

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [REDACTED]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ON-FILE

Account Executive No: ET1

ORIGINAL: 12/31/12

2012 FORMS 1099 & DETAILS

LESLEY KATHERINE GROFF &
DANIEL GROFF JT WROS
[REDACTED]

YEAR-END MESSAGES

CERTAIN EVENTS SUCH AS COST BASIS ADJUSTMENTS OR RECLASSIFICATION OF AN INCOME PAYMENT RECEIVED FROM A MUTUAL FUND, ETF, REIT OR RIC MAY RESULT IN AN AMENDED 1099 FOR PRIOR OR CURRENT TAX YEARS. PLEASE SEE INSERT FOR DETAILS OR VISIT WWW.ETRADE.COM/COSTBASIS FOR ANY UPDATES. QUESTIONS PLEASE CALL 1-800-ETRADE-1 (-800-387-2331) OR LOG ON TO YOUR ACCOUNT AND SEND US A SECURE MESSAGE BY CLICKING ON THE CUSTOMER SERVICE LINK AT THE TOP OF THE PAGE.

Your Account did not receive the following Forms:

1099 OID
1099 MISCELLANEOUS

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E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [REDACTED]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: [REDACTED]

Account Executive No: ET1

ORIGINAL: 12/31/12

RECIPIENT'S Name, Street Address, City, State, and Zip Code
LESLEY KATHERINE GROFF &
DANIEL GROFF JTWROS
[REDACTED]

Payer's Federal Identification Number: 32-0012683
Payer's Name, Street, City, State, Zip Code:
E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Copy B for recipient

☐ 2nd TIN Notice

Telephone Number: (800) 387-2331

2012 Consolidated 1099 Form

2012 FORM 1099-DIV: DIVIDENDS AND DISTRIBUTIONS (OMB NO. 1545-0110)

1a	Total Ordinary Dividends	\$4,130.88
1b	Qualified Dividends	\$4,130.88
2a	Total Capital Gain Distributions	-
2b	Unrecap Sec. 1250 gain	-
2c	Section 1202 gain	-
2d	Collectibles (28%) gain	-
3	Nondividend Distributions	-
4	Federal income tax withheld	-
5	Investment Expenses	-
6	Foreign Tax Paid	-
7	Foreign country or US possession	-
8	Cash Liquidation Distributions	-
9	Noncash Liquidation Distributions	-
10	Exempt-interest dividends	\$3.75
11	Specified private activity bond interest dividends	\$2.16

2012 FORM 1099-INT: INTEREST INCOME (OMB NO. 1545-0112)

1	Interest Income not included in box 3	\$763.74
2	Early withdrawal penalty	-
3	Interest on U.S. Savings Bonds & Treasury obligations	-
4	Federal income tax withheld	-
5	Investment Expenses	-
6	Foreign tax paid	-
7	Foreign country or US possession	-
8	Tax-Exempt interest	-
9	Specified private activity bond interest	-
10	Tax-exempt bond CUSIP no. (see instructions)	-

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Instructions for Recipient - Dividend Income

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for the 15% or zero capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet—Line 19 in the Schedule D instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet—Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a non publicly offered regulated investment company, generally a non publicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9. Shows cash and noncash liquidation distributions.

Box 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See box 4.

Box 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the Instructions for Form 6251.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2012 General Instructions for Certain Information Returns.

Instructions for Recipient - Interest Income

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, Gulf tax credit bonds, qualified forestry conservation bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, Midwestern tax credit bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2012 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Credit to Holders of Tax Credit Bonds.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251.

Box 10. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid to you during the calendar year and reported in box 8. If blank, no CUSIP number was issued for the bond(s).

Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other.

Instructions for Recipient - Proceeds From Broker and Barter Exchange Transactions

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 8.

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.

Box 1a. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b. This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a. Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 as explained in the instructions for Schedule D (Form 1040).

Box 2b. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3. Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Schedule D (Form 1040) instructions or Pub. 550 for details about basis.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6a. If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means: a security other than stock; stock purchased before 2011; stock in most mutual funds and other regulated investment companies purchased before 2012; and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b. If checked, the basis in box 3 has been reported to the IRS.

Box 7. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Box 8. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Regulated Futures Contracts (Boxes 9 Through 12):

Box 9. Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2012.

Box 10. Shows any year-end adjustment to the profit or (loss) shown in box 9 due to open contracts on December 31, 2011.

Box 11. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2012. These are considered sold as of that date. This will become an adjustment reported in box 10 in 2013.

Box 12. Boxes 9, 10, and 11 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2012 Form 6781.

Instructions for Recipient - Original Issue Discount

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550, Investment Income and Expenses, for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, Guide to Original Issue Discount (OID) Instruments, for details on how to figure the correct OID.

Box 2. Shows other interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 6, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 6. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1.

Box 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 2.

Box 8—10. State income tax withheld reporting.

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [REDACTED]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: [REDACTED]

Account Executive No: ET1

ORIGINAL: 12/31/12

RECIPIENT'S Name, Street Address, City, State, and Zip Code
LESLEY KATHERINE GROFF &
DANIEL GROFF JTWROS
[REDACTED]

Payer's Federal Identification Number: 32-0012683
Payer's Name, Street, City, State, Zip Code:
E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Copy B for recipient ☐ 2nd TIN Notice

Telephone Number: (800) 387-2331

2012 GROSS PROCEEDS

2012 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Box 6a: Non-Covered

Box 6b:

Box 1c: Type of Gain or Loss:

Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked.

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)	(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)	
Date of Sale or Exchange	Date of Acquisition	Description	Symbol	CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed
11/20/12		***BLUTIP POWERTECHNOLOGIES	HYDVF	09644C106	770,900.00000 PRICE:0.00000	\$1.02				-
03/28/12		CAMBREX CORP	CBM	132011107	840.00000 PRICE:7.00089	\$5,880.61				-
03/28/12		CAMBREX CORP	CBM	132011107	4,160.00000 PRICE:7.00000	\$29,109.35				-
06/19/12		CAMBREX CORP	CBM	132011107	1,000.00000 PRICE:8.05000	\$8,039.82				-
04/04/12		NII HOLDINGS INC	NIHD	62913F201	500.00000 PRICE:18.22000	\$9,099.80				-
04/18/12		RADIO ONE INC	ROIAK	75040P405	9,999.00000 PRICE:1.00000	\$9,988.78				-
04/19/12		RADIO ONE INC	ROIAK	75040P405	9,999.00000 PRICE:1.00000	\$9,988.78				-
04/24/12		RADIO ONE INC	ROIAK	75040P405	21,000.00000 PRICE:0.99000	\$20,779.54				-
11/09/12		RADIO ONE INC	ROIAK	75040P405	9,002.00000 PRICE:0.82000	\$7,371.48				-

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E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [REDACTED]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: [REDACTED]

Account Executive No: ET1

ORIGINAL: 12/31/12

2012 GROSS PROCEEDS

2012 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Box 6a: Non-Covered

Box 6b:

Box 1c: Type of Gain or Loss:

Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked.

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)	(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)	
Date of Sale or Exchange	Date of Acquisition	Description	Symbol	CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed
01/27/12		***REGIS RESOURCES NL	RGRNF	Q8059N120	2,600.00000 PRICE: 4.21000	\$10,936.01				-
07/26/12		***REGIS RESOURCES NL	RGRNF	Q8059N120	2,500.00000 PRICE: 4.70000	\$11,740.01				-
09/14/12		***REGIS RESOURCES NL	RGRNF	Q8059N120	1,000.00000 PRICE: 5.52000	\$5,510.01				-
09/25/12		***REGIS RESOURCES NL	RGRNF	Q8059N120	1,000.00000 PRICE: 5.74000	\$5,730.01				-
12/24/12		***REGIS RESOURCES NL	RGRNF	Q8059N120	10,000.00000 PRICE: 5.41000	\$54,090.01				-
06/14/12		SAPIENT CORP	SAPE	803062108	3,000.00000 PRICE: 10.71010	\$32,119.59				-
		15 ITEMS - Total				\$220,384.82				-

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E*TRADE CLEARING LLC
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Account No: [REDACTED]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: [REDACTED]

Account Executive No: ET1

ORIGINAL: 12/31/12

2012 GROSS PROCEEDS

2012 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Box 6a: Covered Transaction

Box 6b: Cost Basis Reported to IRS

Box 1c: Type of Gain or Loss: Short Term

Report on Form 8949, Part I, with Box A checked.

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)	(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)	
Date of Sale Or Exchange	Date of Acquisition	Description	Symbol	CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed
11/20/12	12/23/11	***BLUTIP POWER TECHNOLOGIES	HYDVF	09644C106	32,000.00000 PRICE: 0.00000	\$0.01	\$1,427.59	-	-	-
03/22/12	09/08/11	GLOBALOPTIONS GROUP INC	GLOI	37946D209	500.00000 PRICE: 2.97000	\$1,474.98	\$1,150.33	-	-	-
05/29/12	09/08/11	GLOBALOPTIONS GROUP INC	GLOI	37946D209	2,067.00000 PRICE: 2.89000	\$5,963.50	\$4,755.48	-	-	-
06/25/12	09/08/11	GLOBALOPTIONS GROUP INC	GLOI	37946D209	4,726.00000 PRICE: 2.88000	\$13,600.58	\$10,872.95	-	-	-
07/05/12	09/09/11	GLOBALOPTIONS GROUP INC	GLOI	37946D209	2,292.00000 PRICE: 2.89000	\$6,621.44	\$5,182.33	-	-	-
07/05/12	09/08/11	GLOBALOPTIONS GROUP INC	GLOI	37946D209	7,707.00000 PRICE: 2.89000	\$22,265.03	\$17,731.23	-	-	-
07/09/12	09/09/11	GLOBALOPTIONS GROUP INC	GLOI	37946D209	7,208.00000 PRICE: 2.99000	\$21,541.44	\$16,297.66	-	-	-
03/28/12	07/25/11	ONCOTHYREON INC	ONTY	682324108	250.00000 PRICE: 4.26250	\$1,065.60	\$2,255.00	-	-	-
03/28/12	07/25/11	ONCOTHYREON INC	ONTY	682324108	250.00000 PRICE: 4.26000	\$1,063.87	\$2,255.00	-	-	-
03/28/12	07/21/11	ONCOTHYREON INC	ONTY	682324108	400.00000 PRICE: 4.26000	\$1,702.18	\$4,173.99	-	-	-
03/28/12	07/21/11	ONCOTHYREON INC	ONTY	682324108	600.00000 PRICE: 4.26000	\$2,553.28	\$6,245.70	-	-	-
03/28/12	07/22/11	ONCOTHYREON INC	ONTY	682324108	1,000.00000 PRICE: 4.26000	\$4,255.46	\$9,739.89	-	-	-
04/18/12	07/25/11	ONCOTHYREON INC	ONTY	682324108	500.00000 PRICE: 4.03010	\$2,013.01	\$4,510.00	-	-	-
04/18/12	07/25/11	ONCOTHYREON INC	ONTY	682324108	1,000.00000 PRICE: 4.03010	\$4,026.01	\$8,444.39	-	-	-

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E*TRADE CLEARING LLC
PO BOX 484
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Account No: [REDACTED]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: [REDACTED]

Account Executive No: ET1

ORIGINAL: 12/31/12

2012 GROSS PROCEEDS

2012 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Box 6a: Covered Transaction

Box 6b: Cost Basis Reported to IRS

Box 1c: Type of Gain or Loss: Short Term

Report on Form 8949, Part I, with Box A checked.

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)		(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)
Date of Sale or Exchange	Date of Acquisition	Description	Symbol	CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed
04/18/12	07/26/11	ONCOTHYREON INC	ONTY	682324108	1,000.00000 PRICE: 4.03010	\$4,026.01	\$8,079.49	-	-	-
15 ITEMS - Total						\$92,172.40	\$103,121.03	-	-	-

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [REDACTED]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: [REDACTED]

Account Executive No: ET1

ORIGINAL: 12/31/12

2012 GROSS PROCEEDS

2012 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Box 6a: Covered Transaction

Box 6b: Cost Basis Reported to IRS

Box 1c: Type of Gain or Loss: Long Term

Report on Form 8949, Part II, with Box A checked.

(Box 1a)	(Box 1b)	(Box 8)	(Box 1d)	(Box 1e)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 2b)	
Date of Sale or Exchange	Date of Acquisition	Description	Symbol	CUSIP	Quantity Sold	Sales Price Less Commission and Option Premiums	Cost or Other Basis	Wash Sale Loss Disallowed	Federal Income Tax Withheld	Loss Not Allowed
04/03/12	03/16/11	***ALLOT COMMUNICATIONS LTD	ALLT	M0854Q105	500.00000 PRICE: 23.40000	\$11,689.74	\$6,177.50	-	-	
06/25/12	04/20/11	ROVI CORP	ROVI	779376102	100.00000 PRICE: 19.77000	\$1,976.95	\$4,983.33	-	-	
06/25/12	04/20/11	ROVI CORP	ROVI	779376102	200.00000 PRICE: 19.78000	\$3,955.91	\$9,966.66	-	-	
06/25/12	02/23/11	ROVI CORP	ROVI	779376102	300.00000 PRICE: 19.79000	\$5,926.87	\$15,819.99	-	-	
06/25/12	03/29/11	ROVI CORP	ROVI	779376102	400.00000 PRICE: 19.77000	\$7,907.82	\$21,853.99	-	-	
5 ITEMS - Total						\$31,457.29	\$58,801.47	-	-	

END OF 2012 CONSOLIDATED FORM 1099

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E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [REDACTED]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ON-FILE

Account Executive No: ET1

ORIGINAL: 12/31/12

2012 DIVIDEND & DISTRIBUTION DETAILS

DETAILS OF 2012 FORM 1099-DIV

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
12/17	BABCOCK & WILCOX CO	05615F102	Dividend	\$560.00	-	-		
07/06	CONVERGYS CORP	212485106	Dividend	\$375.00	-	-		
10/05	CONVERGYS CORP	212485106	Dividend	\$375.00	-	-		
10/22	DELL INC	24702R101	Dividend	\$400.00	-	-		
01/09	MERCK & CO INC	58933Y105	Dividend	\$605.22	-	-		
04/09	MERCK & CO INC	58933Y105	Dividend	\$605.22	-	-		
07/09	MERCK & CO INC	58933Y105	Dividend	\$605.22	-	-		
10/05	MERCK & CO INC	58933Y105	Dividend	\$605.22	-	-		
Total Qualified Dividends (Box 1b included in Box 1a)				\$4,130.88				
Total Ordinary Dividends (Box 1a)				\$4,130.88				
02/01	JPMORGAN MUNICIPAL MONEY MARKET FDE TRADE CLASS	4812C2635	Dividend	\$1.59	-	-		
Total Exempt-interest dividends (Box 10)				\$1.59				
02/01	JPMORGAN MUNICIPAL MONEY MARKET FDE TRADE CLASS	4812C2635	Dividend	\$2.16	-	-		
Total Specified Private Activity bond interest dividends (Box 11)				\$2.16				
Total Tax Exempt Interest dividends (Box 10)				\$3.75				

This statement is not a substitute for form 1099 and is provided for informational purposes only

E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [REDACTED]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ON-FILE

Account Executive No: ET1

ORIGINAL: 12/31/12

2012 INTEREST INCOME DETAILS

DETAILS OF 2012 FORM 1099-INT

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
02/27	E TRADE BANK		Corporate Interest	\$0.37	-	-		
03/26	E TRADE BANK		Corporate Interest	\$0.19	-	-		
04/26	E TRADE BANK		Corporate Interest	\$12.95	-	-		
05/29	E TRADE BANK		Corporate Interest	\$50.52	-	-		
06/26	E TRADE BANK		Corporate Interest	\$58.05	-	-		
07/26	E TRADE BANK		Corporate Interest	\$93.07	-	-		
08/27	E TRADE BANK		Corporate Interest	\$112.05	-	-		
09/26	E TRADE BANK		Corporate Interest	\$111.65	-	-		
10/26	E TRADE BANK		Corporate Interest	\$111.94	-	-		
11/26	E TRADE BANK		Corporate Interest	\$114.49	-	-		
12/26	E TRADE BANK		Corporate Interest	\$98.46	-	-		
Total Interest Income Not Included in Box 3 (Box 1)				\$763.74				

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E*TRADE CLEARING LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: [REDACTED]
Account Name: LESLEY KATHERINE GROFF &
Taxpayer Identification Number: ON-FILE

Account Executive No: ET1

ORIGINAL: 12/31/12

2012 INVESTMENT DETAILS

DETAILS OF 2012 PURCHASES AND SALES

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
11/09	FIRST MARBLEHEAD CORP	320771108	Bought	100.00	\$0.84	\$83.56	
11/09	FIRST MARBLEHEAD CORP	320771108	Bought	100.00	\$0.84	\$83.77	
11/09	FIRST MARBLEHEAD CORP	320771108	Bought	400.00	\$0.84	\$334.40	
11/09	FIRST MARBLEHEAD CORP	320771108	Bought	400.00	\$0.84	\$335.24	
11/09	FIRST MARBLEHEAD CORP	320771108	Bought	600.00	\$0.84	\$511.53	
11/09	FIRST MARBLEHEAD CORP	320771108	Bought	8,399.00	\$0.84	\$7,055.16	
11/13	FIRST MARBLEHEAD CORP	320771108	Bought	9,999.00	\$0.76	\$7,609.23	
11/16	FIRST MARBLEHEAD CORP	320771108	Bought	100.00	\$0.68	\$67.88	
11/16	FIRST MARBLEHEAD CORP	320771108	Bought	300.00	\$0.68	\$213.78	
11/16	FIRST MARBLEHEAD CORP	320771108	Bought	500.00	\$0.68	\$339.50	
11/16	FIRST MARBLEHEAD CORP	320771108	Bought	9,099.00	\$0.68	\$6,187.32	
11/19	FIRST MARBLEHEAD CORP	320771108	Bought	2,154.00	\$0.71	\$1,539.33	
11/20	FIRST MARBLEHEAD CORP	320771108	Bought	25,000.00	\$0.70	\$17,509.99	

DETAILS OF 2012 OPTIONS PURCHASES AND SALES

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
02/23	CALL ONTY 05/19/12 12 ONCOTHYREON INC		Bought	25.00	\$2.55	\$6,403.94	

END OF 2012 DETAILS

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