

**From:** Tazia Smith <[REDACTED]>  
**To:** "jeffrey E." <jeevacation@gmail.com>  
**Cc:** [REDACTED], Paul Morris <[REDACTED]>, Richard Kahn <[REDACTED]>, Vahe Stepanian <[REDACTED]>  
**Subject:** BK Update... [C]  
**Date:** Fri, 19 Sep 2014 19:47:42 +0000  
**Inline-Images:** unnamed; unnamed(1); unnamed(2); unnamed(3); unnamed(4); unnamed(5)

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Classification: Confidential

Jeffrey -

FYI only:

BK Spot = \$39.77

Jan 40 calls 1.45 x 1.59  
Jan 41 calls 1.02 x 1.11  
Jan 42 calls .68 x .76

Away from your 1.20 limit on selling 1k of the 42s.

TDS

Source: Bloomberg

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Tazia Smith  
Director | Key Client Partners - US

DB Securities Inc  
Deutsche Asset & Wealth Management

[REDACTED]  
Email [REDACTED]

*Passion to Perform*

**From:** Tazia Smith/db/dbcom  
**To:** "jeffrey E." <jeevacation@gmail.com>,  
**Cc:** Richard Kahn <[REDACTED]>, Vahe Stepanian/db/dbcom@DBAmericas, [REDACTED], Paul Morris  
**Date:** 09/19/2014 10:27 AM  
**Subject:** Re: [C]

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EFTA01194380

Classification: Confidential

Jeffrey - order to sell 1000 BK Jan 42 calls is entered at \$1.20. Current market is \$0.81 x \$0.97.

41s = 1.24 x 1.39

43s = 0.54 x 0.63

Source: Bloomberg

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**Tazia Smith**

Director | Key Client Partners - US

DB Securities Inc

Deutsche Asset & Wealth Management



Email 

*Passion to Perform*

From: Tazia Smith/db/dbcom  
To: "Jeffrey E." <jeevacation@gmail.com>@DEUBAINT,  
Cc: Richard Kahn < >  
Date: 09/19/2014 10:22 AM  
Subject: [C]

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Classification: Confidential

got it

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**Tazia Smith**

Director | Key Client Partners - US

DB Securities Inc

Deutsche Asset & Wealth Management



Email 

*Passion to Perform*

EFTA01194381

From: "Jeffrey E." <jeevacation@gmail.com>  
To: Tazia Smith/db/dbcom@DBAMERICAS, Richard Kahn <[REDACTED]>,  
Date: 09/19/2014 10:20 AM  
Subject: Re: Alibaba Update \$80-83, buy imbalance [C]

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sell 1000 jan 42 bk calls at 1.20

On Fri, Sep 19, 2014 at 10:10 AM, Tazia Smith <[REDACTED]> wrote:  
Classification: Confidential

Jeffrey -

Alibaba not open yet, looking \$80-83 last (vs. \$68 price), but still on a buy imbalance on the NYSE.  
Will keep you posted. Let us know if this is something you want to sell, hold or add.

Best Regards,  
Tazia

From: Tazia Smith/db/dbcom  
To: "Jeffrey Epstein" <jeevacation@gmail.com>,  
Cc: "Paul Morris" <[REDACTED]>, "Vahe Stepanian" <[REDACTED]>, "MG" <[REDACTED]>, "Rich Kahn" <[REDACTED]>  
Date: 09/19/2014 08:45 AM  
Subject: Alloc [C]

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Classification: Confidential

Jeffrey -

You were allocated 2,000 Alibaba (BABA) at \$68.

TDS

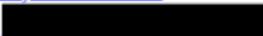
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Tazia Smith  
Director  
Key Client Partners - US

EFTA01194382

Deutsche Bank Securities, Inc.  
Deutsche Asset & Wealth Management



E-mail: 

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**From:** Nate Hall  
**Sent:** 09/19/2014 03:42 AM GMT  
**Subject:** \*\*\* PRICING DETAILS \*\*\* JOINT BOOKRUN IPO \*\*\* ALIBABA GROUP (NYSE: BABA) \*\*\*  
APPROVED FOR EXTERNAL DISTRIBUTION \*\*\*

**\*\*\* APPROVED FOR EXTERNAL DISTRIBUTION \*\*\***

Issuer: Alibaba Group Holding Limited

Symbol: BABA

Deal size: 320,106,100 shares (38% primary / 62% secondary) plus 15% greenshoe (54% primary / 46% secondary)

Offer price: \$68.00

Exchange: NYSE

Trade date: 9/18

Settlement date: 9/24

**Bookrunners:**

Deutsche Bank

Credit Suisse

Goldman Sachs

JPMorgan

Morgan Stanley

Citi

The issuer has filed a registration statement (including a prospectus) with the SEC for the offering to which this communication relates. Before you invest, you should read the prospectus in that registration statement and other documents the issuer has filed with the SEC for more complete information about the issuer and this offering. You may get these documents for free by visiting EDGAR on the SEC Web site, at [www.sec.gov](http://www.sec.gov). Alternatively, the issuer, any underwriter or any dealer participating in the offering will arrange to send you the prospectus if you request it by calling toll-free [1-800-503-4611](tel:1-800-503-4611) or by mail from Deutsche Bank Securities Inc., 60 Wall Street, New York, New York 10016, or by email at [prospectus.cpdg@db.com](mailto:prospectus.cpdg@db.com)

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