

FEDERAL BUREAU OF INVESTIGATION

Date of entry 04/05/2021

[REDACTED] ([REDACTED]), date of birth [REDACTED], Home telephone number + [REDACTED], was interviewed telephonically by FBI Special Agents [REDACTED]. After being advised of the identities of the interviewing Agents and the nature of the interview, [REDACTED] provided the following information:

[REDACTED] had been in the securities business for 32 years. He was at [REDACTED] from 2012 to 2017. From 2012 to 2015 he was based [REDACTED]. In 2015, he transferred to [REDACTED] New York location at [REDACTED]. [REDACTED] was running the [REDACTED] branches of his unit out of his location in the New York office. He held a Series 30 license which meant he supervised regulatory reporting and held the most senior position in his unit. [REDACTED] managed 50 people. He was in New York until March of [REDACTED] when he left [REDACTED].

Related to the fraud allegations [REDACTED] made in his initial complaint to the FBI, [REDACTED] highlighted rope traders were gaining access to the electronic trading platform at [REDACTED] from their homes. [REDACTED], the co-head of brokerage, was able to override controls that were in place. To cover losses at [REDACTED], people within the company tried to rob [REDACTED] pool of trading funds. The people trying to cover these losses would come to [REDACTED] because he had the Series 30 license. By robbing the various trading pools to cover losses, [REDACTED] advised it materialized the losses and the brokers were being robbed of revenue.

[REDACTED] was involved in a global Ponzi scheme because they forced employees to pay 10% of their salary/bonus into a share scheme they never became vested in. Employees were told they could sell shares if they signed on to work at [REDACTED] for four more years. Employees were never given voting rights for this scheme and the share prices were dropping.

Investigation on 02/16/2021 at New York, New York, United States (Phone)

File # 272-NY-0, 50D-NY-3027571

Date drafted 03/17/2021

by [REDACTED]

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SUBJECT TO PROTECTIVE ORDER PARAGRAPHS 7, 8, 9, 10, 15, and 17

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[REDACTED] advised [REDACTED] and CANTOR FITZGERALD were well known for money laundering. CANTOR FITZGERALD had a history of crime. Senior officials have spoken about the money laundering occurred. PARABRIDGE INTERNATIONAL SERVICES (PIS) was an affiliate of [REDACTED] and CANTOR FITZGERALD. PIS was where payroll passed through in addition to the money raised on charity days. They were part of the compliance division. [REDACTED] thought there were illegal wire transfers involving PIS. PIS was associated with DEUTSCHE BANK accounts in Singapore and Hong Kong. CANTOR FITZGERALD was paying brokers from foundations in other countries through PIS. PIS had hired an ex DEUTSCHE BANK CEO as Global President. The Panama Papers connected CANTOR FITZGERALD to shell companies.

HOWARD LUTNICK (LUTNICK) ran everything and he maintained a very small circle. LUTNICK instructed all of the fraud being committed. He used philanthropy as a smokescreen for illegal activity. CANTOR FITZGERALD was not doing well pre-9/11. After the events of September 11, 2001, CANTOR FITZGERALD was doing well. CANTOR FITZGERALD couldn't afford people's salaries and then was able to hire people and give people opportunities.

CANTOR FITZGERALD ran a global charity day in New York and London. They invited high profile celebrities to come in and join staff on the trading floor for the day. The charity day revenue was raised through the trades placed that day and employees gave up their salaries for the day. Brokers are paid on commission, and [REDACTED] advised if CANTOR FITZGERALD was stealing the entire global revenues for the charity day, brokers couldn't earn their commission, even if they did not participate in the charity day. Charity days raised \$9-10 million each year, and [REDACTED] thought it was strange it raised nearly the same amount every year. Brokers were not given tax relief for the loss of revenue on charity days.

PIS handled all the funds raised in the United Kingdom on charity days and the associated wire transfers.

ANDREISZ advised the day of the charity day trading would shift if the publicized day was not a successful trading day.

LUTNICK was a neighbor of JEFFREY EPSTEIN (EPSTEIN) in the adjoining property at 11 E 71st Street, New York, New York. LUTNICK bought the property for \$10 through a trust. LES WEXNER (WEXNER) and EPSTEIN owned the

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building. LUTNICK bought it in a very roundabout way from EPSTEIN.

[REDACTED] advised there was a relationship between LIQUID FUNDING and executives at BEAR STERNS. When BEAR STERNS collapsed, the executives moved to [REDACTED] or they went to a Russian hedge fund. [REDACTED] advised BGC INTERNATIONAL was involved in crime and money laundering. BEAR STERNS had hundreds of subsidiaries in 2018. BGC INTERNATIONAL was the parent company of many of these subsidiaries to include JP MORGAN CHASE and BLACKROCK. BGC PARTNERS made subsidiaries all over the world to include Delaware, Cyprus, and Malta. BGC INTERNATIONAL used many of the same intermediaries as INTER JURA, which was associated with PAUL MANAFORT. RENAISSANCE CAPITAL was another company they were associated with.

[REDACTED] advised he thought the money being laundered through CANTOR FITZGERALD and BGC INTERNATIONAL was from the Russian Mafia. That was what senior people in the trading market thought. It could also have been money made by CANTOR FITZGERALD through the frauds they were committing. AUBIN SECURITIES was associated with banned Russian entities.

LUTNICK gave SARAH FERGUSON (FERGUSON) office space above CANTOR FITZGERLAD in New York for the CHILDREN IN CRISIS (CIC) charity. GHISLAINE MAXWELL (MAXWELL) and FERGUSON would attend Dolce Vita Parties which raised money for CIC and STOW SCHOOL. CIC no longer existed.

[REDACTED] advised he has never interacted with LUTNICK. He had contact with SEAN LYNN, the Chairman of BGC PARTNERS. ROBERTO "BOB" VELEZ was a whistleblower who had contact with LUTNICK through the board. VELEZ was an American citizen.

[REDACTED] had never met EPSTEIN or MAXWELL. [REDACTED] thought he met FERGUSON on a charity day, but wasn't sure.

[REDACTED] was working with the COMMODITY FUTURES TRADING COMMISSION (CFTC) and he was eligible for their whistleblower program.

[Agent Note: After the conclusion of the interview, [REDACTED] contacted SA [REDACTED] telephonically asked if he could provide her contact information to the CFTC. SA [REDACTED] provided that information to [REDACTED].]