

**From:** "jeffrey E." <jeevacation@gmail.com>  
**To:** Richard Kahn <[REDACTED]>  
**Subject:** Re: GS preferred  
**Date:** Tue, 10 May 2016 18:51:52 +0000

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7325

On Tuesday, 10 May 2016, Richard Kahn <[REDACTED]> wrote:

bid ask still 72.75 x 73.75  
our order is in to purchase \$3,000,000 of this bond at 73  
shall we keep at 73 or increase?  
please advise  
thank you

Richard Kahn  
HBRK Associates Inc.

[REDACTED]  
tel [REDACTED]  
fax [REDACTED]  
cell [REDACTED]

Begin forwarded message:

**From:** "Pollard, James S" <[REDACTED]>  
**Subject:** RE: GS preferred  
**Date:** May 10, 2016 at 1:30:17 PM EDT  
**To:** Richard Kahn <[REDACTED]>, "Minsky, Julie" <[REDACTED]>

\$72.75 x \$73.75

There is a current bid of \$500 face @ \$73.625. Do you want to hit that?

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**From:** Richard Kahn [mailto:[REDACTED]]  
**Sent:** Tuesday, May 10, 2016 1:27 PM  
**To:** Minsky, Julie  
**Cc:** Pollard, James S  
**Subject:** Re: GS preferred

EFTA00827827

can you please provide bid ask

thanks

Richard Kahn  
HBRK Associates Inc.

[REDACTED]

tel 212 671 1207

fax [REDACTED]

cell [REDACTED]

On May 10, 2016, at 12:11 PM, Minsky, Julie <[REDACTED]> wrote:

Just called the desk the price is still 73.75

**Julie Minsky**  
Client Associate

**Team Darrah | Merrill Lynch Wealth Management**  
The Park Avenue Office

[REDACTED]

[REDACTED]

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**From:** Richard Kahn [mailto:[REDACTED]]  
**Sent:** Tuesday, May 10, 2016 12:01 PM  
**To:** Pollard, James S  
**Cc:** Minsky, Julie  
**Subject:** Re: GS preferred

any update on order and what is current bid ask

thank you

Richard Kahn  
HBRK Associates Inc.

[REDACTED]

tel  
fax  
cel

[REDACTED]

On May 10, 2016, at 9:36 AM, Pollard, James S <[REDACTED]> wrote:

B/A \$72.8 x \$73.75

There is a bid for \$1MM face at \$73.75

They said \$73 might be a bit too low. They suggested possibly \$73.5

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**From:** Richard Kahn [mailto:[REDACTED]]  
**Sent:** Monday, May 09, 2016 4:08 PM  
**To:** Pollard, James S  
**Subject:** Re: GS preferred

ok

thanks

Richard Kahn  
HBRK Associates Inc.

[REDACTED]

tel [REDACTED]  
fax [REDACTED]  
cel [REDACTED]

On May 9, 2016, at 4:07 PM, Pollard, James S <[REDACTED]> wrote:

We'll confirm in the AM.

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**From:** Richard Kahn [[mailto:\[REDACTED\]](mailto:[REDACTED])]  
**Sent:** Monday, May 09, 2016 4:05 PM  
**To:** Pollard, James S  
**Cc:** Minsky, Julie  
**Subject:** GS preferred

lets work order tomorrow am

please bid 73 for 3,000,000 of CUSIP: 381427AA1

thank you

Richard Kahn  
HBRK Associates Inc.

[REDACTED]  
tel [REDACTED]  
fax [REDACTED]  
cell [REDACTED]

On May 9, 2016, at 3:30 PM, Pollard, James S <[REDACTED]> wrote:

Getting an indication on from the desk

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**From:** Richard Kahn [mailto: [REDACTED]]  
**Sent:** Monday, May 09, 2016 3:28 PM  
**To:** Pollard, James S  
**Cc:** Minsky, Julie  
**Subject:** Re:

CUSIP: 381427AA1 on GS bond we just discussed

Richard Kahn  
HBRK Associates Inc.

[REDACTED]  
tel [REDACTED]  
fax [REDACTED]  
cel [REDACTED]

On May 6, 2016, at 2:19 PM, Pollard, James S < [REDACTED] > wrote:

Thanks for this.

Unfortunately the Gabelli preferred completely sold out yesterday and there is nothing new being offered today.

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**From:** Richard Kahn [mailto: [REDACTED]]  
**Sent:** Friday, May 06, 2016 2:18 PM  
**To:** Minsky, Julie  
**Cc:** Pollard, James S  
**Subject:**

sorry for delay on form

is that preferred still available - when is offering?

please advise

thanks

Richard Kahn  
HBRK Associates Inc.

[REDACTED]

tel

fax

cel

[REDACTED]

Begin forwarded message:

**From:** [REDACTED]

**Date:** May 6, 2016 at 10:04:19 AM EDT

**To:** [REDACTED]

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CS 3051ci  
[00:17:c8:25:f3:53]  
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please note

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