

Exercise of Stock Options Worksheet
Includes Vesting of Restricted Stock Units and
Purchase of ESPP Shares (Buy and Hold)

2014

▶ Keep for your records

Name(s) Shown on Return _____

Social Security Number _____

Part I Company and Employee Information

- 1 a Company name . . . _____
 b Stock symbol _____ ▶
 2 Employee is (check one):
 a Taxpayer
 b Spouse

Part II Incentive Stock Option (ISO)

3 Enter stock grant and acquisition information in columns (b) through (i) for incentive stock options exercised and held (not sold) in 2014. (Box numbers refer to Form 3921; see Tax Help.)

		Grant Information			Acquisition Information			
(a) Lot #	(b) Rptd on Form 3921?	(c) Number of Shares (Box 5)	(d) Date Option Granted (Box 1)	(e) Exercise Price Per Share (Box 3)	(f) Date Option Exercised (Box 2)	(g) FMV/Share on Exercise Date (Box 4)	(h) Bargain Element Per Share	(i) Fees Paid (if any)
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4 Alternative Minimum Tax (AMT) Summary

	AMT	Regular Tax	Adjustment
a Exercise of ISO (Form 6251, line 14)	_____	_____	_____

Part III Non-Qualified Stock Option (NQSO)

5 Enter stock grant and exercise information in columns (b) through (h) for non-qualified stock options exercised and held (not sold) in 2014.

		Grant Information			Acquisition Information		
(a) Lot #	(b) Number of Shares	(c) Date Option Granted	(d) Exercise Price Per Share	(e) Date Option Exercised	(f) FMV/Share on Exercise Date	(g) Bargain Element Per Share	(h) Fees Paid (if any)

6 Total compensation income _____

Part IV Restricted Stock Unit (RSU)

7 Enter vesting information in columns (b) through (g) for Restricted Stock Units vested but not sold in 2014.

(a) Lot #	(b) Number of Shares	(c) Date Shares Vested	(d) FMV/Share on Vesting Date	(e) Price Paid Per Share (if any)	(f) Fees Paid (if any)	(g) Number of Shares Withheld (if any)

8 Computation of compensation income and basis of shares.

(a) Lot #	(b) Market Price	(c) Amount Paid	(d) Compensation Income	(e) Net Shares	(f) Basis Total	(g) Basis Per Share
Total						
Total for 2014						

Part V Employee Stock Purchase Plan (ESPP)

9 Plan discount percent (if none, enter zero) %

10 For each transfer of stock to an employee from an ESPP in 2014, enter grant and acquisition information in columns (b) through (j) for all shares purchased but not sold. (Box numbers refer to Form 3922; see Tax Help.)

		Grant Information				Acquisition Information			
(a) Lot #	(b) Rptd on Form 3922?	(c) Number of Shares (Box 6)	(d) Date Option Granted (Box 1)	(e) FMV/Share on Grant Date (Box 3)	(f) Price/Share as if Exercise on Grant Date (Box 8)	(g) Date Option Exercised (Box 2)	(h) FMV/Share on Exercise Date (Box 4)	(i) Exercise Price Paid Per Share (Box 5)	(j) Fees Paid (if any)