

**From:** Tazia Smith <[REDACTED]>  
**To:** "jeffrey E." <jeevacation@gmail.com>  
**Cc:** Daniel Sabba <[REDACTED]>, Paul Morris <[REDACTED]>, Richard Kahn <[REDACTED]>, Stewart Oldfield <[REDACTED]>, Vahe Stepanian <[REDACTED]>

**Subject:** Re: [C]

**Date:** Tue, 28 Oct 2014 17:11:50 +0000

**Inline-Images:** unnamed; unnamed(1); unnamed(2); unnamed(3); unnamed(4); unnamed(5)

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Classification: Confidential

Jeffrey - thus far done on 35,100 Citi 5.8% pfd at \$23.9945 working at 24.05 so to continue to participate. given low avg daily volume, unlikely we would get it all 200k done in one day, so working not to push price. please come back to me if you want to be more aggressive.

Thanks!  
Tazia



**From:** Tazia Smith/db/dbcom  
**To:** "jeffrey E." <jeevacation@gmail.com>,  
**Cc:** Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn <[REDACTED]>, Vahe Stepanian/db/dbcom@DBAMERICAS, Stewart Oldfield/db/dbcom@DBAMERICAS  
**Date:** 10/28/2014 11:36 AM  
**Subject:** Re: [C]

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Classification: Confidential

Jeffrey - Thus far you have purchased 34,900 shares of Citi 5.8% pfd at \$23.9945; the pfd is presently \$24, we're working aggressively at that level. Please let us know if you want us to bid higher.



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**Cc:** Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn <[REDACTED]>, Vahe Stepanian/db/dbcom@DBAMERICAS  
**Date:** 10/28/2014 11:06 AM  
**Subject:** Re: [C]

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Classification: Confidential

Jeffrey - How aggressive do you want us to be? Buying 200k shares, Citi 5.8% pfd has traded 15.6k shares this morning, 28k dailyavg. Do you want to give us a limit?



**Tazia Smith**

Director | Key Client Partners - US

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Cc: Richard Kahn <[REDACTED]>, Daniel Sabba/db/dbcom@DBAMERICAS, Vahe Stepanian/db/dbcom@DBAmericas, Paul Morris  
Date: 10/28/2014 10:57 AM  
Subject: Re: [C]

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Classification: Confidential

Citi 5.8% Perpetual Preferred (cusip: 172967366) , trading ~23.92, buying \$5mm worth. got it.



**Tazia Smith**

Director | Key Client Partners - US

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From: "jeffrey E." <jeevacation@gmail.com>

EFTA00999681

To: Tazia Smith/db/dbcom@DBAMERICAS, Richard Kahn <[REDACTED]>,  
Date: 10/28/2014 10:54 AM  
Subject:

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buy \$5MM of this issue.

## Citi 5.80% PFD

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