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**From:** Rivas, Elyanna [REDACTED]  
**Sent:** 9/18/2017 8:25:27 PM  
**To:** [REDACTED]  
**CC:** Ramdeen, Vijai [REDACTED]; Shkreli, Juliana [REDACTED]  
**Subject:** RE: Maxwell

[REDACTED],

Confirming we sent Lindsay and Laura instructions on setting up their online access for Ms. Maxwell's accounts.

Thank you!  
Ely



Elyanna Rivas  
Client Service Associate

UBS Financial Services Inc.  
Private Wealth Management  
1285 Avenue of the Americas, 38<sup>th</sup> Floor  
New York, NY 10019  
Phone: [REDACTED] Fax: [REDACTED]  
Elyanna: [REDACTED]

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**From:** Rivas, Elyanna  
**Sent:** Wednesday, September 13, 2017 9:20 AM  
**To:** [REDACTED]  
**Cc:** Ramdeen, Vijai; Shkreli, Juliana  
**Subject:** RE: Maxwell

Hi [REDACTED],

We just wanted to let you know we left Ms. Maxwell another voicemail today on the below.

Thank you!  
Ely



Elyanna Rivas  
Client Service Associate

UBS Financial Services Inc.  
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1285 Avenue of the Americas, 38<sup>th</sup> Floor  
New York, NY 10019  
Phone: [REDACTED] Fax: [REDACTED]  
[REDACTED]

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**From:** Rivas, Elyanna  
**Sent:** Thursday, September 07, 2017 4:00 PM  
**To:** [REDACTED]  
**Cc:** Ramdeen, Vijai  
**Subject:** RE: Maxwell

[REDACTED],

Thank you for updating us on Ms. Maxwell's availability below. We will try to reach her tomorrow morning on her cell at [REDACTED]. Please confirm if there is a better number on which to reach her.

Thank you!  
Ely



Elyanna Rivas  
Client Service Associate

UBS Financial Services Inc.  
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1285 Avenue of the Americas, 38<sup>th</sup> Floor  
New York, NY 10019  
Phone: [REDACTED] Fax: [REDACTED]  
[REDACTED]

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**From:** [REDACTED]  
**Sent:** Thursday, September 07, 2017 3:25 PM  
**To:** Rivas, Elyanna  
**Cc:** Ramdeen, Vijai  
**Subject:** Re: Maxwell

Hi Elyanna,  
Ms. Maxwell is a few hours ahead of us right now as she is travelling. Perhaps you can try her tomorrow morning our time? It's already a bit late for her now.  
Thank you very much,  
[REDACTED]

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**From:** [REDACTED]  
**Date:** Thursday, September 7, 2017 at 3:23 PM  
**To:** [REDACTED] >  
**Cc:** "[REDACTED]" <[REDACTED]>  
**Subject:** RE: Maxwell

Hi [REDACTED],

Thank you for providing the below information. Does Ms. Maxwell have availability sometime this afternoon or tomorrow to confirm the below?

Thank you!  
Ely



Elyanna Rivas  
Client Service Associate

UBS Financial Services Inc.  
Private Wealth Management  
1285 Avenue of the Americas, 38<sup>th</sup> Floor  
New York, NY 10019  
Phone: [REDACTED] Fax: [REDACTED]  
[REDACTED]

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**From:** [REDACTED] [REDACTED]  
**Sent:** Thursday, September 07, 2017 12:19 PM  
**To:** Rivas, Elyanna  
**Cc:** Shkreli, Juliana; Ramdeen, Vijai  
**Subject:** Re: Maxwell

Thank you, Elyanna.

Lindsay J. Annello <[REDACTED]>  
Laura K. Barooshian <[REDACTED]>

DICICCO, GULMAN & COMPANY LLP  
150 Presidential Way, Suite 510  
Woburn, MA 01801  
O: [REDACTED]  
F: [REDACTED]

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**From:** "[REDACTED]" <[REDACTED]>  
**Date:** Wednesday, September 6, 2017 at 5:32 PM  
**To:** [REDACTED]ellmax.com>  
**Cc:** Juliana Shkreli <[REDACTED]>, "[REDACTED]" <[REDACTED]>  
**Subject:** RE: Maxwell

Hi [REDACTED],

Per the request below, please provide the contact information for Ms. Maxwell's new accountants who want online access, including first and last name, email, phone number, and address. Once we have this information on file, we will reach out to Ms. Maxwell to verbally confirm the information for online access.

Please let us know if you have any questions.

Thank you!  
Ely



Elyanna Rivas  
Client Service Associate

UBS Financial Services Inc.  
Private Wealth Management  
1285 Avenue of the Americas, 38<sup>th</sup> Floor  
New York, NY 10019

Phone: [REDACTED] Fax: [REDACTED]  
[REDACTED]

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**From:** Rivas, Elyanna  
**Sent:** Wednesday, September 06, 2017 5:04 PM  
**To:** [REDACTED]  
**Cc:** Shkreli, Juliana; Ramdeen, Vijai; Rivas, Elyanna  
**Subject:** RE: Maxwell

Hi [REDACTED],

Confirming we are looking into this.

Thank you!  
Ely



Elyanna Rivas  
Client Service Associate

UBS Financial Services Inc.  
Private Wealth Management  
1285 Avenue of the Americas, 38<sup>th</sup> Floor  
New York, NY 10019

Phone: [REDACTED] Fax: [REDACTED]  
[REDACTED]

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**From:** [REDACTED]  
**Sent:** Wednesday, September 06, 2017 1:44 PM  
**To:** Rivas, Elyanna; Shkreli, Juliana; Ramdeen, Vijai  
**Subject:** Maxwell

Good afternoon,

Ms. Maxwell has new accountants so we would like to make sure they are given online access to her accounts. I'm certain this will require forms for Ms. Maxwell's signature so I will wait to hear from you on the next steps.

Thanks so much,

[REDACTED]