

**From:** J <jeevacation@gmail.com>

**To:** Richard Kahn <[REDACTED]>

**Subject:** Fwd: 2018 Q4 DB Dodd Frank Quarterly Initial Margin Notice

**Date:** Wed, 16 Jan 2019 23:18:57 +0000

**Attachments:** Quarterly\_Initial\_Margin\_Notice\_2018\_Q4.pdf

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----- Forwarded message -----

**From:** DF SegIA <[REDACTED]>

**Date:** Tue, Jan 15, 2019 at 3:35 PM

**Subject:** 2018 Q4 DB Dodd Frank Quarterly Initial Margin Notice

**To:**

Dear Sir or Madam:

Commodity Futures Trading Commission ("CFTC") rule 23.704 requires that Deutsche Bank AG, as a registered Swap Dealer, report quarterly to any counterparty that has not elected to require segregation in accordance with CFTC rules 23.702 and 23.703 of "Initial Margin" (as defined in CFTC rule 23.700) posted in respect of any swap (as defined by CFTC rules) between us and such counterparty that is not submitted for clearing.

If you are in scope for Dodd-Frank purposes and have elected not to segregate Initial Margin posted with us, we are hereby reporting to you that during the fourth quarter period of 2018 (October 1 through to December 31), our back office procedures relating to margin and collateral requirements were in compliance with any governing uncleared swap agreement then in effect between us unless we notify you otherwise.

You are not required to take any action in connection with this communication. This communication does not change any terms of any uncleared swap agreement between us. To the extent that such uncleared swap agreement is uncollateralized, or if we do not have any agreement with you concerning our handling of your collateral, the substance of this communication may not be relevant to you.

Very truly yours,

Deutsche Bank AG

Thanks & Regards,

DF SEGIA

Dodd Frank - Regulatory Operations

EFTA01026994

[REDACTED] Email: [REDACTED]

**Escalation/Feedback Contacts:**

[REDACTED] | [REDACTED]

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