

From: Richard Kahn <[REDACTED]>
To: "jeffrey E." <jeevacation@gmail.com>, Paul Barrett <[REDACTED]>
Subject: Fwd: options
Date: Fri, 08 Sep 2017 20:23:43 +0000

HD options closed

sale 4.0
purchase 2.5
less comm .06

profit 1.44 x 20,000= 28,800

nice trade!!!

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

Begin forwarded message:

From: "Ens, Amanda" <[REDACTED]>
Subject: RE: options
Date: September 8, 2017 at 4:19:30 PM EDT
To: Richard Kahn <[REDACTED]>
Cc: "Tome, Christine C" <[REDACTED]>, "Miller, Allison - NEW YORK NY" <[REDACTED]>, "Rothberg, Justin D" <[REDACTED]>

Rich, as discussed, sold the HD calls to close at 4.00 gross
Commission \$3/contract

Thanks and have a great weekend,
Amanda

-----Original Message-----

From: Richard Kahn [mailto:[REDACTED]]
Sent: Thursday, September 07, 2017 11:42 AM
To: Ens, Amanda <[REDACTED]>
Cc: Tome, Christine C <[REDACTED]>; Miller, Allison - NEW YORK NY <[REDACTED]>; Rothberg, Justin D <[REDACTED]>
Subject: Re: options

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022

tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On Sep 7, 2017, at 11:40 AM, Ens, Amanda <[REDACTED]> wrote:

Rich, we're done on HD now too at your level.

Southern Trust Company bought 200 contracts of the HD Oct 160 Calls @ 2.500 gross

Commission is \$3/contract.

Booked as OTC listed option lookalikes as with prior trades.

Thank you for the business.

Regards,
Amanda

-----Original Message-----

From: Ens, Amanda
Sent: Thursday, September 07, 2017 11:28 AM
To: 'Richard Kahn' <[REDACTED]>
Subject: RE: options

Rich,

Southern Trust Company bought 430 contracts of the LOW Oct 80 calls at 1.1450 gross

We're still working the HD because they are a few cents higher; not done on those yet.

Commission is \$3/contract.

Thanks,
Amanda

Amanda Ens
Director
Bank of America Merrill Lynch
Merrill Lynch, Pierce, Fenner & Smith Incorporated

One Bryant Park, 5th Floor, New York, NY 10036

Phone: [REDACTED] Mobile: [REDACTED]
[REDACTED]

-----Original Message-----

From: Ens, Amanda

Sent: Thursday, September 07, 2017 11:16 AM

To: 'Richard Kahn' <[REDACTED]>

Subject: RE: options

Be right back - thank you Rich

-----Original Message-----

From: Richard Kahn [mailto:[REDACTED]]

Sent: Thursday, September 07, 2017 11:13 AM

To: Ens, Amanda <[REDACTED]>

Subject: options

please confirm you can execute the following order:

Buy 430 contracts of the LOW Oct 80 Calls @ 1.1500

Buy 200 contracts of the HD Oct 160 Calls @ 2.500

thank you

Richard Kahn

HBRK Associates Inc.

575 Lexington Avenue 4th Floor

New York, NY 10022

tel [REDACTED]

fax [REDACTED]

cell [REDACTED]

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