

Message

**From:** [REDACTED]  
**Sent:** 4/18/2018 5:32:26 PM  
**To:** [REDACTED]  
**CC:** [REDACTED]  
**Subject:** Secure Document Pick Up: RE: Secure Document Pick Up: MAXWELL:RE: Re phone call conversation yesterday

**UBS Secure Document Pick Up**

For your privacy, we have forwarded the original email and any attachment(s) in this message to UBS Secure Document Pick Up.

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Go to UBS Secure Document Pick Up at [REDACTED] On the login page you will need to enter your PIN and the Message ID shown below. Please copy the Message ID and paste it into the box on the login page.

**Message ID:** jFlo8O%2BvBxqfoMk9HQ88Xb1wLXm5H3Ah

*Messages in Secure Document Pick Up will be automatically removed 72 hours from the time the message was sent.*

Hi Ghislaine,

Confirming we tried reaching you on your cell and left a voicemail to clarify your request for 2016 information. Please give us a call back at 212-821-7058.

In addition, please see the attached 2017 statements for your credit card [REDACTED]. Please note there were no statements generated for 2018 thus far, due to no credit card activity.

Due to regulatory guidelines we are required to send this e-mail securely. The PIN to access the attachments was sent to your cell phone and is valid for 72 hours. We can re-send at any time if needed.

Thank you!  
Ely



Elyanna Rivas  
Client Service Associate

UBS Financial Services Inc.  
Private Wealth Management  
1285 Avenue of the Americas, 38<sup>th</sup> Floor  
New York, NY 10019  
Phone: 212-821-7058 Fax: 855-217-9863  
[REDACTED]

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**From:** Shkreli, Juliana  
**Sent:** Monday, April 16, 2018 6:14 PM  
**To:** G Max  
**Cc:** Merchant, Ruhj; Stackman, Scott; Casriel, Lyle; Rivas, Elyanna; Ramdeen, Vijai; Shkreli, Juliana  
**Subject:** RE: Secure Document Pick Up: RE: Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

Ghislaine,

Confirming we received your email. We will review and get back to you.

Thank you,  
Juliana

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**From:** G Max [REDACTED]  
**Sent:** Monday, April 16, 2018 2:38 PM  
**To:** Rivas, Elyanna  
**Cc:** Shkreli, Juliana; Merchant, Ruhi; Stackman, Scott; Casriel, Lyle  
**Subject:** Re: Secure Document Pick Up: RE: Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

Sorry , I missed my time lone on these docs ? can you resend also can you send any other card and information for 2016 that you have  
Thank you  
Best  
G

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**From:** Eyanna Rivas <[REDACTED]>  
**Reply-To:** Eyanna Rivas <[REDACTED]>  
**Date:** Wednesday, April 11, 2018 at 3:33 PM  
**To:** GMAX <[REDACTED]>  
**Cc:** Juliana Shkreli <[REDACTED]>, "[REDACTED]" <[REDACTED]>, Scott Stackman <[REDACTED]>, "[REDACTED]" <[REDACTED]>  
**Subject:** Secure Document Pick Up: RE: Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

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**Message ID:** [REDACTED]

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Hi Ghislaine,

Attached are the 2017 statements for your credit card ([REDACTED]) Please note there were no statements generated for 2018 thus far, due to no credit card activity.

Due to regulatory guidelines we are required to send this e-mail securely. The PIN to access the attachments was sent to your cell phone and is valid for 72 hours. We can re-send at any time if needed.

Please let us know if you have any questions.  
Thank you!  
Ely



Elyanna Rivas  
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[REDACTED]

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**From:** Rivas, Elyanna  
**Sent:** Wednesday, April 11, 2018 10:31 AM  
**To:** 'G Max'  
**Cc:** Shkreli, Juliana; Ramdeen, Vijai; Merchant, Ruhi; Stackman, Scott; Casriel, Lyle  
**Subject:** RE: Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

Hi Ghislaine,

Confirming we received your email. We will review and get back to you.

Thank you!  
Ely



Elyanna Rivas  
Client Service Associate

UBS Financial Services Inc.  
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1285 Avenue of the Americas, 38<sup>th</sup> Floor  
New York, NY 10019  
Phone: 212-821-7058 Fax: 855-217-9863  
[REDACTED]

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**From:** G Max [REDACTED]  
**Sent:** Wednesday, April 11, 2018 10:04 AM  
**To:** Rivas, Elyanna  
**Cc:** Shkreli, Juliana; Ramdeen, Vijai; Merchant, Ruhi; Stackman, Scott; Casriel, Lyle  
**Subject:** Re: Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

Elyanna, thank you for sending 2016 credit card information  
Could you send me 2017 and 2018 via the same method? Appreciate

Thank you

**From:** Eyanna Rivas <[REDACTED]>  
**Reply-To:** Eyanna Rivas <[REDACTED]>  
**Date:** Tuesday, April 10, 2018 at 6:17 PM  
**To:** GMAX <[REDACTED]>  
**Cc:** Juliana Shkreli <[REDACTED]>, Vijai Ramdeen <[REDACTED]>,  
"[REDACTED]" <[REDACTED]>, Scott Stackman <[REDACTED]>,  
"[REDACTED]" <[REDACTED]>  
**Subject:** Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

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**Message ID:** [REDACTED]

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Hi Ghislaine,

As discussed, confirming your address has been updated for your UBS accounts. In addition, both debit cards ending in [REDACTED], along with the Visa Signature credit card ending in [REDACTED] are canceled. The TerraMar Visa Signature credit card ending in [REDACTED] remains active. We have ordered you a new credit card for delivery to your new address in Boston, MA. Please allow 5 ? 7 business days for delivery and confirm receipt.

Also, attached are the 2016 statements for your credit card [REDACTED]. Due to regulatory guidelines we are required to send this e-mail securely. The PIN to access the attachments was sent to your cell phone and is valid for 72 hours. We can re-send at any time if needed.

Please let us know if you have any questions.

Thank you!  
Ely



Elyanna Rivas  
Client Service Associate

UBS Financial Services Inc.  
Private Wealth Management  
1285 Avenue of the Americas, 38<sup>th</sup> Floor  
New York, NY 10019  
Phone: 212-821-7058 Fax: 855-217-9863  
[REDACTED]

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**From:** Rivas, Elyanna  
**Sent:** Tuesday, April 10, 2018 11:12 AM  
**To:** 'G Max'  
**Cc:** Shkreli, Juliana; Ramdeen, Vijai; Merchant, Ruhi; Stackman, Scott; Casriel, Lyle  
**Subject:** MAXWELL:RE: Re phone call conversation yesterday

Hi Ghislaine,

Confirming we received your email. We will work on this and get back to you.

Thank you!  
Ely



Elyanna Rivas  
Client Service Associate

UBS Financial Services Inc.  
Private Wealth Management  
1285 Avenue of the Americas, 38<sup>th</sup> Floor  
New York, NY 10019  
Phone: 212-821-7058 Fax: 855-217-9863  
[REDACTED]

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**From:** G Max [REDACTED]  
**Sent:** Tuesday, April 10, 2018 11:04 AM  
**To:** Rivas, Elyanna  
**Cc:** Shkreli, Juliana; Ramdeen, Vijai  
**Subject:** Re: Re phone call conversation yesterday

And PS

If I could have access to the credit card statements from 1<sup>st</sup> jan to 31<sup>st</sup> Dec 2016. If you could send those to me as soon as possible

thank you

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**From:** GMAX <[REDACTED]>  
**Date:** Tuesday, April 10, 2018 at 10:57 AM  
**To:** Eyanna Rivas <[REDACTED]>  
**Cc:** Juliana Shkreli <[REDACTED]>, Vijai Ramdeen [REDACTED] <[REDACTED]>  
**Subject:** Re phone call conversation yesterday

Thank you for your time yesterday

As discussed. You can delete any other address you have for me  
My new address going forward is

[REDACTED]

Please cancel debit card [REDACTED] and card ending in [REDACTED]  
We agreed that you would issue me with a new personal credit card

Do nothing with card ending in [REDACTED] (terramar card)

Please let me know if you have any questions

Thank you for you help

Best

G