts

Good afternoon,

Please see attached the signed POA from Ghislaine.

Best,
[REDACTED]

From: Chelsey Waldron
Date: Thursday, December 18, 2014 at 4:50 PM
To: [REDACTED], "[REDACTED]"
Cc: Chelsey Waldron, "[REDACTED]", Juliana Shkreli
Subject: RE: MAXWELL - RE: Adding Signatory to accounts

Hi [REDACTED] and Daniel,

Attached please find the two forms that need to be completed. Below are instructions on how to complete each form. Please call me with at questions ([REDACTED]).

Thank you,
Chelsey

1. Authorized Agent/Dual Signor Addendum Form

- Page 1 (**Daniel Only**)
 - First, Last name
 - Date of Birth
 - Social Security Number
 - Address
 - Home Phone
 - Sign and Date for "Agent Signature"
- Page 2 (**Ghislaine only**)
 - Sign and date bottom of page where it says 'Account Holder Signature'

2. Power of Attorney Form

- Page 1 (**Ghislaine only**)
 - Ghislaine initials in the space below her name (this will allow this POA to be applied to any future accounts open under the same name)
 - Ghislaine initials each authorization she would like to grant to you (there are 5 authorizations)
 - Do not sign the bottom of page 1
- Page 2 (**Ghislaine, Daniel & Witness**)
 - Ghislaine signs and dates next to her name
 - Complete First and Last name of a Witness and they sign and date next to their name ([REDACTED] you can serve as witness)
 - Daniel signs and date next to his name
 - *Please note the client and witness date should be the same because they are signing in the presence of the witness*
- Page 3 (**Daniel Only**)
 - Daniel complete all the information on this page

From: [REDACTED]
Sent: Wednesday, December 17, 2014 4:24 PM
To: Waldron, Chelsey Devon
Cc: [REDACTED]
Subject: FW: MAXWELL - RE: Adding Signatory to accounts

Hi Chelsey,

To follow up on our recent conversation, I have cc'd Ms. Maxwell's accountant, Daniel Kesner, so the two of you can liaise for his information for authority on Ms. Maxwell's accounts.

Many thanks,
[REDACTED]

From: [REDACTED]
Sent: Wednesday, December 17, 2014 1:11 PM
To: [REDACTED]
Cc: [REDACTED]; [REDACTED]
Subject: RE: MAXWELL - RE: Adding Signatory to accounts

Hi [REDACTED],

Regarding adding Ms. Maxwell's accountant authority to cut and sign checks from all her accounts there are two forms that need to be completed. Please find details regarding each form below. Please call me at [REDACTED] to discuss.

1. Authorized Agent/Dual Signor Addendum Form

· This will give Ms. Maxwell's accountant access to cut and sign checks only from accounts that have checking, listed below. Please note, this form does not have a set dollar limit attached.

- [REDACTED] Pot & Kettle, LLC
- [REDACTED] Ghislaine Maxwell (Individual Checking)
- [REDACTED] ELLMAX, LLC
- [REDACTED] 116 East 65th Street LLC
- [REDACTED] TerraMar Project, Inc.
- [REDACTED] MAX FOUNDATION
- [REDACTED] Max Hotel Services Corp.

2. Power of Attorney Form

· This will allow the accountant to call the branch and request checks from accounts that do not have the checking service on the account. Please note that these checks can only be made for the benefit of the Ms. Maxwell.

Thank you,
Chelsey

Any tax advice in this e-mail should be considered in the context of the tax services we are providing to you. Preliminary tax advice should not be relied upon and may be insufficient for penalty protection.

CONFIDENTIALITY NOTE:

This transmission may contain confidential and/or privileged information. This information is intended for use by the individual or entity named above. If you are not the intended recipient, be aware that any disclosures, copying, distribution or use of the contents of this information is prohibited. If you have received this transmission in error, please notify this office immediately.

Any tax advice in this e-mail should be considered in the context of the tax services we are providing to you. Preliminary tax advice should not be relied upon and may be insufficient for penalty protection.

CONFIDENTIALITY NOTE:

This transmission may contain confidential and/or privileged information. This information is intended for use by the individual or entity named above. If you are not the intended recipient, be aware that any disclosures, copying, distribution or use of the contents of this information is prohibited. If you have received this transmission in error, please notify this office immediately.