

	<b>Biographical Information</b>	<b>Pro</b>	<b>Con</b>	<b>SG Recommendation</b>
<b>Public Chair List:</b>				
Leona Beane	<ul style="list-style-type: none"> <li>• JD from NYU in '68</li> <li>• Extensive education: MS from Columbia (64) &amp; MBA/MS from City College (62 &amp; 58)</li> <li>• Lives in NYC</li> <li>• Law Professor for 20 years at Baruch College NYU</li> <li>• Specialize in Guardianship law</li> </ul>	<ul style="list-style-type: none"> <li>• No ties to securities industry</li> <li>• Unsophisticated on investment products</li> </ul>	<ul style="list-style-type: none"> <li>• Woman</li> <li>• Former President of National Association of Woman Lawyers</li> <li>• Probably a strong feminist, especially given her extensive education during 1960s</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>
Richard Herzfeld	<ul style="list-style-type: none"> <li>• JD SUNY, '77.</li> <li>• LLM in Tax from NYU '84.</li> <li>• Lives in NYC</li> <li>• Works at Bahn, Herzfeld &amp; Mutler. General practice, including both transactional and litigation work. Does represent broker/dealers in arbitration and litigation both as plaintiff and defendant</li> <li>• Prior legal practice in various firms of little note</li> </ul>	<ul style="list-style-type: none"> <li>• Some experience as a trial lawyer</li> </ul>	<ul style="list-style-type: none"> <li>• Advertises himself on website as representing broker dealers and investment advisors. Does not say he represents investors or shareholders (<i>i.e.</i>, plaintiffs in securities fraud cases).</li> <li>• His disclosure says that he has "initiated/defended cases" involving Morgan Stanley, Salomon Smith Barney, etc. My guess is he has defended them.</li> <li>• Does a fair amount of transactional work</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>
Howard Weitz	<ul style="list-style-type: none"> <li>• Law degree from Brooklyn Law School, '59.</li> <li>• Lives in Scarsdale</li> </ul>	<ul style="list-style-type: none"> <li>• Scarsdale Jew</li> <li>• No obvious ties to securities industry</li> <li>• Accounting</li> </ul>	<ul style="list-style-type: none"> <li>• Nothing obvious</li> </ul>	<ul style="list-style-type: none"> <li>• Rank medium</li> </ul>

	<b>Biographical Information</b>	<b>Pro</b>	<b>Con</b>	<b>SG Recommendation</b>
	<ul style="list-style-type: none"> <li>• Works for Howard Weitz PC—own firm. Has since 1975.</li> <li>• Was an accountant who went to law school at night. Says his accounting background is critical in his legal work.</li> <li>• Claims to have a background doing compliance work for securities issuers and seems fairly sophisticated about investments. But not really clear how extensive this was.</li> </ul>	<p>background, so if BSAM did funky accounting, he may not like it.</p> <ul style="list-style-type: none"> <li>• Does not appear to be a super sophisticated investor</li> </ul>		
Irwin Kahn	<ul style="list-style-type: none"> <li>• NYU Law, '58</li> <li>• Lives in Wantagh NY</li> <li>• Worked at Kahn &amp; Horowitz from 1958 until today. Can find little evidence of what this firm does.</li> <li>• Advertises himself as specializing in arbitration and mediation.</li> </ul>	<ul style="list-style-type: none"> <li>• Discloses he belongs to American Association of Justice—formerly American Association of Trial Lawyers (plaintiff's lawyer primary lobby group)</li> <li>• No ties to securities industry</li> <li>• No evidence of specialized securities background</li> </ul>		<ul style="list-style-type: none"> <li>• Rank fairly high.</li> </ul>
Zuhayr Moghrabi	<ul style="list-style-type: none"> <li>• NYU Law School, '67 [Columbia and Tulsa for undergrad]</li> <li>• Lives in NYC</li> <li>• Began his career in the</li> </ul>	<ul style="list-style-type: none"> <li>• No ties to securities industry or much sophistication about investing</li> </ul>	<ul style="list-style-type: none"> <li>• Muslim—probably pretty conservative</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>

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	<p>oil business as a manager; he had a Petroleum Engineer degree. Then, went to law school.</p> <ul style="list-style-type: none"> <li>• Work in private practice for his own firm doing transactional work and litigation, but not clear that the practice is active</li> <li>• Primarily appears to teach at NYU on international law, including Sharia law</li> <li>• Formerly Chancellor of a university in UAE</li> </ul>			
Richard Weinberger	<ul style="list-style-type: none"> <li>• Brooklyn Law, '67</li> <li>• City College, '63</li> <li>• Lives in Spring Valley, NY</li> <li>• Director of Mutual Fund called Spirit of America Investment Fund</li> <li>• 30 years at Bullen, Stoll, Bader &amp; Nader. Appears to be defense oriented law firm</li> <li>• 14 years as Bronx County Asst DA</li> </ul>	<ul style="list-style-type: none"> <li>• Spirit of America is a shady outfit. The man who runs it is named David Lerner. He built it by advertising on the radio on Long Island, "Take a Tip from Poppy" invest in Spirit America, etc. Lerner's firm has run into trouble with regulatory authorities before, and Lerner was recently sued for sexual harassment.</li> </ul>	<ul style="list-style-type: none"> <li>• Direct Association with a securities firm. In fact, it is not clear why he qualifies to be on the "public" list (which is supposed to be folks with no ties to industry)</li> <li>• Law firm is defense oriented</li> <li>• Significant law enforcement experience, but decades ago and he is willing to associate with Lerner</li> </ul>	<ul style="list-style-type: none"> <li>• Tough call.</li> </ul>
David Goldblatt	<ul style="list-style-type: none"> <li>• Yale Law, '61</li> <li>• Antioch College, '58</li> </ul>		<ul style="list-style-type: none"> <li>• Classic defense lawyer. Defended various</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>

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	<ul style="list-style-type: none"> <li>• Lives in NYC</li> <li>• Retired in 1997 after almost 40 year career at Proskauer Rose</li> <li>• Unclear that he does anything today</li> <li>• Married to another Proskauer Partner—second marriage</li> </ul>		<p>securities fraud cases while in private practice.</p>	
Michell Friedman	<ul style="list-style-type: none"> <li>• JD from St. John's in 1978 (undergrad CUNY)</li> <li>• Live in New Hyde Park</li> <li>• Works as Administrative Law Judge for NYS Office of Disability Assistance (since 1996)</li> <li>• Since 1991, worked as ALJ for various New York State agencies (liquor and labor)</li> <li>• Very familiar with labor and employment law</li> <li>• Hasn't been in private practice since 1991, and even then, worked for small firms—apparently with a focus on real estate</li> <li>• Been involved in numerous arbitrations.</li> </ul>	<ul style="list-style-type: none"> <li>• No obvious defense bias</li> <li>• No experience with securities offerings.</li> <li>• Yet, just based on public decisions, he has been involved in some significant arbitrations, including one over Henry Blodget's bs "research" for internet stocks. Case was dismissed without prejudice. And, awarded \$8 million in arbitration but it appears the defendant, who was bankrupt, did not participate in the</li> </ul>		<ul style="list-style-type: none"> <li>• Rank high</li> </ul>

Public Arbitrator List	Biographical Information	Pro	Con	SG Recommendation
Dora Lassinger	<ul style="list-style-type: none"> <li>• JD from George Washington, '77 (undergrad Clark, '74)</li> <li>• Lives in East Rockaway</li> <li>• Private practice largely as a solo, focus since 1988 on appellate work and service as arbitrator</li> <li>• 1980 (for one-year) worked as prosecutor for the Government of the US Virgin Islands</li> </ul>	<ul style="list-style-type: none"> <li>• No ties to securities industry at all, and likely not sophisticated about investments.</li> </ul>	<ul style="list-style-type: none"> <li>• Woman</li> <li>• Worked for Virgin Islands AG, but for only one year</li> <li>• All publicly available decisions involve relatively small broker disputes. However, in almost no cases did she grant any relief.</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>
Hal Webb	<ul style="list-style-type: none"> <li>• Law degree from GW, '59, BS from Univ of Alabama, '53.</li> <li>• Lives in NYC</li> <li>• Retired since 2002</li> <li>• Spent most his career working for US Atty Office in Miami and DOJ. Worked on various white collar crime issues, including a fair amount securities fraud work.</li> <li>• Semi-retired in 1995</li> </ul>	<ul style="list-style-type: none"> <li>• Says that he served as co-counsel in a securities class action, although he doesn't say it for the class or defendants, it must have been as class counsel.</li> </ul>	<ul style="list-style-type: none"> <li>• Significant law enforcement experience, but prosecuting people who commit securities violations.</li> <li>• Old. Started college in 1949. Might be pretty conservative.</li> </ul>	<ul style="list-style-type: none"> <li>• Strike or rank low</li> </ul>

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	<ul style="list-style-type: none"> <li>• Went back to work as an Administrative Law Judge for NY City Dep't of Health from 1995-2002, dealing with health and safety code violations</li> <li>• Served in Army from 1953-55</li> </ul>			
Richard Dannenberg	<ul style="list-style-type: none"> <li>• Yale Law School, '55.</li> <li>• Duke, '52</li> <li>• Lives in Purchase NY</li> <li>• Retired from Lowey Dannenberg—plaintiff's law firm, including securities fraud class actions</li> <li>• Currently, Adjunct Professor at Pace University. Serves on Pace Investor Rights Project</li> </ul>	<ul style="list-style-type: none"> <li>• Former firm is a plaintiff's securities fraud class action firm</li> <li>• Interested in Investor Rights</li> </ul>		<ul style="list-style-type: none"> <li>• Rank high (only question is whether this is a waste of high rank given than the other side will surely strike)</li> </ul>
Pamela Roderick	<ul style="list-style-type: none"> <li>• Not a lawyer</li> <li>• Wheaton College, '66</li> <li>• Freelance writer since 1988. Claims to write on business topics.</li> <li>• Lives in Brooklyn</li> </ul>	<ul style="list-style-type: none"> <li>• No ties to securities industry</li> <li>• No defense bias</li> <li>• Probably unsophisticated about investments</li> <li>• Loyal Democrat</li> </ul>	<ul style="list-style-type: none"> <li>• Woman</li> </ul>	<ul style="list-style-type: none"> <li>• Tough call. Probably could for us, but for gender.</li> </ul>

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Alice Lee-Getman	<ul style="list-style-type: none"> <li>• Not a lawyer</li> <li>• MBA from Wagner, '86</li> <li>• Appears to be from Japan</li> <li>• Spent many years as an accountant at various companies.</li> </ul>		<ul style="list-style-type: none"> <li>• Woman</li> <li>• Spent years as an accountant in corporate environments. Likely to be very defense oriented--conservative</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>
John Kennedy	<ul style="list-style-type: none"> <li>• Hofstra Law, '91</li> <li>• Nassau Community College, '72</li> <li>• Former Nassau County Deputy Commissioner of Police</li> <li>• Worked for police force from 1958-2002</li> <li>• Lives in Bayville, NU</li> <li>• Serves as an Associate Village Justice</li> </ul>		<ul style="list-style-type: none"> <li>• Nightmare for JEE—former police office, not Jewish. Probably hates rich Jewish investors</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>
Peter Trinkle	<ul style="list-style-type: none"> <li>• Not a lawyer</li> <li>• Skidmore College, '94</li> <li>• Brooklyn, NY</li> <li>• Works for Kaplan as an “instructor” (presumably does SAT prep). For past 2-years</li> <li>• “Freelance writer” too.</li> <li>• Hasn't had a real</li> </ul>	<ul style="list-style-type: none"> <li>• Wild card.</li> <li>• Smart guy in late 30s who has not found his way in life</li> <li>• Might identify with JEE's life story.</li> <li>• Probably not sympathetic to Bear Stearns</li> </ul>	<ul style="list-style-type: none"> <li>• Could be very jealous of JEE</li> </ul>	<ul style="list-style-type: none"> <li>• Rank him fairly high</li> </ul>

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	job since 2003. <ul style="list-style-type: none"> <li>• Spent first 5-years out of college at John Hancock as a “manager”</li> <li>• Has broker/deal licenses but expired</li> </ul>			
Edward Toptani	<ul style="list-style-type: none"> <li>• Michigan Law, '87. Undergrad too, '83.</li> <li>• Lives in NYC</li> <li>• Has own firm. Files securities fraud cases, including class actions.</li> <li>• Worked as associate for Sherman &amp; Sterling for 4 years</li> <li>• Worked for Robert Torricelli as legislative assist, and for various left-leaning non-profits</li> </ul>	<ul style="list-style-type: none"> <li>• Plaintiff’s lawyer.</li> <li>• Loyal Democrat</li> </ul>	<ul style="list-style-type: none"> <li>• Seemingly sophisticated about private placement memos (says he occasionally drafts them)</li> </ul>	<ul style="list-style-type: none"> <li>• Rank high.</li> </ul>

Non-public List	Biographical Info	Pro	Con	SG Recommendation
Mark Egert	<ul style="list-style-type: none"> <li>• GW Law, '87</li> <li>• Lives in NY</li> <li>• Chief Compliance Officer for Cowen &amp; Co.</li> <li>• Legal &amp; Compliance Director for RBC Capital Markets</li> <li>• GC for ABN Amro</li> </ul>		<ul style="list-style-type: none"> <li>• Nightmare. He is a high-ranking in-house lawyer for investment banks.</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>
James Berliner	<ul style="list-style-type: none"> <li>• Not a lawyer</li> <li>• Lives in Larchmont, NY</li> <li>• Retired since 2005—works for non-profits</li> <li>• Started college in 1947, so in his 80s.</li> <li>• Spent 30 years at JP Morgan as a commercial banker in various capacities</li> </ul>		<ul style="list-style-type: none"> <li>• Commercial banker who is likely to be very focused on the disclosure language in the Offering Memo. Probably not very sympathetic to sophisticated person claiming they were defraud since he probably dealt with a lot of borrowers making similar claims, etc.</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>
Brian Neville	<ul style="list-style-type: none"> <li>• NYU Law, '93</li> <li>• His current firm handles plaintiff's cases involving hedge fund investments gone bad</li> <li>• Started out as an in-house lawyer at Prudential &amp; Josephthal &amp; Ross defending against claims in arbitration, including claims over limited partnership deals</li> </ul>	<ul style="list-style-type: none"> <li>• Despite his past, his current firm is a plaintiff's firm.</li> </ul>	<ul style="list-style-type: none"> <li>• Worked defending claims like JEE's</li> </ul>	<ul style="list-style-type: none"> <li>• Rank him high</li> </ul>

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	gone bad.			
Andrew Donovan	<ul style="list-style-type: none"> <li>• Not a lawyer</li> <li>• Certified Financial Planner</li> <li>• Lives in Mohegan Lake, NY</li> <li>• Spent his career as a investment advisor at various firms, including Chase, Kidder, Merrill Lynch</li> <li>• Long Naval Career. Was in the reserves until 2002. Obtained rank of Lt Commander</li> <li>• Westchester County Legislator</li> <li>• Active in 4H and Boy Scouts</li> </ul>		<ul style="list-style-type: none"> <li>• Probably not sympathetic to investors who claim they were misled by advisors. May be jealous of JEE's career as a financial advisor to folks.</li> <li>• Navel career</li> <li>• Conservative.</li> <li>• Not Jewish</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>
Antonio Concepcion	<ul style="list-style-type: none"> <li>• No college education</li> <li>• Lives in Highstown, NY</li> <li>• Graduated High School in 1953</li> <li>• Career spent as a trader at various no name securities firms—except from 1 year at EF Hutton in the 60s. Appears to have focused on trading “foreign” securities</li> <li>• Retired in 2001</li> <li>• Proudly describes his military career during the 1950s. Remained in the Reserves until 1969</li> </ul>	<ul style="list-style-type: none"> <li>• Might not like the high flying traders at Bear Stearns</li> </ul>	<ul style="list-style-type: none"> <li>• Probably conservative</li> <li>• Not Jewish</li> <li>• May not like JEE's career path</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>

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Scott Garley	<ul style="list-style-type: none"> <li>• Fordham Law, '81</li> <li>• Lives in NYC</li> <li>• Partner at Gibbons, Del, Deo, Dolan</li> <li>• Appears to focus his practice on representing broker dealers in employment disputes with brokers</li> <li>• Firm has various large broker-dealers as clients, including BOA, Citi.</li> </ul>	<ul style="list-style-type: none"> <li>• Not as obviously bad as others in this group</li> </ul>	<ul style="list-style-type: none"> <li>• Defense lawyer</li> </ul>	<ul style="list-style-type: none"> <li>• Rank low—probably can't waste a strike</li> </ul>
Larry Befeler	<ul style="list-style-type: none"> <li>• Not a lawyer</li> <li>• Univ of New Hampshire, '86</li> <li>• Worked as a risk management and compliance executive at various firms. Currently VP of Risk Management at National Financial Services, Fidelity Investments.</li> <li>• Worked at UBS (3 years), Oppenheimer (11 years).</li> </ul>	<ul style="list-style-type: none"> <li>• As a compliance executive, he might have spent a career watching folks like Coffi and Tannin cut corners and ignore folks like him</li> </ul>	<ul style="list-style-type: none"> <li>• Industry insider</li> <li>• Probably very conservative</li> </ul>	<ul style="list-style-type: none"> <li>• Strike</li> </ul>
Kevin Naughten	<ul style="list-style-type: none"> <li>• Not a lawyer</li> <li>• "LeMoyne College," '67. MBA from Bernard Baruch</li> <li>• Lives in Douglaston, NY</li> <li>• Unemployed since Dec 2008</li> <li>• Worked for 11 years at Citibank. Is a victim of</li> </ul>	<ul style="list-style-type: none"> <li>• Guy may hate the structure finance folks who brought down the financial industry.</li> </ul>	<ul style="list-style-type: none"> <li>• Unemployed person may not be very sympathetic to JEE</li> </ul>	<ul style="list-style-type: none"> <li>• Rank fairly high</li> </ul>

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	<p>the financial crisis. See <a href="http://web.cuny.edu/news/cm-archive/nov09/jump-starting.html">http://web.cuny.edu/news/cm-archive/nov09/jump-starting.html</a></p> <ul style="list-style-type: none"> <li>• Worked as a “systems analyst” at Citi—not a trader or investment person.</li> <li>• Lists that he had an account with REFCO—so he may have lost money there.</li> <li>• From late ‘70s to late ‘90s worked a some kind of broker. Has a brokerage license and claims to have extensive experience with “retail and institutional contact.”</li> <li>• Served in Army 69-70.</li> </ul>			