

**From:** "jeffrey E." <jeevacation@gmail.com>  
**To:** Richard Kahn <[REDACTED]>  
**Subject:** Re: Secondaries at Wells Fargo  
**Date:** Wed, 02 Mar 2016 23:24:51 +0000

---

yes get 10000 each and sell them in them on monday

On Wed, Mar 2, 2016 at 5:33 PM, Richard Kahn <[REDACTED]> wrote:

1) BANC - Banc of California  
stock closed at 15.77 and indication is 14.50-15.00

[http://finance.yahoo.com/q;\\_ylt=AvJEzTZkfkOJM3qADCZVQX3eAohG;\\_ylu=X3oDMTBxdGVyNzJxBHNIYwNVSCAzIERlc2t0b3AgU2VhcmNoIDEx;\\_ylg=X3oDMTBzOTkyZWtoBGxhbmcDZW4tVVMEcHQDc2VjdGlbnMEdGVzdAM-;\\_ylv=3?uhb=uhb2&fr=uh3\\_finance\\_vert\\_gs&type=2button&s=banc](http://finance.yahoo.com/q;_ylt=AvJEzTZkfkOJM3qADCZVQX3eAohG;_ylu=X3oDMTBxdGVyNzJxBHNIYwNVSCAzIERlc2t0b3AgU2VhcmNoIDEx;_ylg=X3oDMTBzOTkyZWtoBGxhbmcDZW4tVVMEcHQDc2VjdGlbnMEdGVzdAM-;_ylv=3?uhb=uhb2&fr=uh3_finance_vert_gs&type=2button&s=banc)

2) TSLX - TPG Specialty Lending  
stock closed at 16.84 and indication is 15.50-16.00  
[http://finance.yahoo.com/q;\\_ylt=AvbNPNlvHAhgYX\\_WkjsogqF.FJF4?uhb=uhb2&fr=uh3\\_finance\\_vert\\_gs&type=2button&s=tslx](http://finance.yahoo.com/q;_ylt=AvbNPNlvHAhgYX_WkjsogqF.FJF4?uhb=uhb2&fr=uh3_finance_vert_gs&type=2button&s=tslx)

both will price tonight or tomorrow am  
please advise if you would like me to indicate for shares  
thank you

Richard Kahn  
HBRK Associates Inc.

[REDACTED]  
tel [REDACTED]  
fax [REDACTED]  
cel [REDACTED]

Begin forwarded message:

**From:** <[REDACTED]>  
**Subject:** Just sent prospectus.  
**Date:** March 2, 2016 at 4:39:24 PM EST  
**To:** <[REDACTED]>

BANC price will be between \$14.50 and \$15. Nice discount  
TSLX \$16.42 price

Robert L Karp, CFP®, CRPC®  
Managing Director, Wells Fargo Advisors LLC  
The Karp Executive Wealth Management & ESOP\*Group

Phone: [REDACTED] Direct Toll Free: [REDACTED]

Fax: [REDACTED]

<http://www.KarpExecGroup.wfadv.com>

\*Employee Stock Options and Restricted Stock Plans

BUY OR SELL ORDERS, FUNDS-TRANSFER REQUESTS, ADDRESS CHANGES, OR ANY OTHER INSTRUCTIONS REQUIRING YOUR SIGNATURE CANNOT BE ACCEPTED BY E-MAIL OR VOICE-MAIL. YOU MUST CONVEY THESE INSTRUCTIONS VERBALLY.

---

To unsubscribe from marketing e-mails from: • An individual Wells Fargo Advisors financial advisor: Reply to one of his/her e-mails and type "Unsubscribe" in the subject line. • Wells Fargo and its affiliates: Unsubscribe at <https://www.wellsfargoadvisors.com/wellsfargo-unsubscribe> Neither of these actions will affect delivery of important service messages regarding your accounts that we may need to send you or preferences you may have previously set for other e-mail services. For additional information regarding our electronic communication policies, visit <http://wellsfargoadvisors.com/disclosures/email-disclosure.html>. Wells Fargo Advisors, LLC is a registered broker-dealer and separate nonbank affiliate of Wells Fargo & Company, Member FINRA/SIPC, 1 North Jefferson, St. Louis, MO 63103. This email may be an advertisement or solicitation for products and services.

--

please note

The information contained in this communication is confidential, may be attorney-client privileged, may constitute inside information, and is intended only for the use of the addressee. It is the property of JEE

Unauthorized use, disclosure or copying of this communication or any part thereof is strictly prohibited and may be unlawful. If you have received this communication in error, please notify us immediately by return e-mail or by e-mail to [jeevacation@gmail.com](mailto:jeevacation@gmail.com), and destroy this communication and all copies thereof, including all attachments. copyright -all rights reserved