

From: Richard Kahn <[REDACTED]>
To: "jeffrey E." <jeevacation@gmail.com>
Subject: paul b
Date: Fri, 30 Sep 2016 20:57:31 +0000

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
[REDACTED]

Begin forwarded message:

From: "Barrett, Paul S" <[REDACTED]>
Subject: RE:
Date: September 30, 2016 at 4:54:40 PM EDT
To: Richard Kahn <[REDACTED]>

We both wish that I could cover you

Paul Barrett | Managing Director | Global Investment Opportunities Group | [REDACTED] **Morgan Securities LLC** | [REDACTED] **Morgan Private Bank** | [REDACTED] **Morgan Chase Bank** [REDACTED]

320 Park Avenue, 14th Floor, New York, NY 10022 | T: (212) 622-2770 | F: (212) 310-0108 | [REDACTED]

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From: Richard Kahn [mailto:[REDACTED]]
Sent: Friday, September 30, 2016 4:36 PM
To: Barrett, Paul S
Subject: Re:

thank you

EFTA00638935

shows you the great service (joke) we are getting without you..

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022



On Sep 30, 2016, at 4:35 PM, Barrett, Paul S <[REDACTED]> wrote:

Thanks

As an FYI - The RBS T's were called last week.

Paul Barrett | Managing Director | Global Investment Opportunities Group | **J.P. Morgan Securities LLC** | **J.P. Morgan Private Bank** | **J.P. Morgan Chase Bank N.A.**

320 Park Avenue, 14th Floor, New York, NY 10022 | [REDACTED]

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From: Richard Kahn [mailto:[REDACTED]]

Sent: Friday, September 30, 2016 4:30 PM

To: Barrett, Paul S

Subject:

attached is list for your review
thank you

Asset Description	Purchase Date	Quantity
Bank of America 8.125% Pfd 12/29/2049	05/10/11	3,000,000
JP Morgan Chase 7.9% Pfd 4/30/2049	05/10/11	3,000,000
Ipayment Inc 10.25% 5/15/2018	10/02/12	500,000

JPM Tr Flt Rate Income Fund	01/22/13	230,554.049
Citibank Preferred Ser C 5.8%	10/28/14	49,033.00
Citibank Preferred Ser C 5.8%	10/29/14	150,967.00
Citibank Preferred Ser K 6.875%	2/3/14	79,000.00
JPM Trust FLT RT Income fund	02/13/13	172,709.589
Western Digital Corp 7.375% Matures 4-1-2023	06/09/16	2,000,000
Nationwide Society 6.875% GBP callable 6/20/2019	4/15/14	2,000,000
Charles Schwab 6.0% Preferred \$25 par	7/27/15	40,000
Wells Fargo 5.7% Preferred \$25 par	1/19/16	120,000
Ebay 6% Preferred \$25 par matures 2-1-2056	2/22/16	80,000
Royal Bank Scotland 7.64% Fixed to 9-29-2017 then 3M Libor +232bp	11/10/15	5,000,000
Goldman Sachs 5.375% Fixed to 5-10-2020 then 392.2 over 3 mo libor	6/4/15	5,000,000
Capital One 5.55% Fixed to 9-1-2020 then 380 over 3 mo libor	5/14/15	3,000,000
Bank of America 8.125% Pfd Dec 29 2049	1/10/11	2,000,000
Barclays Bank PLC 7.75% 4/10/2023	4/3/13	300,000
Citigroup Inc - Series Q 5.95%	8/6/15	3,000,000
Earthlink Inc 8.875% May 15 2019	10/22/12	146,000
Earthlink Inc 8.875% May 15 2019	10/23/12	600,000
Frontier Communications 8.5% 4/15/2020	7/6/15	3,000,000
General Electric Co GTD Fixed to Floate 4.0% Dec 15 2065	12/3/15	2,469,000
JP Morgan Chase & Co Pfd 7.9% April 30 2049	6/15/11	2,500,000
JP Morgan Chase & Co Pfd 7.9% April 30 2049	6/15/11	2,500,000
LBG Capital 12/29/49	5/21/13	1,903,000
Lloyds Bank 9.875% 12/16/2021	5/10/12	1,500,000
Southern Cal Edison 6.25% Dec 31 2049	1/30/12	3,000,000
GMAC Cap TR I GTD Pfd Sec Ser 2 8	2/22/12	80,000
Royal Bank Scotland Group PLC 7.25% Ser T	10/24/12	40,000
Royal Bank Scotland Group PLC 7.25% Ser T	1/7/13	40,000
Royal Bank Scotland Group PLC 7.25% Ser T	1/14/13	40,000
Washington Mutual Mtg 2004-RA2 cl CB1 var 7/25/2033	11/19/13	625,690

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