

From: Ada Clapp <[REDACTED]>

To: Eileen Alexanderson <[REDACTED]>, jeffrey E. <jeevacation@gmail.com>

Subject: FW: Exchanges

Date: Fri, 10 Oct 2014 18:25:47 +0000

Attachments: Revised_Q4_Exchange_Calendar.pdf

FYI

From: Halperin, Alan S [mailto:[REDACTED]]

Sent: Wednesday, August 20, 2014 1:41 PM

To: Eileen Alexanderson; Ada Clapp; Richard Joslin

Subject: Exchanges

I just received this email. I thought I would pass it on to you as soon as I received it. Alan

Alan

Please see below and attached. In sum, Apollo changed their insider trading policy back in May but nobody from PW was made aware until yesterday, and the change significantly impacts the dates in the exchange calendar. If Leon is planning to make an exchange in Q4 the first action will need to be taken this Friday 8/22 (2 days from now).

Alan S. Halperin | Partner

Paul, Weiss, Rifkind, Wharton & Garrison LLP

1285 Avenue of the Americas | New York, NY 10019-6064
[REDACTED]

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