

From: Richard Kahn <[REDACTED]>

To: "jeffrey E." <jeevacation@gmail.com>

Subject: BABA

Date: Fri, 30 Jan 2015 14:47:20 +0000

Inline-Images: Mail_Attachment.gif; Mail_Attachment(1).gif

not sure if you still have interest in Alibaba but stock is now trading below where you sold it
current price 88.77 at 9:45am

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

Begin forwarded message:

To: jeevacation@gmail.com

Cc: [REDACTED], Paul Morris <[REDACTED]>, [REDACTED], Vahe Stepanian <[REDACTED]>

Subject: Trade Report 9/19 [C]

From: Tazia Smith <[REDACTED]>

Date: September 19, 2014 at 12:22:36 PM EDT

Classification: Confidential

Jeffrey -

S 2000 BABA at \$92.352 (+\$48.7k)

TDS

From: "jeffrey E." <jeevacation@gmail.com>
To: Tazia Smith/db/dbcom@DBAMERICAS,
Date: 09/19/2014 12:19 PM
Subject: Re: Alibaba opens \$92.70 [C]

sell

On Fri, Sep 19, 2014 at 11:55 AM, Tazia Smith <[REDACTED]> wrote:
Classification: Confidential

Jeffrey -

Alibaba opens at \$92.70, ~\$95 last (+\$54k on your position)

TDS



Tazia Smith

Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

Passion to Perform

From: Tazia Smith/db/dbcom
To: "jeffrey epstein" <jeevacation@gmail.com>
Cc: "MG" <[REDACTED]>, "Paul Morris" <[REDACTED]>, "Rich Kahn" <[REDACTED]>, "Vahe Stepanian" <[REDACTED]>
Date: 09/19/2014 10:10 AM
Subject: Alibaba Update \$80-83, buy imbalance [C]

Classification: Confidential

Jeffrey -

Alibaba not open yet, looking \$80-83 last (vs. \$68 price), but still on a buy imbalance on the NYSE.
Will keep you posted. Let us know if this is something you want to sell, hold or add.

Best Regards,
Tazia

From: Tazia Smith/db/dbcom
To: "jeffrey epstein" <jeevacation@gmail.com>,
Cc: "Paul Morris" <[REDACTED]>, "Vahe Stepanian" <[REDACTED]>, "MG" <[REDACTED]>, "Rich Kahn" <[REDACTED]>
Date: 09/19/2014 08:45 AM
Subject: Alloc [C]

Classification: Confidential

Jeffrey -

You were allocated 2,000 Alibaba (BABA) at \$68.

TDS

--

Tazia Smith
Director
Key Client Partners - US

Deutsche Bank Securities, Inc.
Deutsche Asset & Wealth Management
345 Park Avenue - 26th Floor
New York, NY 10154
Tel: [REDACTED]
Mobile: [REDACTED]
Fax: [REDACTED]
E-mail: [REDACTED]

From: Nate Hall
Sent: 09/19/2014 03:42 AM GMT
Subject: *** PRICING DETAILS *** JOINT BOOKRUN IPO *** ALIBABA GROUP (NYSE: BABA) ***
APPROVED FOR EXTERNAL DISTRIBUTION ***

***** APPROVED FOR EXTERNAL DISTRIBUTION *****

Issuer: Alibaba Group Holding Limited

Symbol: BABA

Deal size: 320,106,100 shares (38% primary / 62% secondary) plus 15% greenshoe (54% primary / 46% secondary)

EFTA01142292

Offer price: \$68.00

Exchange: NYSE

Trade date: 9/18

Settlement date: 9/24

Bookrunners:

Deutsche Bank

Credit Suisse

Goldman Sachs

JPMorgan

Morgan Stanley

Citi

The issuer has filed a registration statement (including a prospectus) with the SEC for the offering to which this communication relates. Before you invest, you should read the prospectus in that registration statement and other documents the issuer has filed with the SEC for more complete information about the issuer and this offering. You may get these documents for free by visiting EDGAR on the SEC Web site, at www.sec.gov. Alternatively, the issuer, any underwriter or any dealer participating in the offering will arrange to send you the prospectus if you request it by calling toll-free [1-800-503-4611](tel:1-800-503-4611) or by mail from Deutsche Bank Securities Inc., 60 Wall Street, New York, New York 10016, or by email at prospectus.cpdg@db.com

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

--

please note

The information contained in this communication is confidential, may be attorney-client privileged, may constitute inside information, and is intended only for the use of the addressee. It is the property of

JEE

Unauthorized use, disclosure or copying of this communication or any part thereof is strictly prohibited and may be unlawful. If you have received this communication in error, please notify us immediately by return e-mail or by e-mail to jeevacation@gmail.com, and destroy this communication and all copies thereof, including all attachments. copyright -all rights reserved

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.