



**FIRST PRIVATE  
EQUITY GROUP**

**PETER LEVINE**

**SENIOR TRADING ADVISOR**

AMERICAN INTERNATIONAL BUILDING  
70 PINE STREET  
NEW YORK, NY 10005

TEL: [REDACTED]

FAX: [REDACTED]

[HTTP://WWW.FPEGWEB.COM](http://www.fpegweb.com)



American International Building  
70 Pine St. New York, NY, 10005  
Tel: (+1) 212 261 2000  
Fax: (+1) 212 261 2000

## Account Opening Kit

### Guidance Notes

Please write clearly in the white spaces with  
Capital letters or ticks the boxes.

Please sign and date as required and return to:

Fax: (+1) 212 261 2000

### Contents

This Account Opening Kit includes all relevant documentation to formally commence your investment. To Open the Account you will need to complete each of the following:

- 1. Account Opening Form:** So that we can offer our clients the best available advice, we ask that you complete your personal details and return the form signed and dated.
- 2. Terms of Agreement:** A detailed review of our operating processes which will outline the way we can work effectively on your behalf.
- 3. Confidentiality Agreement:** As a client of the company, you will have access to company information which may be sensitive. To preserve the integrity of this information and to ensure that this information is not used for external economic gain, First Private Equity Group, requires signing of this Confidentiality Agreement.
- 4. W8-BEN Form:** To register foreign status and to ensure you are exempt from US taxes and fees associated with this investment account. This document will be included as a separate attachment.

#### You will also receive:

- 5. Funding Instructions:** This is how you will fund your account opening. Section 4 of this Account Opening Kit details the relevant instructions for international bank-to-bank transfer. Use this document to ensure correct remittance details. To settle the account, our payment details are provided and should be followed exactly as stated to avoid any delays in the funds clearing with our bankers. The payment is to be made by IBAN or SWIFT telegraphic transfer within one business day. Please provide First Private Equity Group with a copy of the transfer receipt pending clearance.

Once received, a receipt will be sent to you from First Private Equity Group. This receipt will confirm the details, amount paid, and your new account number.

**Type of Accounts:** There are three account levels available at First Private Equity Group.

Silver: Min. \$100,000.00 deposit. Gold: Min. \$250,000.00 deposit. Platinum: \$1,000,000.00 deposit.

Please circle the account type that you are applying for.

1

Account Opening Form – (personal details)

Account Owner

Title (please tick)

Mr Mrs Miss Ms Other (please specify)

Your Last Name

ALFSEN

Your First Names

NIKOLAI

Mailing Address

[REDACTED]

City

OSLO

State

(NORWAY)

Zip

0266

Country

NORWAY

Daytime Telephone Number

[REDACTED]

Evening Telephone Number

[REDACTED]

Email Address

[REDACTED]

Date of Birth (MM-DD-YYYY)

[REDACTED]

Place of Birth

BERUM

Marital Status

MARRIED

Number of Dependants

0

Mothers Maiden Name

TORILL (ALFSEN) HANSEN

Second Account Holder (if applicable)

Title (please tick)

Mr Mrs Miss Ms Other (please specify)

Your Last Name

CAPPELEN

Your First Names

TORI

Mailing Address

[REDACTED]

City

OSLO

State

(NORWAY)

Zip

0266

Country

NORWAY

Daytime Telephone Number

[REDACTED]

Evening Telephone Number

[REDACTED]

Email Address

[REDACTED]

Date of Birth (MM-DD-YYYY)

[REDACTED]

Place of Birth

OSLO

Marital Status

MARRIED

Number of Dependants

0

Mothers Maiden Name

BERGJOT (CAPPELEN) HEYERDAHL

Account Owner

Employment Status (please tick)

Employed  Self Employed   
 Homemaker  Student   
 Retired   
 Other (please specify)

Start Date With Current Employer (MM-DD-YYYY)

08011986

Employer's Name

ARKITEKTGRUPPEN LIUE FRØENAS

Employer's Address

BYGDØY ALLE 5  
0257 OSLO

City

OSLO

State

NORWAY

Zip

0257

Country

NORWAY

Employer's Telephone Number

[REDACTED]

Evening Telephone Number

[REDACTED]

Occupation Type

ARCHITECT

Industry Type

ARCHITECTURE

Title / Position

ARCHITECT - PARTNER

Previous Employers

[REDACTED]

Second Account Holder (if applicable)

Employment Status (please tick)

Employed  Self Employed   
 Homemaker  Student   
 Retired   
 Other (please specify)

Start Date With Current Employer (MM-DD-YYYY)

08011988

Employer's Name

FROGNER MENIGHET

Employer's Address

FROGNER MENIGHET  
ZAHNKRÄFTER SCHAFTS PL. 2  
0267 OSLO

City

OSLO

State

NORWAY

Zip

0267

Country

NORWAY

Employer's Telephone Number

[REDACTED]

Evening Telephone Number

[REDACTED]

Occupation Type

(HEAD MASTER OF) NURSERY

Industry Type

NURSERY

Title / Position

HEAD MASTER

Previous Employers

[REDACTED]

1

### Account Opening Form – (financial profile)

Total Annual Income (please tick)

€ 0 – € 100,000  € 100,000 - € 250,000  € 250,000 - € 500,000  € 500,000 - € 1000,000   
€ 1000,000 +

Total Household Income

€ 0 – € 100,000  € 100,000 - € 250,000  € 250,000 - € 500,000  € 500,000 - € 1000,000   
€ 1000,000 +

Total Net Worth

€ 0 – € 100,000  € 100,000 - € 250,000  € 250,000 - € 500,000  € 500,000 - € 1000,000   
€ 1000,000 +

Are you a director, executive officer, 10% shareholder or someone who has the ability to control corporate action of a public company? (please tick)

Yes

No

1

### Account Opening Form – (account profile)

Account Objectives (please tick)

Income  Growth  Total Return

Risk Tolerance (please tick)

Conservative  Moderate  Aggressive

### Client Trading Agreement

In consideration of First Private Equity Group (FPEG) accepting the account (the "Account"), the Client (the "Client") agrees:

1. That this Agreement is in respect to all Security transactions in the Account of the Client. This Agreement is in respect of all transactions in the Account of the Client, including accounts previously opened, opened in the future or from time to time closed and then reopened or renumbered; that the word "Securities" shall include all Securities generally so-called and in particular shall include equities, bonds, debentures, notes, options, warrants, rights, "When Issued" Securities of all kinds and chooses in action of every kind, and all property customarily dealt in by brokers.
2. That every transaction is subject to the constitution, by-laws, rules, regulations and customs of the Exchange upon which the transaction is executed, and to the customs and regulations (now or hereafter in effect), of the applicable Clearing Corporations including, without limitation, position limits and exercise limits, and if not executed upon any exchange to the by-laws, rules, and regulations and customs of any market association of brokers or dealers made applicable thereto by any law or agreement.
3. That the Client shall pay any and all commissions to FPEG in respect of all purchases and sales of Securities in the Client account.
4. That FPEG operates on a flat one percent (1) commission on both entry and exit trades. Entrance commission will be added to the total amount payable. Receipt of funds that is short of trade value, inclusive of commission, will be deemed to have paid commission but left the remaining portion of the trade unpaid. If the client executes a sell order through a third party, the exit commission agreed to be paid to FPEG will be deemed to be standing and indebted accordingly.
5. That FPEG shall not be responsible for any delays in bringing the Client's order to market, including delays caused by failure of communication services or equipment or by excess volume of trading. FPEG shall not be responsible for the accuracy of any quotation or market information given to the Client. FPEG shall not be responsible for any loss or damage incurred as a result of a trade made upon the advice of any employee of FPEG or as a result of the cancellation or change of an existing order not reaching the trading point prior to execution of the order to be cancelled or changed.
6. That as part of FPEG's service directive, the account managers of FPEG undertakes to keep the Client informed throughout the process of the trade, keeping the Client abreast of major market movements, and issuing appropriate recommendations when to sell. Ultimate responsibility for the decision to sell is borne by the Client and FPEG will make available specified public and private resources to assist Client preparedness.
7. That for said securities that return a dividend on investment, the company will seek returns and destination of that dividend by remittance to an account of the clients' choice/approval.
8. That upon encashment of any security or cancellation of the clients' account the client at his/her request may have funds directed to an account of choice subject to the client providing all necessary references to execute the request.
9. That FPEG, its and their respective directors, officers or employees may at any time or from time to time have a position in any or all Securities being traded on behalf of FPEG's Client, and that FPEG will, if trading in the same Securities, at the same time as the Client, undertake to accord the Client's order priority in accordance with existing rules and regulations of the exchange or market where the order is being executed. .
10. The Client acknowledges and consents that FPEG is required to report any position that is in violation to the regulatory authorities.
11. That every transaction indicated or referred to by FPEG in any notice, statement, confirmation or other communication, and every statement of account shall be deemed and treated as authorized and correct and as ratified and confirmed by the Client unless FPEG shall actually receive at FPEG's Head Office written notice to the contrary within forty-five (45) days from the time such notice, statement, confirmation or other communication was sent by FPEG to the Client by mail or through any other method of delivery. Until otherwise instructed in writing, FPEG will send all such documents to the Client at the permanent address of the Client.

12. To undertake to advise FPEG in writing addressed to the Head Office of FPEG in New York City to the attention of the Client Services Manager, of any and all changes to the information appearing on any of the Client's application forms. All written notices and communications sent by FPEG to the Client will be deemed to have been received if sent by mail or any means of prepaid, transmitted or recorded communication or if delivered to the Client, at the permanent address of the Client or as otherwise directed herein.
13. That this Agreement shall ensure to the benefit of FPEG and be binding on them and their successors and assigns and on the Client's successors, executors, administrators, assigns, and legal representatives and shall be interpreted according to the Laws of the country of investment.
14. That all transactions will be quoted in US Dollars.
15. Trades in currencies other than the currency of the account in which the trade is to settle require currency conversion. We act as principal when converting currency for such transactions at rates established or determined by us. This will be taken directly from current market exchange rates. We may earn revenue on the spread between the bid and offer rates for the currency and the rate at which the rate is offset in the market. Any currency conversions will take place on the trade date unless otherwise agreed.
16. That this agreement supersedes all previous agreements between me and First Private Equity Group.
17. That the signature(s) appearing on this form is/are true and official signature(s) of the aforementioned account and may be used for verification of the said account at all times and for all purposes. The personal information supplied to First Private Equity Group is deemed truthful and accurate.

## Privacy Policy

At FPEG, we understand that the privacy and security of your personal and account information are important to you. FPEG maintains confidentiality standards that are designed to protect your personal information.

When you browse the public portions of the FPEG website (██████████), your account and/or personal information cannot be retrieved from FPEG 's systems.

FPEG employee access to customer information is limited to staff that require the relevant information to properly service your account. Our employees are continuously educated on the importance of maintaining the confidentiality of customer information. When we collect information about you, we will explain how we intend to use it. We limit the information collected to what we need for those purposes, and use it only for those purposes.

We will give you access to the information we retain about you, and make every reasonable effort to keep your information accurate and up-to-date. You can help by keeping us informed of any changes, such as a new address or telephone number. If you find any errors in our information about you, let us know and, if incorrect, we will correct them. If you require information, simply contact us with specific details of your query. We will advise you in advance if a charge will be required for conducting the search, and we will respond to your request within 7 days.

Any personal and account data maintained by FPEG is done to fulfill our legal and regulatory requirements and to provide you with the products and services you request. Save for these exceptions, FPEG will not share, sell or distribute your personal or account information to any outside source.

Usually, you can refuse your consent to share information, or withdraw it at any time, by contacting us in writing. We will explain your options and any consequences of refusing or withdrawing your consent, and record your choices. If you don't want us to share information with your referring financial institution, you can tell us any time.

Communications with FPEG are secure. FPEG utilizes state-of-the-art Internet security. We will release your information when required or permitted by law. We must give information in response to a valid demand, search warrant or other legally valid enquiry or order. We may also disclose information to an investigative body in the case of a breach of agreement or contravention of law - this helps prevent fraud, money laundering or other criminal activity.

For regulatory purposes, self regulatory organizations require access to personal information of current and former clients, employees, agents, directors, officers and others that has been collected or used by FPEG. SROs collect, use or disclose such personal information obtained from FPEG for regulatory purposes, including:

- Surveillance of trading-related activity;
- Sales, financial compliance, trade desk review and other regulatory audits;
- Investigation of potential regulatory and statutory violations;
- Regulatory databases;
- Enforcement or disciplinary proceedings;
- Reporting to securities regulators; and
- Information-sharing with securities regulatory authorities, regulated marketplaces, other SROs and law enforcement agencies in any jurisdiction in connection with any of the foregoing.

This Confidentiality Agreement entered into by

NIKOLAI AUFSSEN / TORI LAPPELEN  
"The Client,"

and

First Private Equity Group "The Company",

This  
23<sup>TH</sup> day of MAY, 2012.

WHEREAS, The Company is prepared to make its Confidential Information available for review by persons who may be interested in purchasing securities, but desires to protect its Confidential Information.

WHEREAS, The Client is considering purchase of securities and may receive such Confidential Information in connection with a due diligence review of the prospective security.

IT IS AGREED:

1. The term "Confidential Information" shall include any information that derives independent economic value from the fact it is not commonly known by the public. This also includes all written and verbal communications by Company to the Investor, including the fact the Company is seeking to complete any business transaction.
2. Investor acknowledges that this Confidential Information is of value to the Company by providing it with a competitive advantage and is not intended for general dissemination.
3. Upon receipt of any Confidential Information, Investor agrees to prevent its disclosure to any third party, unless expressly authorized by Company.
4. Any violation of Paragraph 3. shall be considered a material breach of this Agreement, and Investor agrees the Company will be entitled to all available civil remedies, including attorney fees and court costs.
5. Investor agrees that the provision of Confidential Information by Company constitutes adequate legal consideration for a valid and binding Agreement.

IN WITNESS WHEREOF:

TORI LAPPELEN  
NIKOLAI AUFSSEN

CLIENT NAME

Tori Lappelen  
Nikolai Aufsenn

CLIENT SIGNATURE

CLIENT ADDRESS

## Beneficiary Banking Details

Funding instructions are included in a separate sheet attached herein.

### REFERENCE INSTRUCTIONS:

1. Complete the banking details in accordance with above.
2. Include the invoice number in the memo section of the transfer form.
3. Check – 'Remitter pays all banking fees'.
4. Fax a copy of this invoice and a copy of the transfer receipt to:

**FAX: +1** [REDACTED]

5. Confirmation of funds receipt will be forwarded to you immediately when processed.

**Certificate of Foreign Status of Beneficial Owner  
 for United States Tax Withholding**

OMB No. 1545-1621

▶ Section references are to the Internal Revenue Code. ▶ See separate instructions.  
 ▶ Give this form to the withholding agent or payer. Do not send to the IRS.

**Do not use this form for:**

- A U.S. citizen or other U.S. person, including a resident alien individual . . . . . W-9
- A person claiming an exemption from U.S. withholding on income effectively connected with the conduct of a trade or business in the United States . . . . . W-8ECI
- A foreign partnership, a foreign simple trust, or a foreign grantor trust (see instructions for exceptions) . . . . . W-8ECI or W-8IMY
- A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession that received effectively connected income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (see instructions) . . . . . W-8ECI or W-8EXP

**Note:** These entities should use Form W-8BEN if they are claiming treaty benefits or are providing the form only to claim they are a foreign person exempt from backup withholding.

- A person acting as an intermediary . . . . . W-8IMY
- Note:** See instructions for additional exceptions.

**Part I Identification of Beneficial Owner (See instructions.)**

<p>1 Name of individual or organization that is the beneficial owner  <u>NIKOLA ALFSEN / TORI CAPPELEN</u></p>	<p>2 Country of incorporation or organization  <u>NORWAY</u></p>
<p>3 Type of beneficial owner: <input checked="" type="checkbox"/> Individual <input type="checkbox"/> Corporation <input type="checkbox"/> Disregarded entity <input type="checkbox"/> Partnership <input type="checkbox"/> Simple trust  <input type="checkbox"/> Grantor trust <input type="checkbox"/> Complex trust <input type="checkbox"/> Estate <input type="checkbox"/> Government <input type="checkbox"/> International organization  <input type="checkbox"/> Central bank of issue <input type="checkbox"/> Tax-exempt organization <input type="checkbox"/> Private foundation</p>	
<p>4 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.  <span style="background-color: black; color: black;">[REDACTED]</span></p>	
<p>City or town, state or province. Include postal code where appropriate.  <u>OSLO</u></p>	<p>Country (do not abbreviate)  <u>NORWAY</u></p>
<p>5 Mailing address (if different from above)</p>	
<p>City or town, state or province. Include postal code where appropriate.</p>	
<p>Country (do not abbreviate)</p>	
<p>6 U.S. taxpayer identification number, if required (see instructions)  <input type="checkbox"/> SSN or ITIN <input type="checkbox"/> EIN</p>	<p>7 Foreign tax identifying number, if any (optional)</p>
<p>8 Reference number(s) (see instructions)</p>	

**Part II Claim of Tax Treaty Benefits (if applicable)**

9 I certify that (check all that apply):

- a  The beneficial owner is a resident of NORWAY within the meaning of the income tax treaty between the United States and that country.
- b  If required, the U.S. taxpayer identification number is stated on line 6 (see instructions).
- c  The beneficial owner is not an individual, derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits (see instructions).
- d  The beneficial owner is not an individual, is claiming treaty benefits for dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation, and meets qualified resident status (see instructions).
- e  The beneficial owner is related to the person obligated to pay the income within the meaning of section 267(b) or 707(b), and will file Form 8833 if the amount subject to withholding received during a calendar year exceeds, in the aggregate, \$500,000.

10 **Special rates and conditions** (if applicable—see instructions): The beneficial owner is claiming the provisions of Article \_\_\_\_\_ of the treaty identified on line 9a above to claim a \_\_\_\_\_ % rate of withholding on (specify type of income): \_\_\_\_\_  
 Explain the reasons the beneficial owner meets the terms of the treaty article: \_\_\_\_\_

**Part III Notional Principal Contracts**

11  I have provided or will provide a statement that identifies those notional principal contracts from which the income is not effectively connected with the conduct of a trade or business in the United States. I agree to update this statement as required.

**Part IV Certification**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form relates.
- The beneficial owner is not a U.S. person.
- The income to which this form relates is not effectively connected with the conduct of a trade or business in the United States or is effectively connected but is not subject to tax under an income tax treaty, and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

Sign Here  \_\_\_\_\_ 05-23-2012 \_\_\_\_\_  
 Signature of beneficial owner (or individual authorized to sign for beneficial owner) Date (MM-DD-YYYY) Capacity in which acting