

From: Richard Kahn <[REDACTED]>

To: "jeffrey E." <jeevacation@gmail.com>

Subject: Fwd: HSBC Note Matured, +15.67% [C]

Date: Tue, 02 Sep 2014 15:08:27 +0000

Attachments: HSBC_Eurostoxx_Contingent_Buffer_Note.pdf; SOFL_Aug_2014.pdf

Inline-Images: Mail_Attachment.gif; Mail_Attachment(1).gif; Mail_Attachment(2).gif; Mail_Attachment(3).gif

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Begin forwarded message:

From: Tazia Smith <[REDACTED]>

Subject: HSBC Note Matured, +15.67% [C]

Date: September 2, 2014 at 8:28:24 AM EDT

To: [REDACTED]

Cc: Paul Morris <[REDACTED]>, Vahe Stepanian <[REDACTED]>

Classification: Confidential

Good Morning Rich -

Hope you enjoyed a wonderful holiday weekend.

As you know, Jeffrey's 15mo HSBC EuroStoxx 50 buffered note matured and has now redeemed. Valuation date was 8/26, with the SX5E at 3197.54, vs. initial level of 2764.29 (+15.67% vs. the 17% max return); \$2,313,462.05 redeemed vs. \$2mm invested. Original prospectus, Aug statement, and Bloomberg screenshots attached here for your reference.

Best Regards,
Tazia

SX5E ↑ 3181.04 +5.99
 At 7:56 d 0 3180.89 H 3198.77 L 3176.97 Prev 3175.05

SX5E Index CLOSE/PRICE Page 1/6 Historical Price Table
 SX5E Euro Stoxx 50 Pr PRICE 3180.92

Range 09/02/2013 - 09/02/2014 Period Daily High 3314.80 on 6/19/14
 Currency EUR Market Mid/Trd Avg 3093.90 Low 2753.35 on 9/ 3/13

DATE	PRICE	VOLUME	DATE	PRICE	VOLUME	DATE	PRICE	VOLUME
F 8/15	3033.52	286MLN	F 7/25	3174.99	494MLN			
T 8/14	3058.16	463MLN	T 7/24	3220.07	588MLN			
W 8/13	3056.17	407MLN	W 7/23	3193.13	464MLN			
T 8/12	3023.77	448MLN	T 7/22	3189.22	470MLN			
M 8/11	3047.56	498MLN	M 7/21	3137.06	433MLN			
F 8/29	3172.63	408MLN	F 8/ 8	3006.83	671MLN			
T 8/28	3164.44	467MLN	T 8/ 7	3012.88	727MLN			
W 8/27	3194.45	413MLN	W 8/ 6	3050.37	709MLN			
T 8/26	3197.54	499MLN	T 8/ 5	3072.20	607MLN			
M 8/25	3165.47	402MLN	M 8/ 4	3070.46	583MLN			
F 8/22	3098.50	424MLN	F 8/ 1	3072.57	740MLN			
T 8/21	3124.56	450MLN	T 7/31	3115.51	689MLN			
W 8/20	3083.50	340MLN	W 7/30	3169.23	473MLN			
T 8/19	3091.11	337MLN	T 7/29	3190.54	589MLN			
M 8/18	3073.45	397MLN	M 7/28	3171.55	391MLN			
			F 7/25	3164.21	595MLN			
			T 7/17	3157.82	599MLN			
			W 7/16	3202.94	706MLN			
			T 7/15	3153.75	849MLN			
			M 7/14	3185.86	482MLN			
			F 7/11	3157.05	623MLN			
			T 7/10	3150.59	1.08BLN			
			W 7/ 9	3203.10	608MLN			
			T 7/ 8	3184.38	745MLN			
			M 7/ 7	3230.92	395MLN			

SX5E ↑ 3184.80 +9.75
 At 8:11 d 0 3180.89 H 3198.77 L 3176.97 Prev 3175.05

SX5E Index Save As Actions Edit Table Line Chart

05/24/2013 - 08/26/2014 Last Price Line Compare Mov. Avgs Volume EUR



Tazia Smith

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