

Message

From: Ladd, Molly [REDACTED]
Sent: 3/3/2017 6:45:22 PM
To: G Max [REDACTED]
CC: Shkreli, Juliana [REDACTED]; Klein, Matthew [REDACTED]; Ladd, Molly [REDACTED]
Subject: RE: MAXWELL: Investment Paperwork

Ghislaine,

Thank you! We will review and reach out with any questions.

Best,
Molly

Molly Ladd
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

From: G Max [REDACTED]
Sent: Friday, March 03, 2017 1:38 PM
To: Ladd, Molly
Cc: Shkreli, Juliana; Klein, Matthew
Subject: Re: MAXWELL: Investment Paperwork

let me know if this is ok

From: "[REDACTED]" <[REDACTED]>
Date: Friday, March 3, 2017 at 1:21 PM
To: GMAX <[REDACTED]>
Cc: "[REDACTED]" <[REDACTED]>, "[REDACTED]" <[REDACTED]>, [REDACTED] <[REDACTED]>
Subject: RE: MAXWELL: Investment Paperwork

Ghislaine,

Thank you for returning! Can you please update the date to reflect 2017? I have re-attached the blank document if you would like to re-sign.

Thank you!
Molly

Molly Ladd
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

From: G Max [REDACTED]
Sent: Friday, March 03, 2017 11:09 AM
To: Ladd, Molly
Cc: Shkreli, Juliana; Klein, Matthew
Subject: Re: MAXWELL: Investment Paperwork

Please find attached signature
thx

From: "[REDACTED]" <[REDACTED]>
Date: Thursday, February 23, 2017 at 3:13 PM
To: GMAX <[REDACTED]>
Cc: "[REDACTED]" <[REDACTED]>, [REDACTED] <[REDACTED]>, "[REDACTED]" <[REDACTED]>
Subject: RE: MAXWELL: Investment Paperwork

Ghislaine,

Please find this paperwork attached. Please sign and date where indicated and return via email (PDF). Please don't hesitate to reach out with any questions.

Thank you!
Molly

Molly Ladd
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

From: G Max [REDACTED]
Sent: Thursday, February 23, 2017 2:42 PM
To: Ladd, Molly
Subject: Re: MAXWELL: Investment Paperwork

Thank you. E mail is best

On Feb 23, 2017, at 1:03 PM, "[REDACTED]" <[REDACTED]> wrote:

Ghislaine,

As discussed with Scott and Lyle, we have investment redemption paperwork for you to complete. Can you please confirm if it is easiest for us to mail or email you this paperwork? We do not need originals.

Thank you!
Molly

Molly Ladd
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

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policies. For your protection, please do not transmit orders
or instructions by e-mail or include account numbers, Social
Security numbers, credit card numbers, passwords, or other
personal information.