E*TRADE CLEARING LLC PO BOX 484 JERSEY CITY, NJ 07303-0484	Account No:	[redacted]
	Account Name:	LESLEY KATHERINE GROFF &
	Taxpayer Identification Number:	***-**-[redacted]
	Account Executive No:	ET1
	CORRECTED:	03/19/2014

2013 FORMS 1099 & DETAILS

LESLEY KATHERINE GROFF &
DANIEL GROFF JTWROS
[redacted]

YEAR-END MESSAGES

CERTAIN EVENTS SUCH AS COST BASIS ADJUSTMENTS OR RECLASSIFICATIONS OF AN INCOME PAYMENT RECEIVED FROM A MUTUAL FUND, ETF, REIT, OR RIC MAY RESULT IN AN AMENDED 1099 FOR PRIOR OR CURRENT TAX YEARS. PLEASE SEE INSERT FOR DETAILS OR VISIT WWW.ETRADE.COM/COSTBASIS FOR ANY UPDATES. QUESTIONS PLEASE CALL 800-ETRADE-1 (800-387-2331) OR LOG ON TO YOUR ACCOUNT AND SEND US A SECURE MESSAGE BY CLICKING ON THE CUSTOMER SERVICE LINK AT THE TOP OF THE PAGE.

Your Account did not receive the following Forms: 1099-OID
1099-MISC
1099-C

TABLE OF CONTENTS **PAGE**

EFTA00315661

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [redacted]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ***-**-[redacted]

Account Executive No: ET1

CORRECTED: 03/19/2014

RECIPIENT'S Name, Street Address, City, State, and Zip Code
LESLEY KATHERINE GROFF &
DANIEL GROFF JTWROS
[redacted]

Copy B for recipient ☐ 2nd TIN Notice

Payer's Federal Identification Number: 32-0012683
Payer's Name, Street, City, State, Zip Code:
E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484
Telephone Number: (800) 387- 2331

2013 CONSOLIDATED 1099 FORM

2013 FORM 1099-DIV: DIVIDENDS AND DISTRIBUTIONS (OMB NO. 1545-0110)		
1a	Total Ordinary Dividends	$10,826.81
1b	Qualified Dividends	$10,826.81
2a	Total Capital Gains Distribution	$23,700.00
2b	Unrecap Sec. 1250 gain	$791.58
2c	Section 1202 gain	-
2d	Collectibles (28%) gain	-
3	Non dividend distributions	-
4	**Federal income tax withheld**	-
5	Investment Expenses	-
6	Foreign tax paid	-
7	Foreign country or US possession	-
8	Cash liquidation distributions	-
9	Noncash liquidation distributions	-
10	Exempt-interest dividends	-
11	Specified Private Activity bond interest dividends	-
12	State	-
13	State identification no.	-
14	State tax withheld	-

2013 FORM 1099-INT: INTEREST INCOME (OMB NO.1545-0112)		
1	Interest Income not included in box 3	$1,261.61
2	Early withdrawal penalty	-
3	Interest on U.S. Savings Bonds and Treasury obligations	-
4	**Federal income tax withheld**	-
5	Investment Expenses	-
6	Foreign tax paid	-
7	Foreign country or US possession	-
8	Tax-Exempt Interest	-
9	Specified private activity bond interest	-
10	Tax-exempt bond CUSIP no.	-
11	State	-
12	State Identification no.	-
13	State tax withheld	-

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-DIV Instructions for Recipient

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN,) or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.
Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.
The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.
Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040),line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.
Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions (Form 1040).
Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.
Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).
Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.
Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.
Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.
Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.
Boxes 8 and 9. Shows cash and noncash liquidation distributions.
Box 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See box 4.
Box 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the Instructions for Form 6251.
Boxes 12-14. State income tax withheld reporting boxes.
Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns.
Future developments. For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099div.

1099-INT Instructions for Recipient

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, qualified forestry conservation bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2013 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Credit to Holders of Tax Credit Bonds.
Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.
Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1.
Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.
Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.
Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.
Box 7. Shows the country or U.S. possession to which the foreign tax was paid.
Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.
Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251.
Box 10. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid to you during the calendar year and reported in box 8. If blank, no CUSIP number was issued for the bond(s).
Boxes 11-13. State tax withheld reporting boxes.
Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other.
Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099int.

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [redacted]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ***-**-[redacted]

Account Executive No: ET1

CORRECTED: 03/19/2014

RECIPIENT'S Name, Street Address, City, State, and Zip Code
LESLEY KATHERINE GROFF &
DANIEL GROFF JTWROS
[redacted]

Copy B for recipient ☐ 2nd TIN Notice

Payer's Federal Identification Number: 32-0012683
Payer's Name, Street, City, State, Zip Code:
E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484
Telephone Number: (800) 387- 2331

2013 GROSS PROCEEDS

2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Box 6: Noncovered Securities
Report on Form 8949, in either Part I or Part II, as appropriate.

(Box 1a) Date of Sale or Exchange	(Box 8) Description	(Box 1d) Symbol	Cusip	(Box 1e) Quantity Sold	(Box 2a) Sales Price Less Commissions & Option Premiums	(Box 4) **Federal Income Tax Withheld**	Transaction Type
03/04/2013	GRAFTECH INTERNATIONAL LTD	GTI	384313102	2500.00000 PRICE: 6.84340	$17,098.12	-	
03/14/2013	***PALADIN ENERGY LTD	PALAF	Q7264T104	5000.00000 PRICE: 1.05000	$5,243.22	-	
03/14/2013	***PALADIN ENERGY LTD	PALAF	Q7264T104	2500.00000 PRICE: 1.05000	$2,621.61	-	
04/10/2013	***REGIS RESOURCES NL	RGRNF	Q8059N120	4500.00000 PRICE: 4.45000	$20,016.01	-	
04/10/2013	***REGIS RESOURCES NL	RGRNF	Q8059N120	500.00000 PRICE: 4.45000	$2,224.00	-	
04/10/2013	***REGIS RESOURCES NL	RGRNF	Q8059N120	5500.00000 PRICE: 4.43000	$24,355.01	-	
04/11/2013	PINNACLE ENTERTAINMENT INC	PNK	723456109	1000.00000 PRICE: 16.44000	$16,429.64	-	

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E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [redacted]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ***-**-[redacted]

Account Executive No: ET1

CORRECTED: 03/19/2014

2013 GROSS PROCEEDS

2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Box 6: Noncovered Securities
Report on Form 8949, in either Part I or Part II, as appropriate.

(Box 1a) Date of Sale or Exchange	(Box 8) Description	(Box 1d) Symbol	Cusip	(Box 1e) Quantity Sold	(Box 2a) Sales Price Less Commissions & Option Premiums	(Box 4) Federal Income Tax Withheld	Transaction Type
04/12/2013	***REGIS RESOURCES NL	RGRNF	Q8059N120	100.00000 PRICE: 4.25000	$415.01	-	
04/15/2013	GRAFTECH INTERNATIONAL LTD	GTI	384313102	200.00000 PRICE: 7.05250	$1,410.46	-	
04/15/2013	GRAFTECH INTERNATIONAL LTD	GTI	384313102	1300.00000 PRICE: 7.05500	$9,171.29	-	
04/15/2013	GRAFTECH INTERNATIONAL LTD	GTI	384313102	2000.00000 PRICE: 7.05000	$14,093.97	-	
04/15/2013	GRAFTECH INTERNATIONAL LTD	GTI	384313102	1200.00000 PRICE: 7.05000	$8,456.38	-	
04/15/2013	GRAFTECH INTERNATIONAL LTD	GTI	384313102	300.00000 PRICE: 7.05000	$2,114.10	-	
04/15/2013	***MCDERMOTT INTERNATIONAL INC	MDR	580037109	1864.00000 PRICE: 10.20000	$19,002.38	-	
04/15/2013	***MCDERMOTT INTERNATIONAL INC	MDR	580037109	2136.00000 PRICE: 10.20120	$21,789.27	-	
04/18/2013	GRAFTECH INTERNATIONAL LTD	GTI	384313102	400.00000 PRICE: 6.96100	$2,784.33	-	
04/18/2013	GRAFTECH INTERNATIONAL LTD	GTI	384313102	1943.00000 PRICE: 6.96000	$13,512.98	-	
04/18/2013	GRAFTECH INTERNATIONAL LTD	GTI	384313102	2557.00000 PRICE: 6.95000	$17,770.75	-	
04/18/2013	GRAFTECH INTERNATIONAL LTD	GTI	384313102	100.00000 PRICE: 6.95000	$694.98	-	
04/22/2013	CAMBREX CORP	CBM	132011107	100.00000 PRICE: 13.01500	$1,291.48	-	
04/22/2013	CAMBREX CORP	CBM	132011107	900.00000 PRICE: 13.01000	$11,708.73	-	

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

EFTA00315665

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [redacted]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ***-**-[redacted]

Account Executive No: ET1

CORRECTED: 03/19/2014

2013 GROSS PROCEEDS

2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Box 6: Noncovered Securities
Report on Form 8949, in either Part I or Part II, as appropriate.

(Box 1a) Date of Sale or Exchange	(Box 8) Description	(Box 1d) Symbol	Cusip	(Box 1e) Quantity Sold	(Box 2a) Sales Price Less Commissions & Option Premiums	(Box 4) **Federal Income Tax Withheld**	Transaction Type
04/22/2013	DELL INC		24702R101	1000.00000 PRICE: 13.26360	$13,261.30	-	
04/22/2013	DELL INC		24702R101	1000.00000 PRICE: 13.26360	$13,261.32	-	
04/22/2013	DELL INC		24702R101	1000.00000 PRICE: 13.26360	$13,261.30	-	
04/22/2013	DELL INC		24702R101	1000.00000 PRICE: 13.26360	$13,261.30	-	
04/22/2013	DELL INC		24702R101	1000.00000 PRICE: 13.26360	$13,261.30	-	
04/23/2013	***REGIS RESOURCES NL	RGRNF	Q8059N120	2500.00000 PRICE: 3.61000	$9,015.01	-	
05/09/2013	***MCDERMOTT INTERNATIONAL INC	MDR	580037109	3000.00000 PRICE: 9.40080	$28,195.77	-	
05/09/2013	***MCDERMOTT INTERNATIONAL INC	MDR	580037109	2000.00000 PRICE: 9.40080	$18,797.18	-	
05/09/2013	***REGIS RESOURCES NL	RGRNF	Q8059N120	1000.00000 PRICE: 4.24000	$4,230.01	-	
05/21/2013	***REGIS RESOURCES NL	RGRNF	Q8059N120	9600.00000 PRICE: 3.71000	$35,605.21	-	
05/29/2013	PINNACLE ENTERTAINMENT INC	PNK	723456109	100.00000 PRICE: 19.49500	$1,939.47	-	
05/29/2013	PINNACLE ENTERTAINMENT INC	PNK	723456109	4700.00000 PRICE: 19.49000	$91,601.40	-	
05/29/2013	PINNACLE ENTERTAINMENT INC	PNK	723456109	2700.00000 PRICE: 19.50000	$52,643.69	-	
05/29/2013	PINNACLE ENTERTAINMENT INC	PNK	723456109	700.00000 PRICE: 19.50000	$13,648.36	-	

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E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [redacted]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ***-**-[redacted]

Account Executive No: ET1

CORRECTED: 03/19/2014

2013 GROSS PROCEEDS

2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Box 6: Noncovered Securities
Report on Form 8949, in either Part I or Part II, as appropriate.

(Box 1a) Date of Sale or Exchange	(Box 8) Description	(Box 1d) Symbol	Cusip	(Box 1e) Quantity Sold	(Box 2a) Sales Price Less Commissions & Option Premiums	(Box 4) **Federal Income Tax Withheld**	Transaction Type
05/29/2013	PINNACLE ENTERTAINMENT INC	PNK	723456109	100.00000 PRICE: 19.50000	$1,949.77	-	
05/29/2013	PINNACLE ENTERTAINMENT INC	PNK	723456109	200.00000 PRICE: 19.50000	$3,899.53	-	
05/29/2013	PINNACLE ENTERTAINMENT INC	PNK	723456109	1300.00000 PRICE: 19.50000	$25,346.96	-	
05/31/2013	***REGIS RESOURCES NL	RGRNF	Q8059N120	6800.00000 PRICE: 3.84000	$26,101.55	-	
06/20/2013	***REGIS RESOURCES NL	RGRNF	Q8059N120	1000.00000 PRICE: 3.05000	$3,039.95	-	
06/20/2013	***REGIS RESOURCES NL	RGRNF	Q8059N120	10000.00000 PRICE: 3.00000	$29,989.48	-	
06/21/2013	TRIAN ACQUISITION		895ESC994	5000.00000	$84.97	-	MERGER
08/06/2013	***MCDERMOTT INTERNATIONAL INC	MDR	580037109	300.00000 PRICE: 7.25000	$2,163.47	-	
08/06/2013	***MCDERMOTT INTERNATIONAL INC	MDR	580037109	2200.00000 PRICE: 7.24000	$15,916.72	-	
08/06/2013	***MCDERMOTT INTERNATIONAL INC	MDR	580037109	500.00000 PRICE: 7.12140	$3,558.64	-	
08/06/2013	***MCDERMOTT INTERNATIONAL INC	MDR	580037109	500.00000 PRICE: 7.12140	$3,558.64	-	
08/06/2013	***MCDERMOTT INTERNATIONAL INC	MDR	580037109	1500.00000 PRICE: 7.12140	$10,675.92	-	
09/12/2013	***REGIS RESOURCES NL	RGRNF	Q8059N120	5000.00000 PRICE: 3.81000	$19,039.67	-	
10/16/2013	CAMBREX CORP	CBM	132011107	570.00000 PRICE: 15.99000	$9,104.15	-	
	49 ITEMS - Total			102870.00000	**$688,615.76**		

E*TRADE CLEARING LLC PO BOX 484 JERSEY CITY, NJ 07303-0484	Account No: Account Name: Taxpayer Identification Number: Account Executive No: CORRECTED:	[redacted] LESLEY KATHERINE GROFF & ***-**-[redacted] ET1 03/19/2014

RECIPIENT'S Name, Street Address, City, State, and Zip Code
LESLEY KATHERINE GROFF &
DANIEL GROFF JTWROS
[redacted]

Copy B for recipient ☐ 2nd TIN Notice

Payer's Federal Identification Number: 32-0012683
Payer's Name, Street, City, State, Zip Code:
E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484
Telephone Number: (800) 387- 2331

2013 GROSS PROCEEDS

2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Box 6: Basis Reported to the IRS
Type of Gain or Loss (Box 1c): Short Term
Report on Form 8949, Part I with box A, as appropriate.

*If box 2b checked, loss based on amount in box 2 is not allowed

(Box 1a) Date of Sale or Exchange	(Box 1b) Date of Acquisition	(Box 8) Description	(Box 1d) Symbol	Cusip	(Box 1e) Quantity Sold	(Box 2a) Sales Price Less Commissions & Option Premiums	(Box 3) Cost or Other Basis	(Box 5) Wash Sale Loss Disallowed	(Box 4) **Federal Income Tax Withheld**	(*Box 2b) Loss Not Allowed
09/16/2013	11/09/2012	FIRST MARBLEHEAD CORP		320771108	100.00000 PRICE: 0.83010	$83.00	$83.56	-	-	( )
09/16/2013	11/09/2012	FIRST MARBLEHEAD CORP		320771108	900.00000 PRICE: 0.83110	$737.98	$756.00	-	-	( )
09/16/2013	11/09/2012	FIRST MARBLEHEAD CORP		320771108	100.00000 PRICE: 0.83000	$83.00	$83.77	-	-	( )
09/16/2013	11/09/2012	FIRST MARBLEHEAD CORP		320771108	400.00000 PRICE: 0.83000	$331.99	$335.24	-	-	( )
09/16/2013	11/09/2012	FIRST MARBLEHEAD CORP		320771108	7499.00000 PRICE: 0.83000	$6,224.07	$6,299.16	-	-	( )
09/16/2013	11/09/2012	FIRST MARBLEHEAD CORP		320771108	400.00000 PRICE: 0.83000	$331.99	$334.40	-	-	( )

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [redacted]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ***-**-[redacted]

Account Executive No: ET1

CORRECTED: 03/19/2014

2013 GROSS PROCEEDS

2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Box 6: Basis Reported to the IRS
Type of Gain or Loss (Box 1c): Short Term
Report on Form 8949, Part I with box A, as appropriate.

*If box 2b checked, loss based on amount in box 2 is not allowed

(Box 1a) Date of Sale or Exchange	(Box 1b) Date of Acquisition	(Box 8) Description	(Box 1d) Symbol	Cusip	(Box 1e) Quantity Sold	(Box 2a) Sales Price Less Commissions & Option Premiums	(Box 3) Cost or Other Basis	(Box 5) Wash Sale Loss Disallowed	(Box 4) Federal Income Tax Withheld	(*Box 2b) Loss Not Allowed
09/16/2013	11/09/2012	FIRST MARBLEHEAD CORP		320771108	600.00000 PRICE: 0.83000	$497.99	$511.53	-	-	( )
09/18/2013	11/13/2012	FIRST MARBLEHEAD CORP		320771108	9999.00000 PRICE: 0.82000	$8,189.04	$7,609.23	-	-	( )
10/18/2013	11/16/2012	FIRST MARBLEHEAD CORP		320771108	4153.00000 PRICE: 0.99000	$4,103.19	$2,824.04	-	-	( )
10/18/2013	11/16/2012	FIRST MARBLEHEAD CORP		320771108	500.00000 PRICE: 0.99000	$494.00	$339.50	-	-	( )
10/18/2013	11/16/2012	FIRST MARBLEHEAD CORP		320771108	100.00000 PRICE: 0.99000	$98.80	$67.88	-	-	( )
10/18/2013	11/16/2012	FIRST MARBLEHEAD CORP		320771108	300.00000 PRICE: 0.99000	$296.40	$213.78	-	-	( )
11/13/2013	09/26/2013	DOLAN COMPANY	DM	25659P402	1400.00000 PRICE: 0.95110	$1,327.52	$3,080.00	-	-	( )
11/13/2013	09/03/2013	DOLAN COMPANY	DM	25659P402	1000.00000 PRICE: 0.95110	$948.23	$2,359.89	-	-	( )
11/13/2013	09/26/2013	DOLAN COMPANY	DM	25659P402	1100.00000 PRICE: 0.95110	$1,043.05	$2,428.89	-	-	( )
12/13/2013	10/17/2013	MODEL N INC	MODN	607525102	900.00000 PRICE: 10.88000	$9,781.83	$8,640.00	-	-	( )
12/24/2013	10/15/2013	MODEL N INC	MODN	607525102	1000.00000 PRICE: 11.49000	$11,479.81	$9,419.99	-	-	( )
		17 ITEMS - Total			**30451.00000**	**$46,051.89**	**$45,386.86**			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [redacted]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ***-**-[redacted]

Account Executive No: ET1

CORRECTED: 03/19/2014

2013 GROSS PROCEEDS

2013 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Box 6: Basis Reported to the IRS
Type of Gain or Loss (Box 1c): Long Term
Report on Form 8949, Part II with box D, as appropriate.

*If box 2b checked, loss based on amount in box 2 is not allowed

(Box 1a) Date of Sale or Exchange	(Box 1b) Date of Acquisition	(Box 8) Description	(Box 1d) Symbol	Cusip	(Box 1e) Quantity Sold	(Box 2a) Sales Price Less Commissions & Option Premiums	(Box 3) Cost or Other Basis	(Box 5) Wash Sale Loss Disallowed	(Box 4) Federal Income Tax Withheld	(*Box 2b) Loss Not Allowed
02/13/2013	11/16/2011	GLOBALOPTIONS GROUP INC		37946D209	15500.00000 PRICE: 2.39000	$37,034.18	$38,759.99	-	-	( )
02/28/2013	03/16/2011	***ALLOT COMMUNICATIONS L	ALLT	M0854Q105	400.00000 PRICE: 13.82000	$5,517.88	$4,942.00	-	-	( )
02/28/2013	03/16/2011	***ALLOT COMMUNICATIONS L	ALLT	M0854Q105	1100.00000 PRICE: 13.80000	$15,179.65	$13,590.49	-	-	( )
04/09/2013	05/11/2011	GRAMERCY CAPITAL CORP		384871307	1000.00000 PRICE: 33.24000	$33,229.26	$23,005.00	-	-	( )
07/30/2013	05/11/2011	GRAMERCY PROPERTY TRUST I	GPTPRA	38489R209	500.00000 PRICE: 33.80000	$16,889.71	$11,502.50	-	-	( )
08/06/2013	05/11/2011	GRAMERCY PROPERTY TRUST I	GPTPRA	38489R209	400.00000 PRICE: 33.84000	$13,525.77	$9,202.00	-	-	( )
		6 ITEMS - Total			**18900.00000**	**$121,376.45**	**$101,001.98**			

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

EFTA00315670

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 8.

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b. This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a. Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3. Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub. 550 for details.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6a. If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means: a security other than stock; stock purchased before 2011; stock in most mutual funds and other regulated investment companies purchased before 2012; and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b. If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 7. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Box 8. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Regulated Futures Contracts (Boxes 9 Through 12):

Box 9. Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2013.

Box 10. Shows any year-end adjustment to the profit or (loss) shown in box 9 due to open contracts on December 31, 2012.

Box 11. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2013. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2013 in 2014.

Box 12. Boxes 9, 10, and 11 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2013 Form 6781.

Boxes 13-15. Shows state income tax withheld.

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No:	[redacted]
Account Name:	LESLEY KATHERINE GROFF &
Taxpayer Identification Number:	***-**-[redacted]
Account Executive No:	ET1
CORRECTED:	03/19/2014

END OF 2013 CONSOLIDATED FORM 1099

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [redacted]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ***-**-[redacted]

Account Executive No: ET1

CORRECTED: 03/19/2014

2013 DIVIDEND & DISTRIBUTION DETAILS

DETAILS OF 2013 FORM 1099-DIV

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
03/12/2013	BABCOCK & WILCOX CO	05615F102	Qualified Dividend	$560.00	-	-		
06/07/2013	BABCOCK & WILCOX CO	05615F102	Qualified Dividend	$560.00	-	-		
09/10/2013	BABCOCK & WILCOX CO	05615F102	Qualified Dividend	$560.00	-	-		
12/13/2013	BABCOCK & WILCOX CO	05615F102	Qualified Dividend	$700.00	-	-		
01/04/2013	CONVERGYS CORP	212485106	Qualified Dividend	$375.00	-	-		
04/05/2013	CONVERGYS CORP	212485106	Qualified Dividend	$450.00	-	-		
07/05/2013	CONVERGYS CORP	212485106	Qualified Dividend	$450.00	-	-		
10/04/2013	CONVERGYS CORP	212485106	Qualified Dividend	$450.00	-	-		
01/23/2013	DELL INC	24702R101	Qualified Dividend	$400.00	-	-		
04/22/2013	DELL INC	24702R101	Qualified Dividend	$400.00	-	-		
01/08/2013	MERCK & CO INC	58933Y105	Qualified Dividend	$619.63	-	-		
04/05/2013	MERCK & CO INC	58933Y105	Qualified Dividend	$619.63	-	-		
07/08/2013	MERCK & CO INC	58933Y105	Qualified Dividend	$619.63	-	-		
10/07/2013	MERCK & CO INC	58933Y105	Qualified Dividend	$619.63	-	-		
10/28/2013	***REGIS RESOURCES NL US LISTED	Q8059N120	Qualified Dividend	$3,443.29	-	-		
	Total Qualified Dividends (Box 1b included in Box 1a)			**$10,826.81**				
	Total Ordinary Dividends (Box 1a)			**$10,826.81**				
11/08/2013	HMG COURTLAND PROPERTIES INC	404232100	Qualified Long Term Gain	$22,908.42	-	-		
	Total Capital Gains Distributions Included in Box 2a			**$22,908.42**				
11/08/2013	HMG COURTLAND PROPERTIES INC	404232100	Section 1250 Gain	$791.58	-	-		
	Total Unrecap. Sec. 1250 Gain (Box 2b included in 2a)			**$791.58**				
	Total Capital Gains (Box 2a)			**$23,700.00**				

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E*TRADE CLEARING LLC
PO BOX 484
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Account No: [redacted]
Account Name: LESLEY KATHERINE GROFF &
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Account Executive No: ET1

CORRECTED: 03/19/2014

2013 INTEREST INCOME DETAILS

DETAILS OF 2013 FORM 1099-INT

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
01/28/2013	E TRADE BANK		Interest	$123.59	-	-		
02/26/2013	E TRADE BANK		Interest	$128.82	-	-		
03/26/2013	E TRADE BANK		Interest	$111.98	-	-		
04/26/2013	E TRADE BANK		Interest	$73.48	-	-		
05/28/2013	E TRADE BANK		Interest	$176.13	-	-		
06/26/2013	E TRADE BANK		Interest	$190.84	-	-		
07/26/2013	E TRADE BANK		Interest	$67.60	-	-		
08/26/2013	E TRADE BANK		Interest	$78.24	-	-		
09/26/2013	E TRADE BANK		Interest	$91.89	-	-		
10/28/2013	E TRADE BANK SWEEPMAX DEP ACCT (FD		Interest	$86.63	-	-		
11/26/2013	E TRADE BANK SWEEPMAX DEP ACCT (FD		Interest	$81.51	-	-		
12/26/2013	E TRADE BANK SWEEPMAX DEP ACCT (FD		Interest	$50.90	-	-		
	Total Interest Income Not Included in Box 3 (Box 1)			**$1,261.61**				

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E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [redacted]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ***-**-[redacted]

Account Executive No: ET1

CORRECTED: 03/19/2014

2013 INVESTMENT DETAILS

DETAILS OF 2013 PURCHASES AND SALES

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comments
04/22/2013	CAMBREX CORP	132011107	Sell	100.000	$13.02	$1,291.48	
04/22/2013	CAMBREX CORP	132011107	Sell	900.000	$13.01	$11,708.73	
10/16/2013	CAMBREX CORP	132011107	Sell	570.000	$15.99	$9,104.15	
04/22/2013	DELL INC	24702R101	Sell	5,000.000	$13.26	$66,306.52	
09/03/2013	DOLAN COMPANY	25659P402	Purchase	1,000.000	$2.35	$2,359.89	
09/26/2013	DOLAN COMPANY	25659P402	Purchase	1,100.000	$2.20	$2,428.89	
09/26/2013	DOLAN COMPANY	25659P402	Purchase	1,400.000	$2.20	$3,080.00	
11/13/2013	DOLAN COMPANY	25659P402	Sell	3,500.000	$0.95	$3,318.80	
09/18/2013	ENTROPIC COMMUNICATIONS INC	29384R105	Purchase	700.000	$4.25	$2,984.92	
09/18/2013	ENTROPIC COMMUNICATIONS INC	29384R105	Purchase	1,300.000	$4.25	$5,520.84	
09/26/2013	ENTROPIC COMMUNICATIONS INC	29384R105	Purchase	2,500.000	$4.31	$10,784.74	
10/09/2013	ENTROPIC COMMUNICATIONS INC	29384R105	Purchase	400.000	$4.16	$1,673.63	
10/09/2013	ENTROPIC COMMUNICATIONS INC	29384R105	Purchase	500.000	$4.16	$2,079.50	
10/09/2013	ENTROPIC COMMUNICATIONS INC	29384R105	Purchase	1,100.000	$4.16	$4,576.00	
02/07/2013	FIRST MARBLEHEAD CORP	320771108	Purchase	100.000	$0.96	$96.39	
02/07/2013	FIRST MARBLEHEAD CORP	320771108	Purchase	100.000	$0.97	$96.68	
02/07/2013	FIRST MARBLEHEAD CORP	320771108	Purchase	100.000	$0.97	$96.70	
02/07/2013	FIRST MARBLEHEAD CORP	320771108	Purchase	100.000	$0.97	$97.00	
02/07/2013	FIRST MARBLEHEAD CORP	320771108	Purchase	200.000	$0.96	$202.31	
02/07/2013	FIRST MARBLEHEAD CORP	320771108	Purchase	300.000	$0.96	$288.30	
09/16/2013	FIRST MARBLEHEAD CORP	320771108	Sell	100.000	$0.83	$83.00	
09/16/2013	FIRST MARBLEHEAD CORP	320771108	Sell	900.000	$0.83	$737.98	
09/16/2013	FIRST MARBLEHEAD CORP	320771108	Sell	8,999.000	$0.83	$7,469.04	
09/18/2013	FIRST MARBLEHEAD CORP	320771108	Sell	9,999.000	$0.82	$8,189.04	
10/18/2013	FIRST MARBLEHEAD CORP	320771108	Sell	5,053.000	$0.99	$4,992.39	
02/13/2013	GLOBALOPTIONS GROUP INC	37946D209	Sell	15,500.000	$2.39	$37,034.18	
03/04/2013	GRAFTECH INTERNATIONAL LTD	384313102	Sell	2,500.000	$6.84	$17,098.12	
04/15/2013	GRAFTECH INTERNATIONAL LTD	384313102	Sell	200.000	$7.05	$1,410.46	
04/15/2013	GRAFTECH INTERNATIONAL LTD	384313102	Sell	1,300.000	$7.05	$9,171.29	
04/15/2013	GRAFTECH INTERNATIONAL LTD	384313102	Sell	3,500.000	$7.05	$24,664.45	
04/18/2013	GRAFTECH INTERNATIONAL LTD	384313102	Sell	400.000	$6.96	$2,784.33	
04/18/2013	GRAFTECH INTERNATIONAL LTD	384313102	Sell	1,943.000	$6.96	$13,512.98	
04/18/2013	GRAFTECH INTERNATIONAL LTD	384313102	Sell	2,657.000	$6.95	$18,465.73	
04/09/2013	GRAMERCY CAPITAL CORP	384871307	Sell	1,000.000	$33.24	$33,229.26	
07/30/2013	GRAMERCY PROPERTY TRUST INC	38489R209	Sell	500.000	$33.80	$16,889.71	
08/06/2013	GRAMERCY PROPERTY TRUST INC	38489R209	Sell	400.000	$33.84	$13,525.77	

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EFTA00315675

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [redacted]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ***-**-[redacted]

Account Executive No: ET1

CORRECTED: 03/19/2014

2013 INVESTMENT DETAILS

DETAILS OF 2013 PURCHASES AND SALES

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comments
03/04/2013	HMG COURTLAND PROPERTIES INC	404232100	Purchase	55.000	$12.61	$693.55	
03/04/2013	HMG COURTLAND PROPERTIES INC	404232100	Purchase	100.000	$12.63	$1,272.99	
03/04/2013	HMG COURTLAND PROPERTIES INC	404232100	Purchase	225.000	$12.01	$2,712.24	
03/04/2013	HMG COURTLAND PROPERTIES INC	404232100	Purchase	500.000	$16.00	$8,009.99	
03/04/2013	HMG COURTLAND PROPERTIES INC	404232100	Purchase	1,945.000	$12.65	$24,604.25	
03/05/2013	HMG COURTLAND PROPERTIES INC	404232100	Purchase	600.000	$15.30	$9,189.99	
03/06/2013	HMG COURTLAND PROPERTIES INC	404232100	Purchase	26.000	$14.42	$374.92	
03/06/2013	HMG COURTLAND PROPERTIES INC	404232100	Purchase	938.000	$14.51	$13,620.37	
03/06/2013	HMG COURTLAND PROPERTIES INC	404232100	Purchase	1,536.000	$14.51	$22,287.36	
10/01/2013	INTEGRATED ELECTRICAL	45811E301	Purchase	2,400.000	$4.01	$9,633.99	
04/15/2013	***MCDERMOTT INTERNATIONAL INC	580037109	Sell	1,864.000	$10.20	$19,002.38	
04/15/2013	***MCDERMOTT INTERNATIONAL INC	580037109	Sell	2,136.000	$10.20	$21,789.27	
05/09/2013	***MCDERMOTT INTERNATIONAL INC	580037109	Sell	5,000.000	$9.40	$46,992.95	
08/06/2013	***MCDERMOTT INTERNATIONAL INC	580037109	Sell	300.000	$7.25	$2,163.47	
08/06/2013	***MCDERMOTT INTERNATIONAL INC	580037109	Sell	2,200.000	$7.24	$15,916.72	
08/06/2013	***MCDERMOTT INTERNATIONAL INC	580037109	Sell	2,500.000	$7.12	$17,793.20	
10/15/2013	MODEL N INC	607525102	Purchase	1,000.000	$9.41	$9,419.99	
10/17/2013	MODEL N INC	607525102	Purchase	100.000	$9.60	$969.98	
10/17/2013	MODEL N INC	607525102	Purchase	900.000	$9.60	$8,640.00	
10/23/2013	MODEL N INC	607525102	Purchase	400.000	$9.26	$3,713.99	
10/25/2013	MODEL N INC	607525102	Purchase	1,000.000	$9.16	$9,169.99	
11/04/2013	MODEL N INC	607525102	Purchase	1,000.000	$8.80	$8,809.99	
11/07/2013	MODEL N INC	607525102	Purchase	1,000.000	$8.41	$8,419.99	
12/13/2013	MODEL N INC	607525102	Sell	900.000	$10.88	$9,781.83	
12/24/2013	MODEL N INC	607525102	Sell	1,000.000	$11.49	$11,479.81	
04/11/2013	PINNACLE ENTERTAINMENT INC	723456109	Sell	1,000.000	$16.44	$16,429.64	
05/29/2013	PINNACLE ENTERTAINMENT INC	723456109	Sell	100.000	$19.50	$1,939.47	
05/29/2013	PINNACLE ENTERTAINMENT INC	723456109	Sell	4,700.000	$19.49	$91,601.40	
05/29/2013	PINNACLE ENTERTAINMENT INC	723456109	Sell	5,000.000	$19.50	$97,488.31	
06/21/2013	TRIAN ACQUISITION	895ESC994	Merger	5,000.000	-	$84.97	
02/28/2013	***ALLOT COMMUNICATIONS LTD	M0854Q105	Sell	400.000	$13.82	$5,517.88	
02/28/2013	***ALLOT COMMUNICATIONS LTD	M0854Q105	Sell	1,100.000	$13.80	$15,179.65	
03/14/2013	***PALADIN ENERGY LTD	Q7264T104	Sell	7,500.000	$1.05	$7,864.83	
04/10/2013	***REGIS RESOURCES NL	Q8059N120	Sell	5,000.000	$4.45	$22,240.01	
04/10/2013	***REGIS RESOURCES NL	Q8059N120	Sell	5,500.000	$4.43	$24,355.01	
04/12/2013	***REGIS RESOURCES NL	Q8059N120	Sell	100.000	$4.25	$415.01	

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E*TRADE CLEARING LLC PO BOX 484 JERSEY CITY, NJ 07303-0484	Account No:	[redacted]
	Account Name:	LESLEY KATHERINE GROFF &
	Taxpayer Identification Number:	***-**-[redacted]
	Account Executive No:	ET1
	CORRECTED:	03/19/2014

2013 INVESTMENT DETAILS

DETAILS OF 2013 PURCHASES AND SALES

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comments
04/23/2013	***REGIS RESOURCES NL	Q8059N120	Sell	2,500.000	$3.61	$9,015.01	
05/09/2013	***REGIS RESOURCES NL	Q8059N120	Sell	1,000.000	$4.24	$4,230.01	
05/21/2013	***REGIS RESOURCES NL	Q8059N120	Sell	9,600.000	$3.71	$35,605.21	
05/31/2013	***REGIS RESOURCES NL	Q8059N120	Sell	6,800.000	$3.84	$26,101.55	
06/20/2013	***REGIS RESOURCES NL	Q8059N120	Sell	1,000.000	$3.05	$3,039.95	
06/20/2013	***REGIS RESOURCES NL	Q8059N120	Sell	10,000.000	$3.00	$29,989.48	
09/12/2013	***REGIS RESOURCES NL	Q8059N120	Sell	5,000.000	$3.81	$19,039.67	

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Account Executive No: ET1

CORRECTED: 03/19/2014

END OF 2013 DETAILS