

From: Richard Kahn <[REDACTED]>
To: "jeffrey E." <jeevacation@gmail.com>
Subject: Fwd: goldman bond
Date: Tue, 02 Aug 2016 13:30:01 +0000

shall i put order in again on GS bonds to sell at 81.75
current bid ask 81.25 / 82.50
please advise
thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
te [REDACTED]
fax [REDACTED]
cell [REDACTED]

Begin forwarded message:

From: "Atlas, Andrew S" <[REDACTED]>
Subject: RE: goldman bond
Date: August 2, 2016 at 9:21:25 AM EDT
To: "Richard Kahn" <[REDACTED]>

Good Morning Rich,

Present quote for the GS bonds:
81.25 - 82.50

Do you want me to continue working the 2mm bonds for sale with a 81.75 low?

Speak soon,
Andrew

Andrew S. Atlas
First Vice President
Equity Sales/Middle Markets Group
Financial Advisor

Morgan Stanley Wealth Management
1290 Avenue of the Americas, 12th Floor
New York, NY 10104
Tel/Direct: [REDACTED]

[REDACTED]
NMLS ID: 1326736

-----Original Message-----

From: Richard Kahn [REDACTED]
Sent: Monday, August 01, 2016 06:53 PM Eastern Standard Time
To: Atlas, Andrew S (Wealth Mgmt MS)
Subject: Re: goldman bond

Ok thanks.

Sent from my iPhone

> On Aug 1, 2016, at 4:24 PM, Atlas, Andrew S <[REDACTED]> wrote:

>

> Hi Rich,

>

> Nothing done at 81.75 or better.

>

> I withdrew the tender on the bonds.

>

> I'll touch base in the morning with an updated market.

>

> Speak then.

> -Andrew

>

> Andrew S. Atlas

> First Vice President

> Equity Sales/Middle Markets Group

> Financial Advisor

>

> Morgan Stanley Wealth Management

> 1290 Avenue of the Americas, 12th Floor | New York, NY 10104

> Tel/Direct: [REDACTED] | Fax: [REDACTED]

> [REDACTED]

> NMLS ID # 1326736

>

>

> -----Original Message-----

> From: Richard Kahn [mailto:[REDACTED]]

> Sent: Monday, August 01, 2016 3:47 PM

> To: Atlas, Andrew S (Wealth Mgmt MS)

> Subject: Re: goldman bond

> Importance: High

>

> ok

> thanks

>

>> On Aug 1, 2016, at 3:42 PM, Atlas, Andrew S <[REDACTED]> wrote:

>>

>> Nothing done yet w/ a 81.75 low...still working

>>

>> Andrew S. Atlas

>> First Vice President

>> Equity Sales/Middle Markets Group

>> Financial Advisor

>>

>> Morgan Stanley Wealth Management

EFTA00822496

>> 1290 Avenue of the Americas, 12th Floor | New York, NY 10104

>> Tel/Direct: [REDACTED] | Fax: [REDACTED]

>> [REDACTED] NMLS ID # 1326736

>>

>>

>> -----Original Message-----

>> From: Richard Kahn [mailto:[REDACTED]]

>> Sent: Monday, August 01, 2016 3:09 PM

>> To: Atlas, Andrew S (Wealth Mgmt MS)

>> Subject: Re: goldman bond

>> Importance: High

>>

>> yes 81.75 or better

>> thank you

>>

>>> On Aug 1, 2016, at 3:01 PM, Atlas, Andrew S <[REDACTED]> wrote:

>>>

>>> Desk not showing me an offer.

>>>

>>> Went to 81.25 bid for 2mm...do you want them to work with a limit?

>>>

>>> Andrew S. Atlas

>>> First Vice President

>>> Equity Sales/Middle Markets Group

>>> Financial Advisor

>>>

>>> Morgan Stanley Wealth Management

>>> 1290 Avenue of the Americas, 12th Floor | New York, NY 10104

>>> Tel/Direct: [REDACTED] | Fax: [REDACTED]

>>> [REDACTED] NMLS ID # 1326736

>>>

>>>

>>> -----Original Message-----

>>> From: Richard Kahn [mailto:[REDACTED]]

>>> Sent: Monday, August 01, 2016 2:52 PM

>>> To: Atlas, Andrew S (Wealth Mgmt MS)

>>> Subject: Re: goldman bond

>>> Importance: High

>>>

>>> what is ask?

>>> i am seeing 81.75 vs 82.75 from 2 other desks please ask trades to

>>> tighten up spread thanks

>>>

>>>> On Aug 1, 2016, at 2:46 PM, Atlas, Andrew S <[REDACTED]> wrote:

>>>>

>>>> Desk just got back to me.

>>>>

>>>> 81 bid for the 2mm bonds, rt now we're in there to tender at 83.

>>>>

>>>> Speak soon,

>>>> Andrew

>>>>

>>>> Andrew S. Atlas

>>>> First Vice President

>>>> Equity Sales/Middle Markets Group

>>>> Financial Advisor

>>>>

>>>> Morgan Stanley Wealth Management

>>>> 1290 Avenue of the Americas, 12th Floor | New York, NY 10104

>>>> Tel/Direct: [REDACTED] | Fax: [REDACTED]

>>>> [REDACTED] NMLS ID # 1326736

>>>>
>>>>
>>>> -----Original Message-----
>>>> From: Richard Kahn [mailto:]
>>>> Sent: Monday, August 01, 2016 2:43 PM
>>>> To: Atlas, Andrew S (Wealth Mgmt MS)
>>>> Subject: Re: goldman bond
>>>> Importance: High

>>>> any update on pricing?

>>>>> On Aug 1, 2016, at 2:17 PM, Atlas, Andrew S < > wrote:

>>>>> Sure, I'll be rt back

>>>>> Andrew S. Atlas
>>>>> First Vice President
>>>>> Equity Sales/Middle Markets Group
>>>>> Financial Advisor
>>>>>
>>>>> Morgan Stanley Wealth Management
>>>>> 1290 Avenue of the Americas, 12th Floor | New York, NY 10104
>>>>> Tel/Direct: | Fax:
>>>>> NMLS ID # 1326736

>>>>> -----Original Message-----

>>>>> From: Richard Kahn [mailto:]
>>>>> Sent: Monday, August 01, 2016 2:14 PM
>>>>> To: Atlas, Andrew S (Wealth Mgmt MS)
>>>>> Subject: goldman bond
>>>>> Importance: High

>>>>> i know we mentioned our desire to tender bond however the boss is
>>>>> now thinking of selling can you please provide a bid ask to sell
>>>>> bond thank you

>>>>> -----

>>>>> -
>>>>> -
>>>>> -

>>>>> -----

>>>>> NOTICE: Morgan Stanley is not acting as a municipal advisor and the opinions or views contained herein are not intended to be, and do not constitute, advice within the meaning of Section 975 of the Dodd-Frank Wall Street Reform and Consumer Protection Act. If you have received this communication in error, please destroy all electronic and paper copies and notify the sender immediately. Mistransmission is not intended to waive confidentiality or privilege. Morgan Stanley reserves the right, to the extent permitted under applicable law, to monitor electronic communications. This message is subject to terms available at the following link:

If you cannot access these links, please notify us by reply message and we will send the contents to you. By communicating with Morgan Stanley you consent to the foregoing and to the voice recording of conversations with personnel of Morgan Stanley.

>>>>
>>>>
>>>>

>>>>> -----

>>>>> -
>>>>> -
>>>>> -----

>>>>> NOTICE: Morgan Stanley is not acting as a municipal advisor and the opinions or views contained herein are not intended to be,

and do not constitute, advice within the meaning of Section 975 of the Dodd-Frank Wall Street Reform and Consumer Protection Act. If you have received this communication in error, please destroy all electronic and paper copies and notify the sender immediately. Mistransmission is not intended to waive confidentiality or privilege. Morgan Stanley reserves the right, to the extent permitted under applicable law, to monitor electronic communications. This message is subject to terms available at the following link:

[REDACTED] If you cannot access these links, please notify us by reply message and we will send the contents to you. By communicating with Morgan Stanley you consent to the foregoing and to the voice recording of conversations with personnel of Morgan Stanley.

>>>
>>>
>>>
>>> -----
>>> -
>>> -----
>>>

>>> NOTICE: Morgan Stanley is not acting as a municipal advisor and the opinions or views contained herein are not intended to be, and do not constitute, advice within the meaning of Section 975 of the Dodd-Frank Wall Street Reform and Consumer Protection Act. If you have received this communication in error, please destroy all electronic and paper copies and notify the sender immediately. Mistransmission is not intended to waive confidentiality or privilege. Morgan Stanley reserves the right, to the extent permitted under applicable law, to monitor electronic communications. This message is subject to terms available at the following link:

[REDACTED] If you cannot access these links, please notify us by reply message and we will send the contents to you. By communicating with Morgan Stanley you consent to the foregoing and to the voice recording of conversations with personnel of Morgan Stanley.

>>
>>
>>
>> -----
>> -----
>>

>> NOTICE: Morgan Stanley is not acting as a municipal advisor and the opinions or views contained herein are not intended to be, and do not constitute, advice within the meaning of Section 975 of the Dodd-Frank Wall Street Reform and Consumer Protection Act. If you have received this communication in error, please destroy all electronic and paper copies and notify the sender immediately. Mistransmission is not intended to waive confidentiality or privilege. Morgan Stanley reserves the right, to the extent permitted under applicable law, to monitor electronic communications. This message is subject to terms available at the following link:

[REDACTED] If you cannot access these links, please notify us by reply message and we will send the contents to you. By communicating with Morgan Stanley you consent to the foregoing and to the voice recording of conversations with personnel of Morgan Stanley.

>
>
>
> -----
>

> NOTICE: Morgan Stanley is not acting as a municipal advisor and the opinions or views contained herein are not intended to be, and do not constitute, advice within the meaning of Section 975 of the Dodd-Frank Wall Street Reform and Consumer Protection Act. If you have received this communication in error, please destroy all electronic and paper copies and notify the sender immediately. Mistransmission is not intended to waive confidentiality or privilege. Morgan Stanley reserves the right, to the extent permitted under applicable law, to monitor electronic communications. This message is subject to terms available at the following link:

[REDACTED] If you cannot access these links, please notify us by reply message and we will send the contents to you. By communicating with Morgan Stanley you consent to the foregoing and to the voice recording of conversations with personnel of Morgan Stanley.

NOTICE: Morgan Stanley is not acting as a municipal advisor and the opinions or views contained herein are not intended to be, and do not constitute, advice within the meaning of Section 975 of the Dodd-Frank Wall Street Reform and Consumer Protection Act. If you have received this communication in error, please destroy all electronic and paper copies and notify the sender immediately. Mistransmission is not intended to waive confidentiality or privilege. Morgan Stanley reserves the right, to the extent permitted under applicable law, to monitor electronic communications. This message is subject to terms available at the following link: [REDACTED] If you cannot access these links, please notify us by reply message and we will send the contents to you. By communicating with Morgan Stanley you consent to the foregoing and to the voice recording of conversations with personnel of Morgan Stanley.

