

**RICHARD D'AGOSTINO, CPA**

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**Experience:**

**Perilune Group, LLC, New York, NY**

**1/11 – present**

CFO – Multi-Family Office

- Accountable for full operational, tax and accounting activities of staff of 5 covering investing, charitable and personal entities
- Review and approve various legal entity tax returns and related payments to respective jurisdictions
- Implemented new legal entity structure to achieve tax optimization for family members
- Assist principal family member in analysis and selection of portfolio investments
- Monitor monthly close process in conjunction with external administrator
- Correspond directly with and guide family members to ensure their family goals/needs are attended to appropriately
- Guide and mentor staff to achieve both firm and employee specific goals
- Coordinate office interaction with external service providers and consultants
- Transitioned office to electronic filing system, instituted on-line banking and reduced manual processes
- Review and approve all cash movements

**Paul Soros Investments, New York, NY**

**9/06 – 1/11**

CFO – Single Family Office

- Responsible for daily oversight of over 20 investment vehicles holding hedge funds, private equity funds and directs
- Prepare weekly and monthly portfolio performance/activity reports for various family members
- Monitor cash and liability management to satisfy funding and debt obligations of both family members and entities
- Facilitate cash movements for individuals and investment vehicles
- Interact with external managers and administrators in all aspects of direct and indirect investments
- Negotiate and maintain credit facilities including compliance thereof
- Prepare individual and entity tax estimates as well as contribute to family tax planning initiatives
- Daily and direct communication with CEO and patriarch of family
- Responsible for payroll and other administrative matters

**Swiss Reinsurance Company, New York, NY** (*Group sold to external manager in September 2006*)

**11/04 – 9/06**

Senior Vice President – Private Equity Fund of Funds [member of Executive Team]

- Managed finance, administration and reporting of multiple fund of fund portfolios covering over 170 fund investments (\$2.1B)
- Reviewed quarterly and annual financial statements including interacting with external auditors
- Implemented new reporting format to third-party investors
- Coordinated deal execution process with investment team and outside counsel including negotiation of fund terms
- Strengthened internal controls to ensure compliance with Sarbanes-Oxley requirements
- Directed migration to and implementation of new software platform applicable to private equity funds
- Prepared business-line budget in conjunction with Group CFO
- Determined liquidity needs of fund of fund vehicles via communication with external fund managers
- Prepared subscription agreements and other related legal documentation for fund commitments
- Negotiated and implemented credit facilities for various fund vehicles

**Soros Fund Management LLC, New York, NY**

**3/01-11/04**

Controller – Private Equity Controllers Group

- Responsible for oversight of accounting and reporting of \$1.3 billion private equity fund and other investment vehicles
- Directed monthly close and reconciliation process with external administrator
- Implemented policies and procedures for accounting operations staff including documentation thereof
- Ensured all investments, direct and fund of fund, adhered to fund's valuation policy
- Analyzed and managed cash position of fund and invested excess for yield enhancement
- Communicated with outside managers on underlying fund investments to monitor capital calls and distributions
- Revamped tax-reporting process including creation of new templates to calculate taxable income
- Coordinated audit process of several investment vehicles

**Deloitte & Touche LLP, New York, NY**

12/97-3/01

Manager – Investment Management Tax

- Reviewed tax provisions and tax returns, including K-1s, for Investment Partnerships and Regulated Investment Companies
- Consulted with clients in determining accounting, tax and operational policies and procedures
- Managed daily administrative/operational functions for several major clients including staff supervision
- Researched accounting and tax consequences associated with various transactions and financial instruments
- Trained and educated staff through formal and informal sessions
- Automation project: developed and tested partnership tax allocation system with IT team to be used by clients

**Bankers Trust Co., New York, NY** (*Department relocated out of state in November 1997*)

2/97-11/97

Assistant Tax Manager – Global Investment Management

- Supervised preparation and filing of tax returns for Master Feeder Partnerships and Regulated Investment Companies
- Interacted with Private Client Services in dealing with shareholder investment issues
- Reviewed annual financial statements including proper footnote disclosure
- Researched current tax issues and industry developments

**Smith Barney, Inc., Proprietary Mutual Funds, New York, NY**

1/92-2/97

Tax Accountant

- Prepared all federal tax provisions and corporate tax returns including tax disclosure for financial statements
- Researched and documented tax issues for supervisors including analysis of fund mergers and corporate actions
- Calculated and analyzed annual income/capital gain distribution requirements
- Prepared annual 1099 tax information booklet for shareholders

Accounting Section Manager

- Supervised all accounting functions of a staff of five including calculation of daily net asset value of fixed income and equity portfolios
- Reviewed of financial statements for Annual and Semi-Annual reports to shareholders as well as other regulatory filings
- Reviewed of multi-class dividend projections with rate recommendations to portfolio managers
- Responsible for de-conversion of external mutual funds including reconciliation and analysis of all balance sheet items
- Analyzed expense budgets on a quarterly and annual basis with proposed adjustments
- Trained new employees, insuring that proper quality controls were maintained

**EDUCATION: B.S., Accounting,**

University of South Florida, December 1991

**COMPUTER SKILLS:**

- Experience with Microsoft Office, Microsoft Sharepoint, ATWeb, Investran and Quickbooks