

Message

From: Rivas, Elyanna [REDACTED]
Sent: 5/4/2018 9:50:18 PM
To: G Max [REDACTED]
CC: Stackman, Scott [REDACTED]; Casriel, Lyle [REDACTED]; Ramdeen, Vijai [REDACTED]; Shkreli, Juliana [REDACTED]
Subject: Secure Document Pick Up: MAXWELL:RE: Re phone call conversation yesterday
Attachments: TERRAMAR PROJECT- UBS Signature Card Statement [REDACTED] 2016.zip

Hi Ghislaine,

As discussed, please see the attached 2016 statements for the TerraMar credit card ([REDACTED]).

Due to regulatory guidelines we are required to send this e-mail securely. The PIN to access the attachments was sent to your cell phone and is valid for 72 hours. We can re-send at any time if needed.

Thank you!
Ely



Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]
[REDACTED]
Phone: [REDACTED] Fax: [REDACTED]
[REDACTED]

From: Rivas, Elyanna
Sent: Wednesday, April 18, 2018 1:32 PM
To: G Max
Cc: Stackman, Scott; Casriel, Lyle; Ramdeen, Vijai; Shkreli, Juliana
Subject: Secure Document Pick Up: RE: Secure Document Pick Up: MAXWELL:RE: Re phone call conversation yesterday

Hi Ghislaine,

Confirming we tried reaching you on your cell and left a voicemail to clarify your request for 2016 information. Please give us a call back at [REDACTED].

In addition, please see the attached 2017 statements for your credit card ([REDACTED]). Please note there were no statements generated for 2018 thus far, due to no credit card activity.

Due to regulatory guidelines we are required to send this e-mail securely. The PIN to access the attachments was sent to your cell phone and is valid for 72 hours. We can re-send at any time if needed.

Thank you!
Ely



Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

Phone: [REDACTED] Fax: [REDACTED]

From: Shkreli, Juliana
Sent: Monday, April 16, 2018 6:14 PM
To: G Max
Cc: Merchant, Ruhi; Stackman, Scott; Casriel, Lyle; Rivas, Elyanna; Ramdeen, Vijai; Shkreli, Juliana
Subject: RE: Secure Document Pick Up: RE: Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

Ghislaine,

Confirming we received your email. We will review and get back to you.

Thank you,
Juliana

From: G Max [mailto:[REDACTED]]
Sent: Monday, April 16, 2018 2:38 PM
To: Rivas, Elyanna
Cc: Shkreli, Juliana; Merchant, Ruhi; Stackman, Scott; Casriel, Lyle
Subject: Re: Secure Document Pick Up: RE: Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

Sorry , I missed my time lone on these docs – can you resend
also can you send any other card and information for 2016 that you have
Thank you
Best
G

From: Eyanna Rivas <[REDACTED]>
Reply-To: Eyanna Rivas <[REDACTED]>
Date: Wednesday, April 11, 2018 at 3:33 PM
To: GMAX <[REDACTED]>
Cc: Juliana Shkreli <[REDACTED]>, "[REDACTED]" <[REDACTED]>, Scott Stackman <[REDACTED]>, "[REDACTED]" <[REDACTED]>
Subject: Secure Document Pick Up: RE: Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

UBS Secure Document Pick Up

For your privacy, we have forwarded the original email and any attachment(s) in this message to UBS Secure Document Pick Up.

To retrieve the email, please click [here](#) and enter your PIN, or:

Go to UBS Secure Document Pick Up at [REDACTED]. On the login page you will need to enter your PIN and the Message ID shown below. Please copy the Message ID and paste it into the box on the login page.

Message ID: [REDACTED]

Messages in Secure Document Pick Up will be automatically removed 72 hours from the time the message was sent.

Hi Ghislaine,

Attached are the 2017 statements for your credit card ([REDACTED]). Please note there were no statements generated for 2018 thus far, due to no credit card activity.

Due to regulatory guidelines we are required to send this e-mail securely. The PIN to access the attachments was sent to your cell phone and is valid for 72 hours. We can re-send at any time if needed.

Please let us know if you have any questions.

Thank you!

Ely



Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]

[REDACTED]

Phone: [REDACTED] Fax: [REDACTED]

[REDACTED]

From: Rivas, Elyanna

Sent: Wednesday, April 11, 2018 10:31 AM

To: 'G Max'

Cc: Shkreli, Juliana; Ramdeen, Vijai; Merchant, Ruhi; Stackman, Scott; Casriel, Lyle

Subject: RE: Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

Hi Ghislaine,

Confirming we received your email. We will review and get back to you.

Thank you!

Ely



Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

Phone: [REDACTED] Fax: [REDACTED]

From: G Max [mailto:[REDACTED]]
Sent: Wednesday, April 11, 2018 10:04 AM
To: Rivas, Elyanna
Cc: Shkreli, Juliana; Ramdeen, Vijai; Merchant, Ruhi; Stackman, Scott; Casriel, Lyle
Subject: Re: Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

Elyanna, thank you for sending 2016 credit card information
Could you send me 2017 and 2018 via the same method? Appreciate

Thank you

From: Eyanna Rivas <[REDACTED]>
Reply-To: Eyanna Rivas <[REDACTED]>
Date: Tuesday, April 10, 2018 at 6:17 PM
To: GMAX <[REDACTED]>
Cc: Juliana Shkreli <[REDACTED]>, Vijai Ramdeen <[REDACTED]>, "[REDACTED]" <[REDACTED]>, Scott Stackman <[REDACTED]>, "[REDACTED]" <[REDACTED]>
Subject: Secure Document Pick Up: RE: MAXWELL:RE: Re phone call conversation yesterday

UBS Secure Document Pick Up

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Go to UBS Secure Document Pick Up at [REDACTED]. On the login page you will need to enter your PIN and the Message ID shown below. Please copy the Message ID and paste it into the box on the login page.

Message ID: [REDACTED]

Messages in Secure Document Pick Up will be automatically removed 72 hours from the time the message was sent.

Hi Ghislaine,

As discussed, confirming your address has been updated for your UBS accounts. In addition, both debit cards ending in [REDACTED] and [REDACTED], along with the Visa Signature credit card ending in [REDACTED] are canceled. The TerraMar Visa Signature credit card ending in [REDACTED] remains active. We have ordered you a new credit card for delivery to your new address in Boston, MA. Please allow 5 ? 7 business days for delivery and confirm receipt.

Also, attached are the 2016 statements for your credit card ([REDACTED]). Due to regulatory guidelines we are required to send this e-mail securely. The PIN to access the attachments was sent to your cell phone and is valid for 72 hours. We can re-send at any time if needed.

Please let us know if you have any questions.

Thank you!
Ely



Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]

[REDACTED]

Phone: [REDACTED] Fax: [REDACTED]

[REDACTED]

From: Rivas, Elyanna
Sent: Tuesday, April 10, 2018 11:12 AM
To: 'G Max'
Cc: Shkreli, Juliana; Ramdeen, Vijai; Merchant, Ruhi; Stackman, Scott; Casriel, Lyle
Subject: MAXWELL:RE: Re phone call conversation yesterday

Hi Ghislaine,

Confirming we received your email. We will work on this and get back to you.

Thank you!
Ely



Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]

[REDACTED]

Phone: [REDACTED] Fax: [REDACTED]

[REDACTED]

From: G Max [mailto:[REDACTED]]
Sent: Tuesday, April 10, 2018 11:04 AM
To: Rivas, Elyanna
Cc: Shkreli, Juliana; Ramdeen, Vijai
Subject: Re: Re phone call conversation yesterday

And PS

If I could have access to the credit card statements from 1st jan to 31st Dec 2016. If you could send those to me as soon as possible

thank you

From: GMAX <[REDACTED]>
Date: Tuesday, April 10, 2018 at 10:57 AM
To: Eyanna Rivas <[REDACTED]>
Cc: Juliana Shkreli <[REDACTED]>, Vijai Ramdeen <[REDACTED]>
Subject: Re phone call conversation yesterday

Thank you for your time yesterday

As discussed. You can delete any other address you have for me
My new address going forward is

[REDACTED]
[REDACTED]

Please cancel debit card [REDACTED] and card ending in [REDACTED]
We agreed that you would issue me with a new personal credit card

Do nothing with card ending in [REDACTED] (terramar card)

Please let me know if you have any questions

Thank you for you help

Best

G