

**From:** [REDACTED] >  
**To:** Ike Groff <[REDACTED]>  
**Subject:** Re: E\*Trade Securities LLC  
**Date:** Tue, 17 Jan 2012 20:35:23 +0000

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i don't know ...he was saying Williams Trading when I was on the phone with him also...I said NO, not Williams Trading you have been sending confirms to Greenwich Prime...I asked him to stop sending them to Greenwich Prime and to take out the addresses for Williams Trading and Greenwich Prime because you do not work at either place any more...I said the only address he should have for you is Tourmaline.

On Jan 17, 2012, at 3:29 PM, Ike Groff wrote:

> What is he talking about Williams trading for?

>  
> From: Scarsdale Branch [mailto:[REDACTED]]  
> Sent: Tuesday, January 17, 2012 2:49 PM  
> To: Ike Groff  
> Subject: E\*Trade Securities LLC

> ACCT [REDACTED]

> Hi [REDACTED],

> It was a pleasure speaking with you again. I asked the back office to stop sending the dupe confirms to Greenwich Prime. The compliance letter that we received from Williams Trading was actually a letter requesting us to stop sending them the dupe confirms. I am including details of the cash promotion below that we spoke about. If Dan has any 401K's from his previous employers that he would consider bringing in, it would also be eligible for the cash promotion along with 90 days of free trades if he opens a new Rollover IRA account. I can also offer you lower commissions on all accounts and a higher interest rate on cash balances as additional incentives. Please let me know if you are not happy with any outside accounts that are earning very little in interest so I can see what the highest interest rate is that I can get you. Please feel free to call me with any questions. Have a great day.

> Promotion Details: You will receive up to 300 trade commissions for each stock or options trade executed within 90 days of the deposited funds being made available for investment in the new account (excluding options contract fees). You will pay \$9.99 for your first 149 stock or options trades and \$7.99 thereafter up to 300 stock or options trades (plus 75¢ per options contract). Your account will be credited for trades within a week. Account must be funded within 60 days of account open.

> Qualified accounts must be funded with external assets within 45 days to receive corresponding cash credit. Account will be credited within one week of the close of the 45-day window.

> Offer tiers:

> Asset Brackets

> Cash Credit

> 90 days Free Trades

> \$2K-\$24,999K

> \$0

> X

> \$25K-\$49,999K

> \$100  
>  
> X  
>  
> \$50K - \$99,999K  
>  
> \$200  
>  
> X  
>  
> \$100K-\$249,999K  
>  
> \$500  
>  
> X  
>  
> \$250K+  
>  
> \$1,000  
>  
> X  
>  
>

> Sincerely,

>  
> Alan Jurlina  
> Financial Consultant  
> E\*TRADE Securities LLC  
> E\*TRADE Capital Management, LLC

> [REDACTED]  
> [REDACTED]  
> P: [REDACTED]  
> F: [REDACTED]  
>  
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