

**From:** Vahe Stepanian <[REDACTED]>

**To:** jeevacation@gmail.com

**Cc:** [REDACTED], Paul Morris <[REDACTED]>, Tazia Smith  
<[REDACTED]>

**Subject:** Trade Report 1/17/14 [C]

**Date:** Fri, 17 Jan 2014 21:08:42 +0000

**Inline-Images:** unnamed; unnamed(1)

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Classification: Confidential

Jeffrey,

Today you executed the following:

S 13,866 DAG @ \$6.8022

This leaves 36,134 shares to work next week. Please let me know if you have any questions.

Have a wonderful weekend.

Thank you,  
Vahe

**From:** Vahe Stepanian/db/dbcom  
**To:** Tazia Smith/db/dbcom@DBAMERICAS,  
**Cc:** [REDACTED], jeevacation@gmail.com, Paul Morris/db/dbcom@DBAMERICAS  
**Date:** 01/17/2014 02:47 PM  
**Subject:** Re: Sell Orders Working (DAG and HY Fund) [C]

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Classification: Confidential

Jeffrey,

Following up on the below - your proceeds from the JPM HY Fund redemption are \$2,760,359.19. Also, thus far you've sold 8,400 DAG @ \$6.8037, which leaves 41,600. We will continue to work.

We'll be back after the close with your final on the day.

Thank you,  
Vahe

**From:** Tazia Smith/db/dbcom  
**To:** jeevacation@gmail.com,  
**Cc:** [REDACTED], Paul Morris, Vahe Stepanian/db/dbcom@DBAmericas, Vinit Sahni/db/dbcom@DBEMEA  
**Date:** 01/16/2014 03:25 PM  
**Subject:** Sell Orders Working (DAG and HY Fund) [C]

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Classification: Confidential

Jeffrey:

Per our conversation, we have redeemed the JP High Yield Mutual Fund (~\$2.21mm, we will confirm with the final NAV tomorrow).

We're working the sale of 50,000 DAG (~\$6.92/sh); this ETN is a thin trader so we are going to work that order and it may take a few sessions. Please let me know if you would like us to be more aggressive.

Best,  
Tazia



**Tazia Smith**

Director | Key Client Partners - US

Deutsche Bank Securities Inc  
Deutsche Asset & Wealth Management  
345 Park Avenue, 26th Floor  
New York, NY 10155  
Tel. [REDACTED]  
Fax [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

*Passion to Perform*

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