

Message

---

**From:** [REDACTED]  
**Sent:** 9/9/2015 4:58:22 PM  
**To:** [REDACTED]  
**CC:** [REDACTED]; [REDACTED]  
**Subject:** Re: MAXWELL - RE: Ghislaine's accountants

Thanks so much!

---

**From:** "[REDACTED]@ubs.com"  
**Date:** Wednesday, September 9, 2015 at 12:45 PM  
**To:** [REDACTED]  
**Cc:** Molly Ladd, Chelsey Waldron  
**Subject:** MAXWELL - RE: Ghislaine's accountants

[REDACTED],

See attached – let us know if this is helpful and if there are any questions.

Thanks,  
Vijai

Vijai Ramdeen  
Associate Director  
Senior Wealth Strategy Associate

UBS Financial Services Inc.  
Private Wealth Management  
299 Park Avenue, 25th Floor  
New York, NY 10171

[REDACTED]  
[REDACTED]  
[REDACTED]  
[www.ubs.com/privatewealthmanagement](http://www.ubs.com/privatewealthmanagement)

The information set forth herein was obtained from sources which we believe to be reliable, but we do not guarantee its accuracy. Please refer to your trade confirmations, account statement and/or Form 1099 for actual trade and/or pricing information. Any profits or losses shown, or similar calculations, should be verified against the applicable source documents. Factors such as original issue discount and amortization of premiums may impact your tax considerations. Please consult your tax professional. Neither the information, nor any opinion expressed herein, constitutes a solicitation for the purchase or sale of any securities.

Please be advised that this e-mail is not an official transaction confirmation. The official confirmation of this transaction will be sent to you via regular mail

---

**From:** [REDACTED]  
**Sent:** Wednesday, September 09, 2015 10:40 AM  
**To:** Waldron, Chelsey  
**Cc:** Shkreli, Juliana; Ramdeen, Vijai; Ladd, Molly  
**Subject:** Ghislaine's accountants

Good morning,

Ghislaine's accountants are requesting I provide any capital gains / losses to-date for 2015. I directed them to use the UBS site to obtain that information but they claim they do not have access to that portion.

Is it possible for you to send me these reports so I can pass to them? I'm not even sure what I am looking for! Or do they have access to it and could you let me know where I should tell them to look?

Many thanks!

■