

From: Richard Kahn <[REDACTED]>
To: "Barrett, Paul S" <[REDACTED]>
Subject:
Date: Wed, 05 Oct 2016 20:18:39 +0000

thank you

Richard Kahn
HBRK Associates Inc.

[REDACTED]
New York, NY 10022
[REDACTED]
[REDACTED]
[REDACTED]

On Oct 5, 2016, at 4:17 PM, Barrett, Paul S <[REDACTED]> wrote:

Hi Rich

I have my desk analyzing the positions. Should have something by tomorrow. Will call you.

Paul

Paul Barrett | Managing Director | Global Investment Opportunities Group | J.P. Morgan Securities LLC | J.P. Morgan Private Bank | [REDACTED] Morgan Chase Bank N.A.
[REDACTED], New York, NY 10022 | [REDACTED]
[REDACTED]

From: Richard Kahn <[REDACTED]>
Date: Wednesday, Oct 05, 2016, 7:12 AM
To: Barrett, Paul S <[REDACTED]>
Subject: Re:

anything on list we should take action on?
please advise
thank you

Richard Kahn
HBRK Associates Inc.

[REDACTED]
New York, NY 10022
[REDACTED]
[REDACTED]
[REDACTED]

On Sep 30, 2016, at 4:54 PM, Barrett, Paul S <[REDACTED]> wrote:

We both wish that I could cover you

Paul Barrett | Managing Director | Global Investment Opportunities Group | **J.P. Morgan Securities LLC** | **J.P. Morgan Private Bank** | **J.P. Morgan Chase Bank N.A.**

[REDACTED] New York, NY 10022 | [REDACTED] | [REDACTED]

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From: Richard Kahn [mailto:[REDACTED]]
Sent: Friday, September 30, 2016 4:36 PM
To: Barrett, Paul S
Subject: Re:

thank you
shows you the great service (joke) we are getting without you..

Richard Kahn
HBRK Associates Inc.
[REDACTED]
New York, NY 10022
[REDACTED]
[REDACTED]
[REDACTED]

On Sep 30, 2016, at 4:35 PM, Barrett, Paul S <[REDACTED]> wrote:

Thanks

As an FYI - The RBS T's were called last week.

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From: Richard Kahn [mailto:]

Sent: Friday, September 30, 2016 4:30 PM

To: Barrett, Paul S

Subject:

attached is list for your review

thank you

Asset Description	Purchase Date	Quantity
Bank of America 8.125% Pfd 12/29/2049	05/10/11	3,000,000
JP Morgan Chase 7.9% Pfd 4/30/2049	05/10/11	3,000,000
Ipayment Inc 10.25% 5/15/2018	10/02/12	500,000
JPM Tr Flt Rate Income Fund	01/22/13	230,554.049
Citibank Preferred Ser C 5.8%	10/28/14	49,033.00
Citibank Preferred Ser C 5.8%	10/29/14	150,967.00
Citibank Preferred Ser K 6.875%	2/3/14	79,000.00
JPM Trust FLT RT Income fund	02/13/13	172,709.589
Western Digital Corp 7.375% Matures 4-1-2023	06/09/16	2,000,000
Nationwide Society 6.875% GBP callable 6/20/2019	4/15/14	2,000,000
Charles Schwab 6.0% Preferred \$25 par	7/27/15	40,000
Wells Fargo 5.7% Preferred \$25 par	1/19/16	120,000
Ebay 6% Preferred \$25 par matures 2-1-2056	2/22/16	80,000
Royal Bank Scotland 7.64% Fixed to 9-29-2017 then 3M Libor +232bp	11/10/15	5,000,000
Goldman Sachs 5.375% Fixed to 5-10-2020 then 392.2 over 3 mo libor	6/4/15	5,000,000
Capital One 5.55% Fixed to 9-1-2020 then 380 over 3 mo libor	5/14/15	3,000,000
Bank of America 8.125% Pfd Dec 29 2049	1/10/11	2,000,000
Barclays Bank PLC 7.75% 4/10/2023	4/3/13	300,000
Citigroup Inc - Series Q 5.95%	8/6/15	3,000,000
Earthlink Inc 8.875% May 15 2019	10/22/12	146,000

Earthlink Inc 8.875% May 15 2019	10/23/12	600,000
Frontier Communications 8.5% 4/15/2020	7/6/15	3,000,000
General Electric Co GTD Fixed to Floate 4.0% Dec 15 2065	12/3/15	2,469,000
JP Morgan Chase & Co Pfd 7.9% April 30 2049	6/15/11	2,500,000
JP Morgan Chase & Co Pfd 7.9% April 30 2049	6/15/11	2,500,000
LBG Capital 12/29/49	5/21/13	1,903,000
Lloyds Bank 9.875% 12/16/2021	5/10/12	1,500,000
Southern Cal Edison 6.25% Dec 31 2049	1/30/12	3,000,000
GMAC Cap TR I GTD Pfd Sec Ser 2 8	2/22/12	80,000
Royal Bank Scotland Group PLC 7.25% Ser T	10/24/12	40,000
Royal Bank Scotland Group PLC 7.25% Ser T	1/7/13	40,000
Royal Bank Scotland Group PLC 7.25% Ser T	1/14/13	40,000
Washington Mutual Mtg 2004-RA2 cl CB1 var 7/25/2033	11/19/13	625,690

Richard Kahn
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[REDACTED]
New York, NY 10022

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[REDACTED]

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