

LISA A CAPPIELLO, C.P.A.

Phone: [REDACTED] email: [REDACTED]

PROFESSIONAL EXPERIENCE

ROTHSTEIN KASS

NEW YORK, NY

Oct 2011 – Present

Professional services firm and business consulting firm serving privately held and publicly traded companies as well as high-net-worth individuals and families.

Senior Tax Manager-Financial Services Tax

- Responsible for tax planning for investment partnerships, investment advisors, hedge funds and their investors, management companies, general partner entities, private equity partnerships and fund of funds.
- Manage the tax compliance process of complex federal, numerous state and local partnership, S-Corporation and trust tax returns including Form 5500 pension reporting.
- Selected to serve of the firm's best practice and client service committees, in addition to being an active member of the state tax committee and LIFE (Women's Leadership Initiative).
- Liaison to clients, administrators, brokers, legal counsel and other service providers to ensure proper management and timely delivery of all reporting.
- Mentor and train staff of all levels; including being an instructor for internal training programs.

CAXTON ASSOCIATES LP

PRINCETON, NJ

Jan 1992 – July 2011

Commodities and Securities Trading and Investment Management Firm, a premier hedge fund in NYC primarily owned by Bruce Kovner

Senior Tax Manager/Manager/Supervisor/Tax Senior/Consultant Personal Wealth Management for numerous Principals on the Firm

- Responsible for tax planning and reporting for several high net worth principals and their families. Work exclusively with numerous outside consulting firms to ensure the latest tax planning tactics are being implemented.
- Manage the tax compliance process of complex federal, state and local partnership, S-Corporation, high net worth individual, trust, private foundation and securities trading partnerships.
- Coordinated the transition from the review of in-house tax preparation to several outside tax preparation firms. Liaison with upper management in those organizations to ensure accurate and timely filing and tax reporting information for over 100 entities.
- Responsible for the due diligence of various legal documents relating to new investments, loans, new entities and a variety of other transactions. Interact directly with department heads in all areas of the company regarding accounting matters, investment decisions, planning opportunities, and various legal issues.
- Proactively research and present tax savings and optimization strategies and structures to management, resulting in millions in tax savings to investors and owners of the firm.
- Managed operations several principals and their families including IT, human resources, treasury and working directly with the personal accounting team on accounts payable and other household related issues.
- Implemented various tax planning strategies to minimize income and estate taxes and consistently minimized tax rates by efficiently managing assets and timing transactions.
- Managed and administered foundations for philanthropic giving. Ensured proper procedures were followed for negotiating agreements for large gifts and ensured compliance with the related restrictions and desires of the donor.
- Responsible for the detail review of tax returns for domestic management companies, closely held family partnerships, fund of funds, private equity funds, high-net worth individuals, private foundations, trusts, complex trusts and hedge funds.
- Assisted clients in the negotiations for the purchase and like kind exchange of aircrafts.
- Point person for obtaining tax and financial information from Principals and their family members and from underlying investments.
- Prepared workpapers and financial statement for a variety of entities including GRATS, trusts, partnerships and individuals.
- Created streamlined processes for managing data to ensure timely reporting for over 130 entities.
- My extensive knowledge of the firm's inner deal structuring and transactions enabled me to catch and timely correct millions of dollars worth of issues and errors in returns, before they were filed with Federal or State tax authorities, or K-1's were mailed to investors.
- Broad spectrum of experience that includes tax research and planning, partnership/QEF taxation, tax laws pertaining to securities transactions and hedge funds, management companies, high net worth individuals, corporate, trust and private foundations.

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- Review of deal structures, partnership agreements and PPM's to ensure the most tax effective investment strategies for foreign and domestic partners and foundations.
- Spearheaded the development of numerous in-house software programs including a wash sales program designed to analyze over 600,000 trades annually. Responsible for the implementation, review and modifications to the programs to reflect annual tax law changes.
- Work hand in hand with accounting department to concluded on appropriate treatment for complex issues. Review and assist in the maintenance of depreciation schedules for fixed assets - tax, book, AMT and states.
- Successful in overseeing the timely and accurate filing of over 500 tax returns and thousands of K-1s each and every year.
- Supervised engagement team with regards to daily, monthly and quarterly tasks and schedules.
- Train managers, supervisors and seniors in technical matters and deliver constructive candid performance feedback.
- Act as an effective mentor to individuals in their professional and personal development, and instill a sense of team spirit, enthusiasm and good morale among the staff.
- Represented high net worth individuals, management companies and private equity funds in resolving tax issues with the IRS and various state and local governments.

ERNST & YOUNG

PRINCETON, NJ/NEW YORK, NY

May 1986 – Jan 1992

Senior Tax Accountant

- Consulting to Fortune 500 companies and industries including: healthcare, pharmaceutical, computer sciences, start-up biotech, manufacturing, communications, and real estate.
- Worked on various small, medium and large size audits.
- Prepared annual tax returns - 1065, 1040, 1041, 1120S, 990-PF, 990-T and various informational reportings.
- Preparation of quarterly estimated tax payments and tax projections for all entities and individuals.
- Research complex tax issues and prepare position documents for management.
- Prepared or reviewed projections, financial statements, and other tax-related projects.

New Jersey Division of Taxation

TRENTON, NJ

Jan 1985 - May 1986

Taxpayer Services Representative/Technical Letter Writing

- Provided tax information to the general public and assisted with technical tax issues relating to individual tax situations.

Lisa A Cappiello, CPA

HAMILTON, NJ

Sept 1993 – Dec 2009

Self-employed CPA

- Assisted start-up companies with registration, accounting and tax information necessary to begin a successful business while assisting them in maintaining accurate books and records.
- Gained experience working with clients from diversified industries including healthcare, retail, rental, landscaping/excavation, computer services, personal services and trading partnerships.
- Responsible for all phases of accounting including account analysis, account reconciliation, accounts payable and receivable, loan analysis, accruals, journal entries and financial reporting. Provided compilations, review and tax preparation services.
- Represented a number of clients in successfully resolving tax issues with federal and state tax authorities.
- Suggested tax planning measures to countless clients and worked closely with them to ensure the most effective tax results.
- Researched and responded to a variety of questions and issues regarding tax, accounting and financial concerns for clients.
- Prepared quarterly projections to present tax planning opportunities and recommendations.

EDUCATION/CERTIFICATIONS:

1986 Rider University -BS in Commerce

1986 Certified Public Accountant

COMPUTER SKILLS:

Go System, ProSystem, ATX, Lacerte, BNA Tax Research, Thomson Reuters Checkpoint, BNA Tax Planner, MS Office, Quicken, QuickBooks, Peachtree Accounting

PERSONAL ACCOMPLISHMENTS:

Recipient of multiple gold, silver and bronze medals in all distances of triathlon. Finisher Ford Ironman, FL 11/2009 14:07