

Message

From: Rivas, Elyanna [REDACTED]
Sent: 7/23/2019 3:15:21 PM
To: 'G Max' [gmax1@ellmax.com]
CC: Shkreli, Juliana [REDACTED]; Ramdeen, Vijai [REDACTED]; Walker, Christopher [REDACTED]; Traskus, Michelle [REDACTED]; Stackman, Scott [REDACTED]; Casriel, Lyle [REDACTED]; Spellman, Heather [REDACTED]; Campbell, Randy [REDACTED]
Subject: RE: MAXWELL: Transfer Request

Hi Ghislaine,

We are looking into this and will circle back with an update.

Thank you!
Ely



Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management
1285 Avenue of the Americas, 38th Floor
New York, NY 10019
Phone: [REDACTED] Fax: [REDACTED]
[REDACTED]

From: G Max [mailto:gmax1@ellmax.com]
Sent: Tuesday, July 23, 2019 9:34 AM
To: Rivas, Elyanna
Cc: Shkreli, Juliana; Ramdeen, Vijai; Walker, Christopher; Traskus, Michelle; Stackman, Scott; Casriel, Lyle; Spellman, Heather; Campbell, Randy
Subject: [External] Re: MAXWELL: Transfer Request

Morning Elly
Barclays from London are still having difficult wiring the funds
Here is what they said bellow

I have tried saying it's an IBAN as it states below in the details but an IBAN starts with two letter which is normally the country code, so it won't allow me to do this.

I have then tried saying it's an account number but with this its stating that it can't have letters in the middle.
Can you please send another instruction sheet with any additional information
Seems this should not be that hard but it is!

Thank

On Jul 22, 2019, at 15:08, "[REDACTED]" <[REDACTED]> wrote:

Hi Ghislaine,

Confirming the \$130,000.00 transfer from your UBS cash/savings account to your UBS check/card account is completed.

Thank you!
Ely

<image001.png>

Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management
1285 Avenue of the Americas, 38th Floor
New York, NY 10019
Phone: [REDACTED] Fax: [REDACTED]
[REDACTED]

From: G Max [mailto:gmax1@ellmax.com]

Sent: Monday, July 22, 2019 4:04 PM

To: Rivas, Elyanna

Cc: Shkreli, Juliana; Ramdeen, Vijai; Walker, Christopher; Traskus, Michelle; Stackman, Scott; Casriel, Lyle; Spellman, Heather; Campbell, Randy

Subject: [External] Re: MAXWELL: Transfer Request

Yes please and thank you

On Jul 22, 2019, at 13:02, "[REDACTED]" <[REDACTED]> wrote:

Hi Ghislaine,

We do not have AMEX ACH/payment instructions on file to send funds from your UBS account to AMEX. The AMEX auto payments seem to have been set up externally to pull funds directly from your UBS account. Please confirm if you would like us to transfer \$130,000 from your cash/savings account to your check/card account, where the last AMEX transfer was debited from.

Thank you!
Ely

<image001.png>

Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management
1285 Avenue of the Americas, 38th Floor
New York, NY 10019

Phone: [REDACTED] Fax: [REDACTED]
Elyanna: [REDACTED]

From: G Max [mailto:gmax1@ellmax.com]

Sent: Monday, July 22, 2019 3:25 PM

To: Rivas, Elyanna

Cc: Shkreli, Juliana; Ramdeen, Vijai; Walker, Christopher; Traskus, Michelle; Stackman, Scott; Casriel, Lyle; Spellman, Heather; Campbell, Randy

Subject: [External] Re: MAXWELL: Transfer Request

I am sending funds from the Uk. I hope they will arrive tomorrow or the next day.
Meanwhile is it possible to send 130 from my cash savings to AmEx?

On Jul 22, 2019, at 12:05, "[REDACTED]" <[REDACTED]> wrote:

Hi Ghislaine,

Please note, we do not have the AMEX ACH/payment instructions on file, it appears the AMEX auto payments have been set up with your UBS account externally. In addition, there is not enough funds currently in your UBS accounts to meet the \$250,000 transfer. Please see the current cash balances in your UBS accounts below.

UBS Accounts - Current Cash Balances

- Y1xx575 (Cash/Savings): \$141,922.12
- Y1xx576 (Check/Card): \$48,430.78
- Y1xx697 (Individual IRA): \$58,994.06
- Y1xx680 (Ellmax LLC): \$9,844.97

Please let us know if you have any questions.

Thank you!
Ely

Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management
1285 Avenue of the Americas, 38th Floor
New York, NY 10019

Phone: [REDACTED] Fax: [REDACTED]
[REDACTED]

-----Original Message-----

From: G Max [mailto:gmax1@ellmax.com]

Sent: Monday, July 22, 2019 12:55 PM

To: Rivas, Elyanna

Cc: Shkreli, Juliana; Ramdeen, Vijai; Walker, Christopher; Traskus, Michelle; Stackman, Scott; Casriel, Lyle; Spellman,

Heather; Campbell, Randy
Subject: [External] Re: MAXWELL: Transfer Request

Appreciate. Thank you. Please confirm otherwise I will have to make a wire to the company instead of paying g by credit card. I'd prefer credit card for the points!

Thx

> On Jul 22, 2019, at 09:52, "[REDACTED]" <[REDACTED]> wrote:

>
> Hi Ghislaine,
>
> Confirming we received your email. We will review the request below and circle back with an update.

> Thank you!

> Ely

>

>

>

>

> Elyanna Rivas

> Client Service Associate

>

> UBS Financial Services Inc.

> Private Wealth Management

> 1285 Avenue of the Americas, 38th Floor

> New York, NY 10019

> Phone: [REDACTED] Fax: [REDACTED]

> [REDACTED]

>

>

> -----Original Message-----

> From: G Max [mailto:gmax1@ellmax.com]

> Sent: Monday, July 22, 2019 12:05 PM

> To: Rivas, Elyanna

> Subject: [External]

>

> Ely, hi need to wire money to AmEx to pay a large bill you have all the information on file as it has a standing order on AmEx to ou every month

> 250k

> Can you do that today?

> Please let me know.

> Thank you

>

>

>

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Security numbers, credit card numbers, passwords, or other
personal information.

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personal information.