

Message

From: Rivas, Elyanna [REDACTED]
Sent: 7/23/2019 4:16:17 PM
To: 'G Max' [REDACTED]
CC: Shkreli, Juliana [REDACTED]; Ramdeen, Vijai [REDACTED]; Walker, Christopher [REDACTED]; Traskus, Michelle [REDACTED]; Stackman, Scott [REDACTED]; Casriel, Lyle [REDACTED]; Spellman, Heather [REDACTED]; Campbell, Randy [REDACTED]
Subject: Secure Document Pick Up: RE: MAXWELL: Transfer Request
Attachments: MAXWELL- UBS USD Fed Wire Instructions.pdf

Hi Ghislaine,

Please see the attached UBS wire instructions for your main UBS account. Please note, the funds must be sent in USD.

Due to compliance regulations, we have sent the attachment securely, and the PIN to access the attachment was sent to your cell phone. The PIN is valid for 72 hours.

Please let us know if you have any questions.

Thank you!

Ely



Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]
[REDACTED]
Phone: [REDACTED] Fax: [REDACTED]
[REDACTED]

From: G Max [mailto:[REDACTED]]
Sent: Tuesday, July 23, 2019 11:43 AM
To: Rivas, Elyanna
Cc: Shkreli, Juliana; Ramdeen, Vijai; Walker, Christopher; Traskus, Michelle; Stackman, Scott; Casriel, Lyle; Spellman, Heather; Campbell, Randy
Subject: [External] Re: MAXWELL: Transfer Request

Ok I will try that. Can you send me anew instructions with that all clearly spelt out so all the bank has to do is follow what is on the sheet? Sorry for the extra work but this way it is not up for interpretation..

From: Elyanna Rivas <[REDACTED]>
Date: Tuesday, July 23, 2019 at 8:41 AM
To: GMAX <[REDACTED]>
Cc: Juliana Shkreli <[REDACTED]>, Vijai Ramdeen <[REDACTED]>, "[REDACTED]" <[REDACTED]>, "[REDACTED]"

<[REDACTED]>, Scott Stackman <[REDACTED]>, "[REDACTED]"
<[REDACTED]>, "[REDACTED]" <[REDACTED]>,
"[REDACTED]" <[REDACTED]>

Subject: RE: MAXWELL: Transfer Request

Ghislaine,

We spoke with our back office team and they confirmed that UBS does not have an IBAN for incoming USD wire transfers. As an alternative, they advised to use the UBS Financial Services Inc. account number, without the "WA" letters. The UBS account number should be entered as, "[REDACTED]".

Please let us know if you have any questions.

Thank you!
Ely



Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]
[REDACTED]
Phone: [REDACTED] Fax: [REDACTED]
[REDACTED]

From: G Max [mailto:[REDACTED]]
Sent: Tuesday, July 23, 2019 11:17 AM
To: Rivas, Elyanna
Cc: Shkreli, Juliana; Ramdeen, Vijai; Walker, Christopher; Traskus, Michelle; Stackman, Scott; Casriel, Lyle; Spellman, Heather; Campbell, Randy
Subject: [External] Re: MAXWELL: Transfer Request

Thank you. I can't imagine it is that hard - of course it could be the bank Mgr in London. But you saw his response bellow
Thx

On Jul 23, 2019, at 08:15, "[REDACTED]" <[REDACTED]> wrote:

Hi Ghislaine,

We are looking into this and will circle back with an update.

Thank you!
Ely

<image001.png>

Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]
[REDACTED]
Phone: [REDACTED] Fax: [REDACTED]
[REDACTED]

From: G Max [mailto:[REDACTED]]
Sent: Tuesday, July 23, 2019 9:34 AM
To: Rivas, Elyanna
Cc: Shkreli, Juliana; Ramdeen, Vijai; Walker, Christopher; Traskus, Michelle; Stackman, Scott; Casriel, Lyle; Spellman, Heather; Campbell, Randy
Subject: [External] Re: MAXWELL: Transfer Request

Morning Elly
Barclays from London are still having difficult wiring the funds
Here is what they said bellow

I have tried saying it's an IBAN as it states below in the details but an IBAN starts with two letter which is normally the country code, so it won't allow me to do this.

I have then tried saying it's an account number but with this its stating that it can't have letters in the middle.
Can you please send another instruction sheet with any additional information
Seems this should not be that hard but it is!

Thank

On Jul 22, 2019, at 15:08, "[REDACTED]" <[REDACTED]> wrote:

Hi Ghislaine,

Confirming the \$130,000.00 transfer from your UBS cash/savings account to your UBS check/card account is completed.

Thank you!
Ely

<image001.png>

Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]
[REDACTED]
Phone: [REDACTED] Fax: [REDACTED]
[REDACTED]

From: G Max [mailto: [REDACTED]]
Sent: Monday, July 22, 2019 4:04 PM
To: Rivas, Elyanna
Cc: Shkreli, Juliana; Ramdeen, Vijai; Walker, Christopher; Traskus, Michelle; Stackman, Scott; Casriel, Lyle; Spellman, Heather; Campbell, Randy
Subject: [External] Re: MAXWELL: Transfer Request

Yes please and thank you

On Jul 22, 2019, at 13:02, "[REDACTED]" <[REDACTED]> wrote:

Hi Ghislaine,

We do not have AMEX ACH/payment instructions on file to send funds from your UBS account to AMEX. The AMEX auto payments seem to have been set up externally to pull funds directly from your UBS account. Please confirm if you would like us to transfer \$130,000 from your cash/savings account to your check/card account, where the last AMEX transfer was debited from.

Thank you!
Ely

<image001.png>

Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]
[REDACTED]
Phone: [REDACTED] Fax: [REDACTED]
[REDACTED]

From: G Max [mailto: [REDACTED]]
Sent: Monday, July 22, 2019 3:25 PM
To: Rivas, Elyanna
Cc: Shkreli, Juliana; Ramdeen, Vijai; Walker, Christopher; Traskus, Michelle; Stackman, Scott; Casriel, Lyle; Spellman, Heather; Campbell, Randy
Subject: [External] Re: MAXWELL: Transfer Request

I am sending funds from the Uk. I hope they will arrive tomorrow or the next day.
Meanwhile is it possible to send 130 from my cash savings to AmEx?

On Jul 22, 2019, at 12:05, "[REDACTED]" <[REDACTED]> wrote:

Hi Ghislaine,

Please note, we do not have the AMEX ACH/payment instructions on file, it appears the AMEX auto payments have been set up with your UBS account externally. In addition, there is not enough funds currently in your UBS accounts to meet the \$250,000 transfer. Please see the current cash balances in your UBS accounts below.

UBS Accounts - Current Cash Balances

- [REDACTED] (Cash/Savings): \$141,922.12
- [REDACTED] (Check/Card): \$48,430.78
- [REDACTED] (Individual IRA): \$58,994.06
- [REDACTED] (Ellmax LLC): \$9,844.97

Please let us know if you have any questions.

Thank you!
Ely

Elyanna Rivas
Client Service Associate

UBS Financial Services Inc.
Private Wealth Management

[REDACTED]
[REDACTED]
Phone: [REDACTED] Fax: [REDACTED]
[REDACTED]

-----Original Message-----

From: G Max [mailto:[REDACTED]]

Sent: Monday, July 22, 2019 12:55 PM

To: Rivas, Elyanna

Cc: Shkreli, Juliana; Ramdeen, Vijai; Walker, Christopher; Traskus, Michelle; Stackman, Scott; Casriel, Lyle; Spellman, Heather; Campbell, Randy

Subject: [External] Re: MAXWELL: Transfer Request

Appreciate. Thank you. Please confirm otherwise I will have to make a wire to the company instead of paying g by credit card. I'd prefer credit card for the points!

Thx

> On Jul 22, 2019, at 09:52, "[REDACTED]" <[REDACTED]> wrote:

>

> Hi Ghislaine,

>

> Confirming we received your email. We will review the request below and circle back with an update.

>

> Thank you!

> Ely

>

>

>

>

> Elyanna Rivas

> Client Service Associate

>

> UBS Financial Services Inc.
> Private Wealth Management
> [REDACTED]
> [REDACTED]
> Phone: [REDACTED] Fax: [REDACTED]
> [Elyanna.Rivas@\[REDACTED\]](mailto:Elyanna.Rivas@[REDACTED])

> -----Original Message-----
> From: G Max [mailto:[REDACTED]]
> Sent: Monday, July 22, 2019 12:05 PM
> To: Rivas, Elyanna
> Subject: [External]

> Elly, hi need to wire money to AmEx to pay a large bill you have all the information on file as it has a standing order on AmEx to ou every month
> 250k
> Can you do that today?
> Please let me know.
> Thank you

> Please visit our website at
> [REDACTED]
> for important disclosures and information about our e-mail
> policies. For your protection, please do not transmit orders
> or instructions by e-mail or include account numbers, Social
> Security numbers, credit card numbers, passwords, or other
> personal information.

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policies. For your protection, please do not transmit orders or instructions by e-mail or include account numbers, Social Security numbers, credit card numbers, passwords, or other personal information.

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