

**ASU**  
ARIZONA STATE UNIVERSITY

July 31, 2018

VIA ELECTRONIC MAIL

(██████████)

Dr. Lawrence Krauss  
School of Earth & Space Exploration  
Arizona State University

Re: Notice of Non-renewal

Dear Dr. Krauss:

I am writing to address the status of two appointments that you have previously held.

By letter dated November 21, 2014 (enclosed) from former Provost, Robert Page, you were informed that your Foundation Professorship appointment would extend through 2017-2018 and would then be considered for renewal. That appointment term and annual stipend expired at the conclusion of AY2018. A new appointment as Foundation Professor will not be extended to you.

Additionally, your annual administrative appointment as Director of the Origins Project will not be renewed for the upcoming academic year. Please refrain from using that title as well as the Foundation Professor title going forward in any of your communications, webpages or CVs in the current tense. You may certainly reflect those titles for the time frame that they have been held (*i.e.*, 2008-2018).

Sincerely,



Mark Searle  
Executive Vice President and University Provost

Enclosures:

April 15, 2008 letter  
November 21, 2014 letter

c: Personnel file  
Patrick Kenney, Dean  
Lindy Elkins-Tanton, Director

OFFICE OF THE EXECUTIVE VICE PRESIDENT AND PROVOST  
OF THE UNIVERSITY

300 EAST UNIVERSITY DRIVE  
PO Box 877805 Tempe, AZ 85287-7805

(██████████)

EFTA00807194

Accepted



April 15, 2008

Lawrence M Krauss, Ph D.  
Case Western Reserve University  
Physics Department  
10900 Euclid Ave  
Cleveland, OH 44106-7079

Dear Lawrence:

On behalf of Arizona State University and the College of Liberal Arts and Sciences we are pleased to offer you an appointment as Professor at a salary of \$210,000 for the 2008-2009 academic year, which will begin August 16, 2008 and end May 15, 2009. This is a full-time, tenured appointment. Your tenure home will be in the School of Earth and Space Exploration and you will report to the University Vice President and Dean of the College of Liberal Arts and Sciences through the Dean of Natural Sciences.

Additional terms and conditions of your offer include:

- Arizona State University will name you a Foundation Professor
- Arizona State University will provide you with \$7,000 per year in research funds annually for five years with the possibility of renewal
- As a tenured faculty member in the School of Earth and Space Exploration (SESE) in the College of Liberal Arts and Sciences, your initial workload will be distributed among SESE, the Department of Physics and BEYOND: Center for Fundamental Concepts in Sciences. The distribution of that effort will be negotiated with the Dean and can be adjusted annually and when new centers and institutes are created
- You will serve on the executive committee of the recently created Cosmology Initiative which has a commitment from the University of five faculty positions in cosmology and one staff position. The executive committee will oversee the directions of the initiative that may include the creation of a Cosmology Center
- You will be provided office and laboratory space. Arizona State University is committed to co-locating faculty with overlapping research interests, as well as their support staff
- You will work with others at Arizona State University to develop an Origins Institute. The expectation is that this will be established within one year. Once established you will be appointed Director. It is intended that this appointment will be renewed annually for five years. Annual renewal is based upon satisfactory performance. You may be considered for reappointment for another five years at the end of the first five-year cycle. As Director, Arizona State University will provide you one month of summer salary per year (1/9 of your academic year salary), in addition to any other summer support provided during your transition to ASU
- You will be expected to teach one course per year and occasional seminars of your choice. If your research, outreach, and administrative duties decrease, your teaching load may increase as determined by the Dean of the College of Liberal Arts and Sciences. You will not be expected to teach during the first year, to give you sufficient time to transition your research program to ASU and establish the Origins Institute

Office of the Vice President and Dean  
Fulton Center, Suite 145 300 East University Drive  
PO Box 875505 Tempe, AZ 85287-5505

<http://www.asu.edu/cls>

- Arizona State University will provide you with research start-up funds totaling \$750,000 to initiate your research program here. These funds can be used in ways that appropriately support your research efforts, including graduate students, computer equipment, lab equipment, travel, etc. These funds must be expended by June 30, 2013. Please work with the college staff regarding university policies and procedures governing the use of these funds.
- Arizona State University will provide you up to \$50,000 a year to support the hire and salary of a staff member (e.g. executive assistant) who will be located close to your office. If your research, outreach, and administrative duties decrease, this staff member may be reassigned all or in part as determined by the Dean of the College of Liberal Arts and Sciences.
- Arizona State University will provide support centrally for media and outreach.
- Arizona State University will provide you two months of summer salary (1/9 of your academic year salary per month) for the first two academic years of your appointment. This will be in addition to the one month of summer support as Director of the Origins Institute.
- Arizona State University will provide you a monthly stipend of \$37,000 per month beginning April 16, 2008 and continuing through August 15, 2008 as a consulting fee for assistance provided to the university. During that time, you will be expected to develop a plan for the Origins Institute at ASU; develop a plan for hosting the Origins Symposium at ASU, including the fundraising efforts needed to support the symposium; begin work on the Cosmology Initiative; and initiate the transfer of your research program to ASU. Arizona State University will also support three trips to ASU between now and August 16, 2008 to support your consulting duties.
- If your fund raising efforts do not fully achieve the goal necessary to host the Origins Symposium in 2009, Arizona State University will provide the balance up to \$300,000.
- Arizona State University will reimburse you for receipted moving expenses. Please work with the college staff regarding relevant university policies and procedures to ensure prompt reimbursement.
- All offers of employment are contingent upon the successful outcome (as determined by the university) of the pre-employment screening activities, including a criminal history check (or a fingerprint check), under Arizona Board of Regents policy 6-709.
- Please note that new employees are not eligible for any salary increases before the fiscal year [July 1 - June 30] that begins after the initial date of appointment, unless specifically deemed eligible by the Arizona Board of Regents or the University.

Your appointment is subject to the Arizona Board of Regents' Conditions of Faculty Service and certain policies from the ASU Academic Affairs Policies and Procedures Manual (commonly known as the ACD Manual). These documents are available online at <http://www.asu.edu/aad/manuals>.

In accord with ASU policy, all new faculty members need to arrange for the university from which they received their terminal degree to send to ASU an official transcript indicating the terminal degree and bearing the official seal of the university or college from which it is sent. Please have your transcript sent directly to:

Brian Richardson  
 Arizona State University  
 Office of the Executive Vice President and Provost of the University

P O Box 877805  
Tempe, AZ 85287-7805

ASU policy also prohibits tenured and tenure track faculty members from holding tenure or a tenure-track appointment at another institution and at ASU simultaneously. Therefore you will need to send us, before the start date of your ASU appointment, a copy of your letter of resignation from your current position. Please send this copy to:

Gabriel Escontrías, Jr.  
Arizona State University  
College of Liberal Arts and Sciences  
P O Box 878505  
Tempe, AZ 85287-8505

Please plan to attend an orientation session provided for new ASU employees. The Office of Human Resources' new employee orientation is designed to acquaint new benefits-eligible employees with ASU, provide onsite sign up for services, and provide information about major benefits such as health insurance and retirement plans.

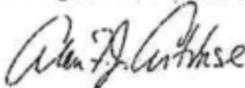
In addition, in compliance with the Immigration Reform and Control Act of 1986, Arizona State University must verify the eligibility of new employees to work in the United States. The Office of Human Resources requires documentation before you can be paid. For more information, and to register for an orientation session, please go to [http://www.asu.edu/hr/new\\_employee/checklist.html](http://www.asu.edu/hr/new_employee/checklist.html) or call (480) 965-2701.

This offer will remain open until April 30, 2008. If you accept this offer, please sign and date this letter and return the original to the Dean's Office by the date specified above. A pre-addressed envelope is enclosed. We join the College of Liberal Arts and Sciences faculty in hoping you will accept our offer.

Sincerely,



Sid P. Bacon, Dean of Natural Sciences  
College of Liberal Arts and Sciences

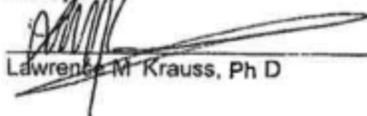


Alan F. J. Artibise, Executive Dean  
College of Liberal Arts and Sciences



Mark S. Searle  
Vice President for Academic Personnel

Accepted:

  
\_\_\_\_\_  
Lawrence M. Krauss, Ph D

April 15/08  
Date



November 21, 2014

Lawrence Krauss  
School of Earth and Space Exploration  
Arizona State University

Dear Lawrence,

This letter serves to confirm our recent conversations regarding funding commitments related to your appointment at ASU.

- 1) As Director of the Origins Project, you will report to me.
- 2) Each year you continue to serve as Director of the Origins Project, you will receive three months of summer salary - one month of summer salary is provided by CLAS, and two months of summer salary are provided by the Provost's Office.
- 3) Effective with the current fiscal year (FY 15), you will begin to receive \$15,000 in annual funding for your ASU Foundation Professorship. Foundation Professorships are reviewed for renewal every five years. Your Foundation Professorship will be reviewed for renewal in AY 2017-2018. If the review is successful, this appointment will be renewed for a new five-year term beginning AY 2018-2019.
- 4) You will continue to receive \$7,000 in research funding provided by the College of Liberal Arts and Sciences (CLAS), subject to annual review by the Dean of Natural Sciences.
- 5) You may use some of the funding described in #3 and #4 for reimbursement of travel expenses related to your responsibilities at ASU, including travel from your home in Portland to ASU, as necessary. Please note that some or all of this type of travel reimbursement may be taxable as income by the IRS.

To acknowledge your agreement to these terms, please sign and date this letter, and return a copy to my office by December 15, 2014.

Sincerely,

Robert E. Page, Jr.  
University Provost

Accepted:

Digitally signed by  
Lawrence Krauss  
DN: cn=Lawrence Krauss, o=Arizona State University, ou=Provost's Office, email=lawrence.krauss@asu.edu, c=US

Lawrence Krauss

Date

- C: Pat Kenney, Vice Provost and Dean, College of Liberal Arts and Sciences  
 Ferran Garcia-Pichel, Dean of Natural Sciences, College of Liberal Arts and Sciences  
 Barry Ritchie, Vice Provost, Academic Personnel  
 Teresa Bales, Assistant Dean for Budget and Finance, College of Liberal Arts and Sciences  
 Chelsea Tyrrell, Executive Director, Provost Office Operations

Office of the University Provost

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P.O. Box 877805 Tempe, AZ 85287-7805

<https://provost.asu.edu>