

From: Tazia Smith <[REDACTED]>
To: "jeffrey E." <jeevacation@gmail.com>
Cc: Daniel Sabba <[REDACTED]>, Paul Morris <[REDACTED]>, Richard Kahn <[REDACTED]>, Stewart Oldfield <[REDACTED]>, Vahe Stepanian <[REDACTED]>

Subject: [C]

Date: Wed, 29 Oct 2014 12:39:56 +0000

Inline-Images: unnamed; unnamed(1); unnamed(2); unnamed(3); unnamed(4); unnamed(5); unnamed(6); unnamed(7); unnamed(8); unnamed(9); unnamed(10); unnamed(11)

Classification: Confidential

Good Morning Jeffrey - Re-enter order?
Use limit and/or participate % volume?

Thanks,
Tazia

From: Tazia Smith/db/dbcom
To: "jeffrey E." <jeevacation@gmail.com>,
Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn <[REDACTED]>, Stewart Oldfield/db/dbcom@DBAMERICAS, Vahe Stepanian/db/dbcom@DBAMERICAS
Date: 10/28/2014 04:14 PM
Subject: Trade Report 10/28/14 [C]

Classification: Confidential

Jeffrey -

Today you executed the following:

B 49,033 Citi 5.8% pfd at \$24.0205

This leaves 150,967 shares to buy. **Re-enter tomorrow?**
Your other Citi pfd is held in **Haze**. Would you like this booked there as well?

Thank You,
Tazia



Tazia Smith
Director | Key Client Partners - US

DB Securities Inc

EFTA00999745

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345 Park Avenue, 10154-0004 New York, NY, USA
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Date: 10/28/2014 02:24 PM
Subject: Re: [C]

Classification: Confidential

Jeffrey - Thus far done on 36,300 Citi 5.8% pfd at \$23.9964. Participating 25% of the volume now. Please let us know if you would like us to be more aggressive. Not enough volume to get done on 200k in one day.

Tazia



VWAP from time of Order Entry: \$24.0117



Tazia Smith
Director | Key Client Partners - US

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Date: 10/28/2014 01:11 PM
Subject: Re: [C]

EFTA00999746

Classification: Confidential

Jeffrey - thus far done on 35,100 Citi 5.8% pfd at \$23.9945 working at 24.05 so to continue to participate. given low avg daily volume, unlikely we would get it all 200k done in one day, so working not to push price. please come back to me if you want to be more aggressive.

Thanks!
Tazia



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To: "jeffrey E." <jeevacation@gmail.com>,
Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn <[REDACTED]>, Vahe Stepanian/db/dbcom@DBAMERICAS, Stewart Oldfield/db/dbcom@DBAMERICAS
Date: 10/28/2014 11:36 AM
Subject: Re: [C]

Classification: Confidential

Jeffrey - Thus far you have purchased 34,900 shares of Citi 5.8% pfd at \$23.9945; the pfd is presently \$24, we're working aggressively at that level. Please let us know if you want us to bid higher.



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Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn <[REDACTED]>, Vahe Stepanian/db/dbcom@DBAMERICAS
Date: 10/28/2014 11:06 AM
Subject: Re: [C]

Classification: Confidential

Jeffrey - How aggressive do you want us to be? Buying 200k shares, Citi 5.8% pfd has traded 15.6k shares this morning, 28k dailyavg. Do you want to give us a limit?



EFTA00999747

Tazia Smith

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Cc: Richard Kahn <[REDACTED]>, Daniel Sabba/db/dbcom@DBAMERICAS, Vahe Stepanian/db/dbcom@DBAmericas, Paul Morris
Date: 10/28/2014 10:57 AM
Subject: Re: [C]

Classification: Confidential

Citi 5.8% Perpetual Preferred (cusip: 172967366) , trading ~23.92, buying \$5mm worth. got it.



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From: "jeffrey E." <jeevacation@gmail.com>
To: Tazia Smith/db/dbcom@DBAMERICAS, Richard Kahn <[REDACTED]>,
Date: 10/28/2014 10:54 AM
Subject:

buy \$5MM of this issue.

Citi 5.80% PFD

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please note

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