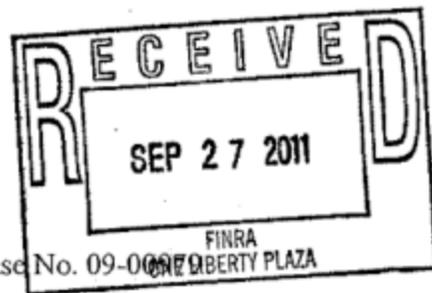


Exhibit A

FINRA DISPUTE RESOLUTION, INC.

_____ x
In the Matter of the Arbitration Between: :
FINANCIAL TRUST COMPANY, INC. and THE :
C.O.U.Q. FOUNDATION, INC., :
Claimants, :
- and - :
THE BEAR STEARNS COMPANIES INC., BEAR, :
STEARNS & CO. INC., BEAR STEARNS ASSET :
MANAGEMENT INC. and WARREN SPECTOR, :
Respondents. :
_____ x

FINRA-DR Case No. 09-00009



STIPULATION OF DISMISSAL
WITH PREJUDICE

IT IS HEREBY STIPULATED AND AGREED, by the parties to this arbitration, through their undersigned counsel, that this arbitration be and it hereby is dismissed in its entirety with prejudice, with each party to bear its own attorneys' fees and costs, pursuant to Rule 12700 of the Code of Arbitration Procedure for Customer Disputes.

Dated: ~~August~~ September 27, 2011, 2011

Susman Godfrey LLP

By: Stephen D. Susman
Stephen D. Susman

560 Lexington Avenue, 15th Floor
New York, New York 10022
[REDACTED]

Counsel for Claimants

Kramer Levin Naftalis & Frankel LLP

By: Stephen M. Sinaiko
Stephen M. Sinaiko

1177 Avenue of the Americas
New York, New York 10036
[REDACTED]

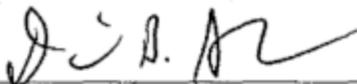
Freshfields Bruckhaus Deringer US LLP

By: Marshall H. Fishman
Marshall H. Fishman
Gabrielle L. Gould

520 Madison Avenue, 34th Floor
New York, New York 10022
[REDACTED]

Co-Counsel for The Bear Stearns Companies
Inc., Bear, Stearns & Co. Inc. and Bear Stearns
Asset Management Inc.

Wachtell, Lipton, Rosen & Katz

By: 
David B. Anders

51 West 52nd Street
New York, New York 10019


Counsel for Warren Spector

FINRA-DR Case No. 09-00979

FINRA DISPUTE RESOLUTION, INC.

In the Matter of the Arbitration Between:

FINANCIAL TRUST COMPANY, INC. and THE
C.O.U.Q. FOUNDATION, INC.,

Claimants,

- and -

THE BEAR STEARNS COMPANIES INC., BEAR,
STEARNS & CO. INC., BEAR STEARNS ASSET
MANAGEMENT INC. and WARREN SPECTOR,

Respondents.

STIPULATION OF DISMISSAL WITH PREJUDICE

KRAMER LEVIN NAFTALIS & FRANKEL LLP

*Attorneys for The Bear Stearns Companies Inc., Bear, Stearns &
Co. Inc. and Bear Stearns Asset Management Inc.*

1177 Avenue of the Americas New York, New York 10036

All communications should be referred to:
Steve M. Sinaiko