

Message

From: Shkreli, Juliana [REDACTED]
Sent: 4/15/2019 3:11:33 PM
To: 'Scott Borgerson' [REDACTED]
CC: Casriel, Lyle [REDACTED]; GMAX [gmax1@ellmax.com]; Walker, Christopher [REDACTED]; Ramdeen, Vijai [REDACTED]; Rivas, Elyanna [REDACTED]; Shkreli, Juliana [REDACTED]
Subject: RE: [External] Re: AlphaKeys Millennium Fund transfer paperwork

Scott,

You can disregard the package you received. We're completing the paperwork on our end and will reach out to you when it's ready for signatures.

Thank you!
Juliana

Juliana Shkreli
Associate Director
Senior Wealth Strategy Associate

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Private Wealth Management
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www.ubs.com/privatewealthmanagement

-----Original Message-----

From: Scott Borgerson [mailto:[REDACTED]]
Sent: Friday, April 12, 2019 7:02 PM
To: Shkreli, Juliana
Cc: Casriel, Lyle; GMAX; Walker, Christopher; Ramdeen, Vijai; Rivas, Elyanna
Subject: [External] Re: AlphaKeys Millennium Fund transfer paperwork

Hi Juliana,

We received another AlphaKeys Millennium Fund Investor Application Form with the PPM.

Do you want me to do anything with it or has the paperwork all already been e-handled on your side?

Please advise.

Thanks,
Scott

> On Feb 18, 2019, at 10:28, Scott Borgerson <[REDACTED]> wrote:

>
> ok
>

>> On Feb 18, 2019, at 10:13, [REDACTED] wrote:

>>
>> Hi Scott,
>>
>> This is the paperwork to moved the Millennium investment to the new trust. You can disregard the packages you already received. We're prefilling the required paperwork and will reach out to you once the package is ready.

>>
>> Thank you,
>> Juliana
>>
>>

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>> From: Scott Borgerson <[REDACTED]<mailto:s[REDACTED]>>
>> Date: Sunday, Feb 17, 2019, 8:33 AM
>> To: Casriel, Lyle <[REDACTED]<mailto:[REDACTED]>>, Shkreli, Juliana
<[REDACTED]<mailto:[REDACTED]>>
>> Cc: GMAX <gmax1@ellmax.com<mailto:gmax1@ellmax.com>>
>> Subject: [External] AlphaKeys Millennium Fund transfer paperwork
>>
>> Hi Lyle and Juliana,
>>
>> We received two large, hard copy packages of transfer paperwork for Millennium - PPM, investor
application, assignment form, and disclosure statements.
>>
>> Am I correct to assume this is just the hard copy paper following the signature pages which I had
already electronically sent to you and I can just disregard?
>>
>> Thanks,
>> sgb
>>
>> Please visit our website at
>> <http://financialservicesinc.ubs.com/wealth/E-maildisclaimer.html>
>> for important disclosures and information about our e-mail
>> policies. For your protection, please do not transmit orders
>> or instructions by e-mail or include account numbers, Social
>> Security numbers, credit card numbers, passwords, or other
>> personal information.
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