

NEW YORK CLE CERTIFICATE OF ATTENDANCE

Revised 03/11
New York State CLE Board
www.nycourts.gov/attorneys/cle

MAY BE USED ONLY FOR PROGRAMS ACCREDITED BY THE NYS CLE BOARD
ALL INFORMATION MUST BE ENTERED BY THE CLE PROVIDER

This certificate is issued under §1500.4(b)(12) of the NYS CLE Program Rules and under §10(B) of the NYS CLE Board Regulations and Guidelines.
By issuing this certificate, the CLE provider verifies that the attorney named below completed this program.
Attorneys must retain their certificates of attendance for at least four (4) years from the date of the program.

NAME OF ATTORNEY: Darren K Indyke

TITLE OF PROGRAM: Fundamentals of Investment Adviser Regulation 2011

DATE(S) OF ATTENDANCE: (For self-study programs, indicate date attorney completed program.) 2/28/2012

LOCATION (City/State): (For self-study programs, check "Not Applicable.") ☒ Not Applicable

FORMAT OF PROGRAM: (Check only the format completed by the attorney to whom this certificate is issued.)

☐ TRADITIONAL LIVE CLASSROOM FORMAT

☐ VIDEOCONFERENCE APPROVED FOR NEWLY ADMITTED ATTORNEYS

Note: *Newly admitted attorneys may not earn credit through the formats below, except as provided in §2(F) of the Regulations.*

☐ Audiotape

☐ Videotape

☐ Teleconference

☐ Other: (Describe below.)

☐ Audio CD

☐ DVD/Video CD

☐ Videoconference

☐ Audio file

☐ Video file

☐ Webconference

☐ Online audio

☒ Online video

☐ Live broadcast

ATTORNEY'S METHOD OF PARTICIPATION: (check only one)

☐ GROUP SETTING or ☒ INDIVIDUAL/SELF-STUDY (including an attorney individually dialing in or logging in to a webconference, teleconference or webcast, or individually viewing/listening to a recorded program)

LEVEL OF DIFFICULTY—the *content* of the course is appropriate for: (check only one)

☒ BOTH newly admitted and experienced attorneys (transitional /nontransitional), or

☐ experienced attorneys ONLY (nontransitional), or

☐ newly admitted attorneys ONLY (transitional)

CREDIT for ATTENDANCE One hour of CLE credit consists of 50 minutes of instruction. (Introductory remarks, meals, breaks and other noneducational activities are not to be included in the computation of credit.) To calculate CLE credit, take the total number of minutes of instruction, divide by 50, and then **round down to the nearest .5 credit hour**. (For example, a 90-minute program equals 1.5 CLE credits; a 24-minute program equals zero (0) CLE credits.)

Enter number of credits earned in each category:

1.00 Ethics and Professionalism 6.50 Areas of Professional Practice

Skills Law Practice Management

In accordance with §10(B)(2) of the Regulations, for multiple breakout sessions, provider should attach a sheet indicating the sessions attended by the attorney.

CREDIT for FACULTY PARTICIPATION

(Experienced attorneys only)

Award credit in accordance with §3(D) of the Regulations.

☐ Speaker

☐ Panel member

☐ Moderator

☐ Law competition faculty

Enter number of credits earned in each category:

Ethics and Professionalism

Skills

Areas of Professional Practice

Law Practice Management

CLE PROVIDER INFORMATION

PROVIDER ORGANIZATION PRACTISING LAW INSTITUTE (PLI)

ADDRESS: 810 Seventh Avenue
New York, NY 10019-5856

TELEPHONE:

PROVIDER AGENT SIGNATURE:

Andrew D. Ottiger

PRINT NAME: Andrew D. Ottiger

THE CLE PROVIDER:

(check only one)

☒ has been certified as an Accredited Provider by the NYS CLE Board, or

☐ has had this individual course accredited by the NYS CLE Board as

Course #

This certificate may **NOT** be used to award CLE credit to New York attorneys under New York's Approved Jurisdiction policy.