



Deutsche Bank
Private Wealth Management

DBCONNECT

Overview

June 1, 2011

dbconnect2.netxselect.com





Overview

[DBConnect Overview](#)

DBConnect, Deutsche Bank Alex. Brown's account management and trading website*, provides you with around-the-clock portfolio information. DBConnect is your personal financial toolkit, a comprehensive link to all that Deutsche Bank Alex. Brown offers.

[Portfolio Information](#)

DBConnect provides you with the following portfolio information: positions, balances and transactions; unrealized and realized gains and losses; activity history and projected cash flow and access to electronic documents such as statements, trade confirmations and tax documents. Most portfolio information can also be downloaded to Microsoft Excel.

[Paper Suppression](#)

You can register for our document delivery program and receive e-mail notification when your trade confirms and statements are ready to view online in lieu of receiving physical delivery of such documents.

[Intuit Quicken®, Microsoft Money® and TurboTax® Downloads](#)

Deutsche Bank is pleased to offer you the ability to download account data to Intuit Quicken®, Microsoft Money® and TurboTax®.

[Customized DBConnect](#)

A nicknaming feature is provided so that you can easily identify your accounts. In addition, you can create custom groups and then view portfolio information for those groups.

[Deutsche Bank Research](#)

Deutsche Bank has top-ranked research teams covering Global Markets, Equities and Macro-Economic analysis around the globe 24 hours a day. Much of Deutsche Bank equity research and third party independent research is available 24/7 via DBConnect. You can create alerts so that you will receive e-mail notification when DB research is ready to view online.

[Market Data](#)

Create price, volume and new alerts for securities that you would like to track. Create your own watchlist and access real-time quotes.

*provided via the NetExchange Client site by Pershing LLC

DBCONNECT





Welcome Page

Private Wealth Management
Deutsche Bank Alex. Brown

DBCONNECT

Welcome Disclosures

SECURE LOGIN
Advanced protection has been implemented to make your online experience more secure. [Learn More](#)
Please enter your User ID.
User ID:

Welcome to Deutsche Bank Alex. Brown
A Division of Deutsche Bank Securities Inc.

DBConnect Overview
DBConnect, Deutsche Bank Alex. Brown's account management and trading website, provides you with around-the-clock portfolio information. DBConnect is your personal financial toolkit, a comprehensive link to all that Deutsche Bank Alex. Brown offers.

Portfolio Information
DBConnect provides you with the following portfolio information: positions, balances and transactions, unrealized and realized gains and losses, advice notes and articles, each free and access to electronic documents such as statements, trade confirmations and tax documents. Most portfolio information can also be downloaded to Microsoft Excel.

[Paper Statements](#)

- DBConnect can be accessed from the following URL:
dbconnect2.netxselect.com
- You will be issued a unique User ID and Password to view all of your DBAB accounts
- For security reasons, you will be asked to choose a picture and phrase to identify yourself
- Additionally, you will be asked to choose four security questions and provide answers
- The first time you log on to the site, you will be asked to review and accept a general site terms of use
- You can also select to choose one of the following as a default home page:
 - Balances
 - Account Snapshot
 - Holdings
 - Market Highlights

Private Wealth Management
Deutsche Bank Alex. Brown

DBCONNECT

Welcome Disclosures

Login Security Info - Confirm Identity

Login ID:

Phrase: 

If your phrase and picture are correct, enter your password.
If your phrase and picture are NOT correct, you are out on the high/low website.

Password: [Forgot Password?](#)

Select Start Page:
Balances
Account Snapshot
Holdings
Market Highlights

DBCONNECT





Summary

MARKET VALUATION

- Provides a list of all accounts* you can access online
- You can create a nickname for each account
- Market value is updated intraday as changes occur in your portfolio

ASSET ALLOCATION

- View asset allocation for each individual account or click on a link to view asset allocation across all accounts
- Market value is updated intraday
- Asset classes include: equity, options, fixed income, mutual funds, other and cash & equivalents
- Alternatives investments such as hedge funds and private equity will be represented as "Other"

The screenshot displays the DBCONNECT interface for Private Wealth Management. The top navigation bar includes Home, Accounts, Trading, Markets, Quotes & News, Research, and Customer Service. Below this is a secondary navigation bar with links for Summary, Balance, Holdings, Rates, Projected Cash, Asset Allocation, Statements and Confirm, and Profile.

The main content area is titled "Summary" and features two primary sections:

- Market Valuation:** A table showing account details and market values. A red box highlights this section.

Account ID	Account Nickname	Market Value (\$)	Change (\$)	Change (%)
		11,501,345.82	11,258.93	0.09
Total		\$11,681,385.82	\$11,358.83	
- Asset Allocation:** A table and pie chart showing the distribution of assets across different types. A blue box highlights this section.

Asset Type	Market Value (\$)	Market Value (%)	Change (\$)	Change (%)
Equities	4,369,547.01	37.41	11,878.93	0.27
Options	17,916.00	0.15	-730.00	0.00
Fixed Income	3,599,555.05	30.81	0.00	0.00
Mutual Funds	189,891.88	1.63	0.00	0.00
Other	3,469,516.00	29.36	0.00	0.00
Cash & Equivalents	4,617.88	0.04	0.00	0.00
Account Total	\$11,681,345.82	99.86		

On the right side of the interface, there is a "Market Indices" section with a line chart showing price changes over time and a table of market data.

Market	Value	\$ Chg	% Chg
S&P 500	12740.30	+20.1	0.16
NASDAQ	2395.36	+18.3	0.76
S&P 500	1380.61	+4.67	0.34
S&P 400	923.04	+0.00	0.00
Dow Jones	704.75	+1.04	0.15
10YR	9300.86	-21.39	-0.23
30 Year Treasury Bond	45.05	+0.30	0.67

*DBConnect provides you access to accounts of which you are an owner or joint owner of an account. A separate ID and password can be issued for accounts where you are an interested party.





Summary (continued)

EXECUTIONS (Available for Online Traders only)

- Displays five most recent executions
- Link to details of trade available

Account Total		\$11,681,345.82	100.00				
Due to rounding, total asset type percentages may vary from 100.00%.							
To view Asset Allocation across all accounts please access the Asset Allocation section .							
Executions (5 most recent) As of 10:59 AM ET							
Date	Transaction	Symbol	Qty	Price			
There is no data for this query.							
Orders (5 most recent) As of 10:59 AM ET							
Date	Transaction	Symbol	Qty	Order Type	Price	Duration	Status
04/17/2008	BUY	YHQQ	200	Limit	23.00	AFT	PENDING
04/16/2008	BUY	ICE	100	Limit	2.00	AFT	PENDING
04/14/2008	BUY	PEE	100	Limit	6.00	AFT	PENDING
04/14/2008	BUY	JAMXX	\$3,000	N/A	N/A	DAY	PENDING
Holdings (Your 10 largest Holdings by Market Value)				Data delayed per Exchange requirement†			
Symbol	Quantity	Price (\$)	Change (\$)	Value (\$)			
WVXX	92200.0	44.90	+0.10	4,140,139.20			
002992121	2000000.0	1.00	0.00	2,000,000.00			
888998341	500000.0	1.00	0.00	500,000.00			
23399Y221	500000.0	1.00	0.00	500,000.00			
25152CLN4	500000.0	93.76	0.00	468,800.00			
251540HL0	200000.0	130.97	0.00	261,940.00			
915226889	250000.0	104.02	0.00	260,055.00			
875128BL3	250000.0	102.46	0.00	256,160.00			
233993435	250000.0	1.00	0.00	250,000.00			
89233PH69	250000.0	97.00	0.00	242,500.00			

DIA	12740.30	+20.1	0.16
NASDAQ	2395.30	+18.3	0.76
S&P 500	1380.61	+4.67	0.34
S&P 400	823.04	+0.03	0.00
Russell 2000	704.75	+1.04	0.15
NYSE	9206.66	-21.31	-0.23
30 Year Treasury Bond	45.05	+0.30	0.67

Data delayed per exchange requirement†

ORDERS (Available for Online Traders only)

- Displays five most recent orders
- Displays open and pending orders

HOLDINGS

- Displays top 10 holdings
- Holdings are based on market value





Balances

BALANCES SUMMARY

- Toggle between delayed and real-time prices
- Definitions of key value calculations included

Balances Summary

Account: [Dropdown]

Quote: Real Time Delayed*

Key Values

Item	Value
Long Market Value ¹	\$1,225,309.20
Short Market Value	\$0.00
Securities Owned ²	\$-6,723.00
Money Fund Balance	\$0.00
Cash	\$4,917.88
Net Worth	\$11,873,213.06
Total Assets Value ³	\$0.00
Total Account Value	\$11,873,213.06

Funds Available for

Item	Value
Funds Available for Withdrawal	\$0.00
Funds Available to Trade	\$-286,372.90
Funds Due (as of Previous Day) ⁴	0.0000

Market Indexes

Price \$

Market

Market	Value	\$ Chg	% Chg
BUM	12807.30	+91.1	0.63
NASDAQ	2409.80	+32.9	1.37
S&P 500	1304.81	+9.57	0.64
S&P 400	826.87	+3.96	0.48
Russell 2000	709.37	+5.96	0.80
NYSE	9337.73	+9.76	0.11
30 Year Treasury Bond	45.15	+1.40	0.99

Balances Details

Account: [Dropdown]

Quote: Real Time Delayed*

Key Values

Item	Previous Close (t)	Current (t)	Change (t)	Change (%)
Long Market Value ¹	\$1,220,152.81	\$1,225,309.20	\$5,156.39	0.53
Short Market Value	\$0.00	\$0.00	\$0.00	0.00
Money Fund Balance	\$0.00	\$0.00	\$0.00	0.00
Cash	\$4,917.88	\$4,917.88	\$0.00	0.00
Net Worth	\$11,870,998.88	\$11,873,213.06	\$2,214.18	0.02
Total Assets Value ³	\$0.00	N/A	\$0.00	0.00
Total Account Value	\$11,870,998.88	\$11,873,213.06	\$2,214.18	0.02

Market Indexes

Price \$

Market

Market	Value	\$ Chg	% Chg
BUM	12807.30	+91.1	0.63
NASDAQ	2409.80	+32.9	1.37
S&P 500	1304.81	+9.57	0.64
S&P 400	826.87	+3.96	0.48

BALANCES DETAILS

- Provides a quick snapshot of previous versus current day balances
- Provides both dollar and percent change





Holdings

Symbol	Quantity	Price	Change (%)	Market Value	Today's Change (%)	Account Type
MSI	47.00	49.00	+0.00	2,303.00	+0.00	Stock
MSI	47.00	49.00	+0.00	2,303.00	+0.00	Stock
MSI	47.00	49.00	+0.00	2,303.00	+0.00	Stock

Symbol	Quantity	Market Value (\$)	Unrealized Gain/Loss (\$)
MSI	47.00	2,303.00	0.00
MSI	47.00	2,303.00	0.00
MSI	47.00	2,303.00	0.00

Symbol	Quantity	Cost Basis	Realized Gain/Loss
MSI	47.00	2,303.00	0.00
MSI	47.00	2,303.00	0.00
MSI	47.00	2,303.00	0.00

INTRADAY UPDATES

- Provides intraday holdings grouped by asset class
- Toggle between delayed and real-time prices
- Click on quantity to view a full security description

UNREALIZED GAINS/LOSSES

- Provides tax lot and cost basis information
- Distinguishes short- and long-term gains/losses
- Toggle between delayed, real-time and previous day prices

REALIZED GAINS/LOSSES

- Provides YTD and previous year realized gains/losses





History

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[Summary](#) | [Balances](#) | [Holdings](#) | [History](#) | [Projected Cash](#) | [Asset Allocation](#) | [Statements and Confirms](#) | [Profile](#)

History

Account:

Transaction Type: Search by:

Time Period: From: To:

History data is available for a maximum of 2 years.

The "Last X Days" and "All Dates" Time Periods do not include activity occurring in the current business day. Please select the "Today's Activities" Time Period for current day activity.

View Foreign Exchange Rates
As of 04/23/2008 11:25 AM

Date	Description	Currency (Native)	Net Amount (Native)	Base Net Amount (USD)	Details
04/22/2008	MONEY FUND PURCHASE	USD	-386.28	-386.28	Details
04/21/2008	NON-TAXABLE DISTRIBUTION RECEIVED	USD	386.28	386.28	Details
04/21/2008	MONEY FUND PURCHASE	USD	-393.68	-393.68	Details
04/18/2008	NON-TAXABLE DISTRIBUTION RECEIVED	USD	393.68	393.68	Details
04/17/2008	MONEY FUND REDEMPTION	USD	1,086.60	1,086.60	Details
04/16/2008	SUBSTITUTE PAYMENT	USD	67.90	67.90	Details
04/16/2008	NON-TAXABLE DISTRIBUTION RECEIVED	USD	67.90	67.90	Details

You have 15 new Alerts for today.

Quick Quote: Real-Time Delayed*

Symbol:

Market Indices

Dow Jones Industrial Average

Price \$

12900
12800
12700
12600

9:00 12:00 15:00 18:00

© DJIA as of 04/23/2008 11:19 ET

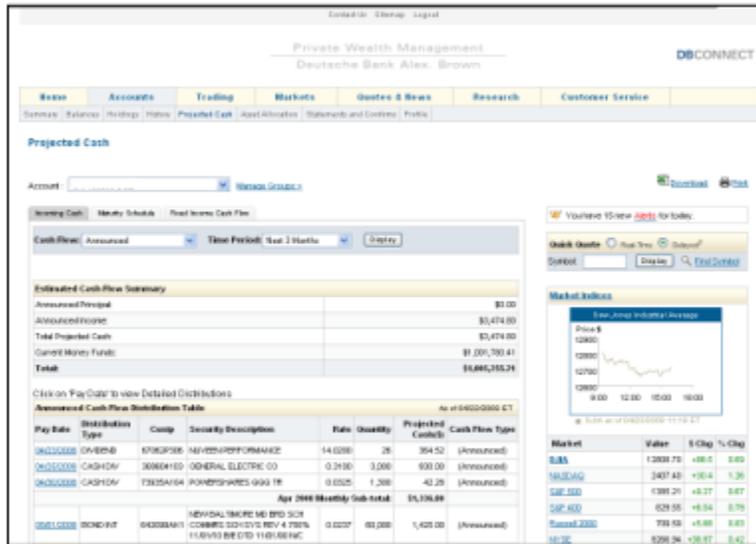
Market	Value	\$ Chg	% Chg

- HISTORY**
- Provides activity for current year plus two previous years
 - Filtering capability by specific date, symbol or transaction type





Projected Cash

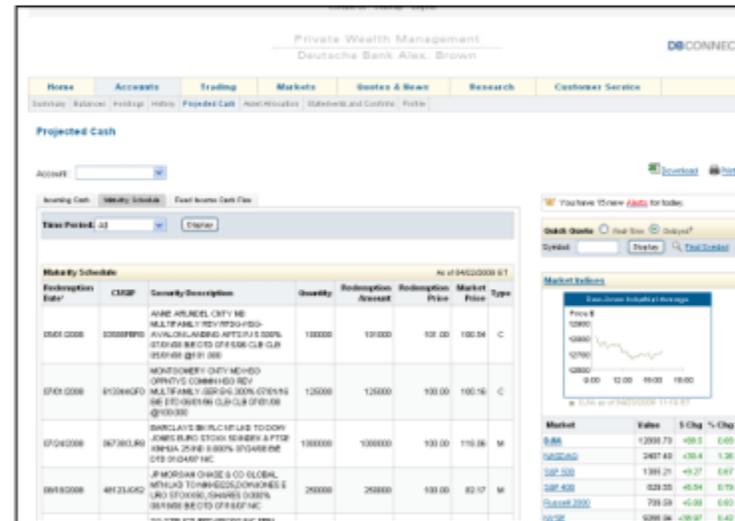


INCOMING CASH

- Announced and projected dividends and interest

MATURITY SCHEDULE

- Bond maturity over time
- Weekly, monthly and yearly views
- Provides callable and payable indications





Statements, Confirms and Tax Documents

The screenshot displays the DBCONNECT interface for Private Wealth Management. The main content area is titled 'Statements and Confirms'. It features a navigation bar with tabs for Home, Accounts, Trading, Markets, Quotes & News, Research, and Customer Service. Below this, there are links for Services, Balance, Holdings, History, Projected Cash, Asset Allocation, Statements and Confirms, and Profile. The 'Statements and Confirms' section includes an 'ACCOUNT:' dropdown menu and a 'Monthly Statements' table. The table has columns for 'Statement Date' and 'Statement Type'. The 'Time Period' is set to 'Last 12 Months' with a 'Display' button. To the right, there is a 'Quick Quote' section with a 'Symbol' input field and a 'Market Indices' section with a line chart titled 'Dow Jones Industrial Average' showing price fluctuations over time.

Statement Date	Statement Type
01/01/2009	Monthly
02/01/2009	Monthly
03/01/2009	Monthly
04/01/2009	Monthly
05/01/2009	Monthly
06/01/2009	Monthly
07/01/2009	Monthly
08/01/2009	Monthly
09/01/2009	Monthly
10/01/2009	Monthly

FEATURES

- Provides links to the PDF version of statements, trade confirms and 1099s





Account Profile

Account Profile

Account:

Account Information

Account Name:	H.B.T.T.
Account Nickname:	<input type="text"/>
Account Type:	Ret
Option Account:	Yes (Option Paperwork not on file)
Margin Account:	Yes
Asset Management Account:	N/A

Market Indices

Market	Value	\$ Chg	% Chg
DAX	12833.70	+85.5	0.68
MSCI World	2467.40	+26.4	1.08
S&P 500	1385.21	+9.27	0.67
S&P 400	929.95	+6.54	0.71

ACCOUNT PROFILE

- Nickname accounts
- View account address on record

Account Groups

Group Name	Account & Balance	Action
MY GROUP		<input type="button" value="Edit"/> <input type="button" value="Delete"/>
<input type="button" value="Create a New Group"/>		

Market Indices

Market	Value	\$ Chg	% Chg
DAX	12793.50	+73.3	0.57
MSCI World	2404.00	+27.7	1.15

ACCOUNT GROUPS

- Create groups of accounts
- Manage/ edit groups
- Delete groups
- Groups are displayed on the following pages:
 - Holdings
 - Asset Allocation
 - Projected Cash Flow





Account Profile (continued)

The screenshot shows the 'Document Delivery Preferences' section of the account profile. It features a table with columns for 'Account', 'Statement', 'Effective Date', 'Confirm', and 'Effective Date'. The table lists several accounts with 'Paper' as the statement type and 'Paper' as the confirmation type. A 'Change' button is located below the table. To the right, there is a 'Market Indices' section with a line chart showing the Dow Jones Industrial Average price from 9:00 to 10:00.

DOCUMENT DELIVERY

- Provides feature to suppress paper delivery of both statements and confirms
- Review and electronically sign a terms of use for each account
- Receive email notification when documents are available for review online

The screenshot shows the 'User Information' and 'Security' sections of the account profile. The 'User Information' section includes fields for 'User ID', 'Email Address 1', and 'Email Address 2'. The 'Security' section includes fields for 'Password' and 'Security Questions'. Below these sections is the 'Primary Account' section, which allows the user to select an account number. At the bottom, there is a 'Quote Bank' section showing the 'Quote Bank Balance' as 100. To the right, there is a 'Market Indices' section with a line chart showing the Dow Jones Industrial Average price from 9:00 to 10:00.

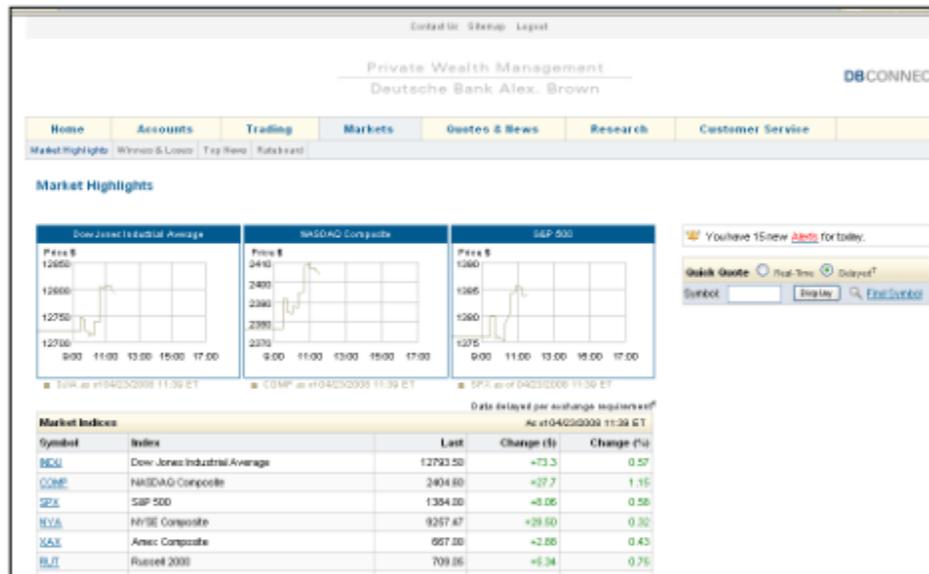
USER PROFILE

- Update email address
- Change password
- Change security questions





Markets



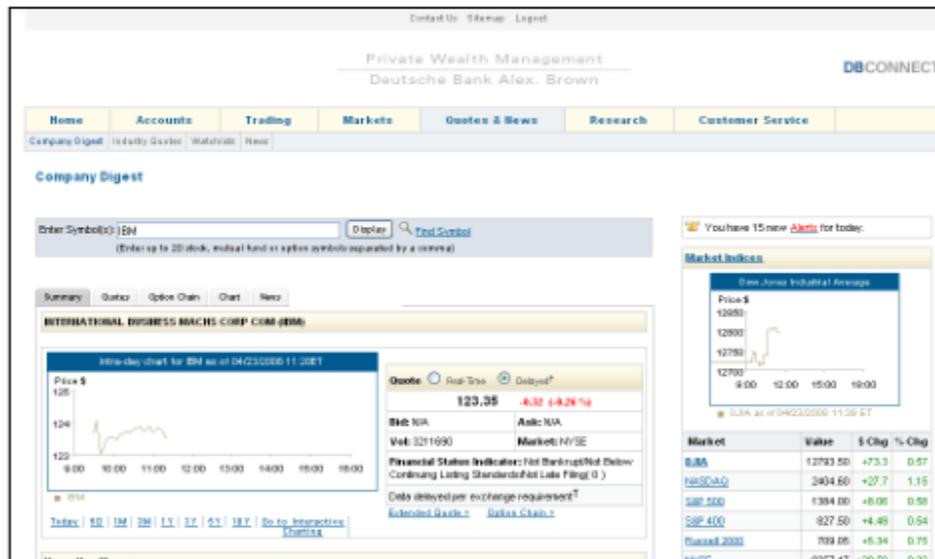
Features

- Market Highlights snapshot of domestic indices
- Winners and Losers
- Top News stories
- Rateboard
 - Interest rates
 - Currency rates
 - Currency calculator





Quotes and News



Features

- Company digest
- Options chain
- Charts and news
- Thomson Reports
- 20 minute delayed and real-time quotes
- Watchlist





Research

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Saved Search: [No Saved Searches](#) [New](#) [Advanced Search >](#)

Symbol: [Symbol Lookup](#) Time Period: [Last Month](#) From: To: [Display](#)

Research Results					As of 04/23/2008 at 11:44 ET
Date/Time	Document Name	SubTitle	Primary Symbol(s)	Primary Analyst(s)	
00010000 at 02:05 ET	Eaton's equity, not 500 - 2008 of 5 Eaton's debt, not 500 - 2008 of 5 (15 Pages, 0KB)	2008 vfr to investors regarding our equity			
00030000 at 10:29 ET	Eaton's Research Report: Results of our vfr to investors regarding our equity, not 500 (15 Pages, 0KB)	Biweekly vfr to investors regarding our market break (Jan, week 1)	EATN.T EATN.L	J. Kasper, J. Kasper	
00080000 at 05:02 ET	Eaton's Alert - Research on Eaton's vfr to investors regarding our equity, not 500 (1 Page, 0KB)	Access our preliminary Q3 report on 5/20/08	EATN.T	Y. Kasper, H. Kasper, J. Kasper, Y. Kasper	

1-3 of 3 Documents

[Showing Notes](#) [Research](#)

Independent third party research (IP) on certain companies covered by DB's research is available to customers of DB's in the United States at no cost. Customers can access the IP at [DB Equity Research](#), or call 1-877-206-0300 to request a copy of the IP be sent to them.

Features

- DB proprietary U.S. equity research
- SOLAR portfolios
- Independent research ratings
- Transparency reports
- Research alerts





Customer Service

DBCONNECT

Private Wealth Management
Deutsche Bank Alex. Brown

Home Accounts Trading Markets Quotes & News Research Customer Service

Alerts

Account: [Dropdown]

Alerts Summary Alerts Settings

View Alerts For: Today Display Clear All Alerts

Price, Volume & News Alerts
You have not elected to receive Price, Volume, and News Alerts. If you wish to do so, please go to the Alert Settings page.

Trade Alerts
You have not elected to receive Trade Alerts. If you wish to do so, please go to the Alert Settings page.

Activity Alerts
You have not elected to receive Activity Alerts. If you wish to do so, please go to the Alert Settings page.

Margin Alerts
You have not elected to receive Margin Call Alerts. If you wish to do so, please go to the Alert Settings page.

Market Indicators

You have 15 new Alerts for today.

Quick Quote Real Time Delayed? Symbol Enter Find Symbol

Price \$ 12450
12400
12350
12300
12250
9:00 12:00 15:00 18:00

© 2014 as of 04/23/2014 11:58 ET

ALERTS

- Create price, volume, news and account alerts

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- Email or call web support

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Email

Your Email Address is required for us to reply.

User ID: connect-support@db.com

Mail To: connect-support@db.com

Subject: Select a Subject

Your Email Address:

Message:

Send Email

Market Indicators

You have 15 new Alerts for today.

Quick Quote Real Time Delayed? Symbol Enter Find Symbol

Price \$ 12450
12400
12350
12300
12250
9:00 12:00 15:00 18:00

© 2014 as of 04/23/2014 11:58 ET

* Required
Please do not include any personal information (such as your social security number) in this email as the message is not encrypted.

[Deutsche Bank Alex. Brown Office Directory](#)

Market	Value	% Chg	% Chg
DAX	12790.50	+73.3	0.57
DAX3000	2404.50	+27.7	1.15





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