

Message

From: Rivas, Elyanna [REDACTED]
Sent: 4/10/2017 9:14:44 PM
To: [REDACTED]
CC: Ladd, Molly [REDACTED]; Ramdeen, Vijai [REDACTED]; Shkreli, Juliana [REDACTED@ubs.com]
Subject: RE: MAXWELL: 1099s

[REDACTED],

The below accounts did not generate 2016 1099 forms. Please let me know how I can be of further assistance.

Thank you!
Ely



Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management
1285 Avenue of the Americas, 38th Floor
New York, NY 10019
Phone: [REDACTED] Fax: [REDACTED]
[REDACTED]

From: Shkreli, Juliana
Sent: Monday, April 10, 2017 4:56 PM
To: [REDACTED]
Cc: Ramdeen, Vijai; Ladd, Molly; Rivas, Elyanna; Shkreli, Juliana
Subject: MAXWELL: 1099s

[REDACTED],

Confirming we received your email. We will work on this.

Thanks,
Juliana

From: [REDACTED]
Sent: Monday, April 10, 2017 4:39 PM
To: Shkreli, Juliana; Ramdeen, Vijai; Ladd, Molly
Subject: 1099s

Good afternoon,

Ms. Maxwell's accountants are asking for the 2016 1099s for accounts

- UBS account ending [REDACTED]
- UBS account ending [REDACTED]
- UBS account ending [REDACTED]

Is it possible for you to send these via email so I can forward on to them please?

Thank you!

