



**FIRST PRIVATE EQUITY GROUP**

First Private Equity Group  
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<b>GENERAL INFORMATION</b>	Name	[REDACTED]					
	Address	[REDACTED]					
	Fax	[REDACTED]					
	Date	[REDACTED]					
<b>EMPLOYMENT</b>	Name	[REDACTED]					
<b>CLIENT PROFILE</b>	Market Status	Investment Objectives				Annual Income	
	Citizenship	<input type="checkbox"/> Income <input type="checkbox"/> Growth <input type="checkbox"/> Speculation	<input type="checkbox"/> Safety of Principal <input type="checkbox"/> Tax Free/Deferred <input type="checkbox"/> Other			Net Worth (excluding residence)	
	Is either party or an immediate family member affiliated with or employed by any securities firm, bank, trust or insurance company? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, what firm and position?				Is either party or an immediate family member a director, a 10% or greater shareholder, or a policy-making executive officer of a publicly traded company? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, what firm and position?		
	Investment Experience	Stocks	Bonds	Funds	Options	Risk Tolerance	Tax Bracket
None	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Low	<input type="checkbox"/> 0 - 15% <input type="checkbox"/> 29 - 36%	
Little	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Moderate	<input type="checkbox"/> 16 - 28% <input type="checkbox"/> 36% +	
Moderate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> High		
Extensive	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
<b>ACCOUNT INFORMATION</b>	Initial Transaction Type:	<input type="checkbox"/> Buy	<input type="checkbox"/> Sell	<input type="checkbox"/> Deposit	<input type="checkbox"/> Transfer		
	Solicited	<input type="checkbox"/> Y or N	Quantity:	Symbol:			
	Type of Account:	<input type="checkbox"/> Cash	<input type="checkbox"/> Margin	<input type="checkbox"/> Option			
Type of Registration	<input type="checkbox"/> Individual	<input type="checkbox"/> Partnership *	<input type="checkbox"/> Corporation *	<input type="checkbox"/> Investment Advisor *			
	<input type="checkbox"/> Investment Club *	<input type="checkbox"/> Charitable	<input type="checkbox"/> Custodian	<input type="checkbox"/> Tenants in Common			
	<input type="checkbox"/> Trust	<input type="checkbox"/> Other	<input type="checkbox"/> Joint Tenants Rights of Survivorship *				
* Additional Documents Required							
<b>CERTIFICATION</b>	I hereby certify that the information provided on this form is true, correct and complete to the best of my knowledge.						
	Certification Statement (All persons must sign if this is a joint account)						
	<b>X</b>	_____			<b>X</b>	_____	
	Signature				Signature		
	_____				_____		
Date				Date			
Should you desire a margin or option account, please sign and complete the MARGIN AGREEMENT/LOAN CONSENT FORM or OPTION AGREEMENT.							
<b>OFFICE USE ONLY</b>	Account Opened By:	_____			<input type="checkbox"/> Option Agreement	<input type="checkbox"/> Other	
	Rep. Signature:	_____			Date:	_____	
	Branch Manager Signature:	_____			Date:	_____	