



UBS Group AG
Legal-SLG Group

Eric J. Ryan
1285 Avenue of the Americas – 12th Floor
New York, NY 10019

www.ubs.com

October 4, 2019

VIA OVERNIGHT MAIL

[Redacted]

Federal Bureau of Investigation
26 Federal Plaza
New York, NY 10278

[Redacted]

Re: Subpoena issued to UBS Financial Services, Inc. dated August 16, 2019; Ghislaine Maxwell

Dear Mr. [Redacted]:

On behalf of UBS Financial Services, Inc. ("UBS" or the "Firm"), this letter is in response to the Grand Jury Subpoena (the "Subpoena") in which you requested information and documentation with respect to the matter referenced above. Pursuant to our telephone call on September 24, 2019, you agree to accept account opening documents, account statements, copies of checks and wires, OLS Summaries and email correspondence to fully satisfy UBS's obligations under the Subpoena. Notwithstanding any prior objections the Firm has made to the Subpoena; enclosed please find a CD containing the items described within:

Account Records	
Account Number	Description of Documents
XX X3567	Account Opening Documents
XX X3568	Account Opening Documents, Account Statements
XX X3569	Account Opening Documents
XX X3570	Account Opening Documents, Account Statements
XX X3571	Account Opening Documents, Account Statements
XX X3572	Account Opening Documents, Account Statements
XX X3573	Account Opening Documents
XX X3574	Account Opening Documents, Account Statements
XX X3575	Account Opening Documents, Account Statements
XX X3576	Account Opening Documents, Account Statements
XX X3650	Account Opening Documents
XX X3697	Account Opening Documents, Account Statements
XX X5339	Account Opening Documents, Account Statements
XX X3658	Account Opening Documents
XX X3660	Account Opening Documents
XX X8776	Account Opening Documents, Account Statements
XX X3679	Account Statements
XX X3680	Account Statements
XX X3657	Account Opening Documents
XX X3692	Account Statements
XX X8704	Account Opening Documents, Account Statements
XX X3656	Account Opening Documents, Account Statements

UBS Financial Services Inc. is a subsidiary of UBS AG

SDNY_GM_00027938

CONFIDENTIAL

EFTA_00138558

EFTA01252563



UBS Group AG
Legal-SLG Group

Eric J. Ryan
1285 Avenue of the Americas – 12th Floor
New York, NY 10019
Tel. [REDACTED]

www.ubs.com

XX X3661	Account Opening Documents, Account Statements
XX X3655	Account Opening Documents, Account Statements

Please note that the remaining documents will be produced to you as soon as they become available.

UBS requests that, unless otherwise required by law or court order, said documents be kept confidential and not disclosed to any third party outside the context of the above referenced legal proceeding. UBS also requests advance notice of any decision to disclose any of the documents provided pursuant to this request. The CD is password protected. Please use WinZip to unzip and view the documents. Please email me at [REDACTED] upon receipt for the necessary password. If you have any questions, please feel free to contact me.

Sincerely,

Eric Ryan
Paralegal

AFFIDAVIT OF CUSTODIAN OF RECORDS

STATE OF NEW YORK

COUNTY OF NEW YORK

RE: Grand Jury Subpoena issued to UBS Financial Services, Inc. dated August 16, 2019; Ghislaine Maxwell

I, Eric Ryan, the undersigned, being the duly authorized Custodian of Records for UBS Financial Services Inc., and having the authority to certify the records, declare the following:

- The records are the records of UBS Financial Services Inc.;
- The records are true and correct copies of the original records;
- The records are kept in the normal course of business and it is customary to keep such records;
- The records were prepared by personnel of the business with actual knowledge of the matters stated in the records;
- The records were made at or near the time of the acts, conditions or events described.



Eric Ryan
Paralegal

Executed on October 4, 2019 at New York, NY

Subscribed and affirmed before me this 4th day of October 2019



Notary Public in and for the State of New York

My Commission expires:

RICHARD A ANDREWS
Notary Public, State of New York
No. 01AN5018079
Qualified in New York County
Commission Expires Nov. 16, 2021

CONFIDENTIAL

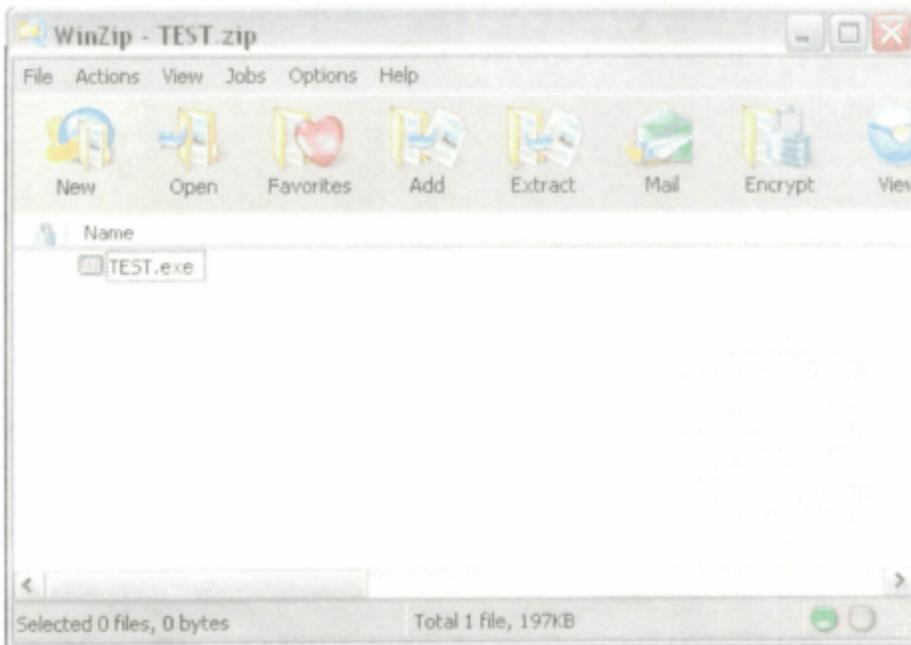
SDNY_GM_00027940

EFTA_00138560

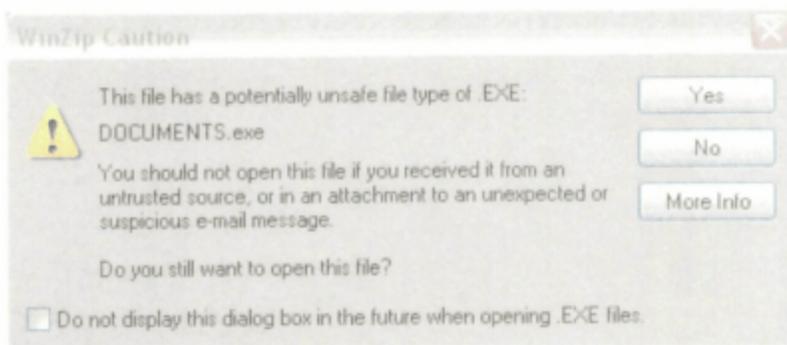
EFTA01252565

Data Extraction from Media

1. Double click to open the zip file contained on media. You will see a WinZip window appear with an executable file (see image)



2. Double click the executable file. Answer "Yes" to the WinZip Caution dialog box.



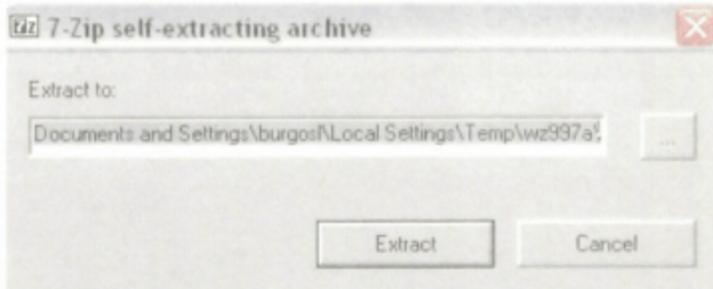
CONFIDENTIAL

SDNY_GM_00027941

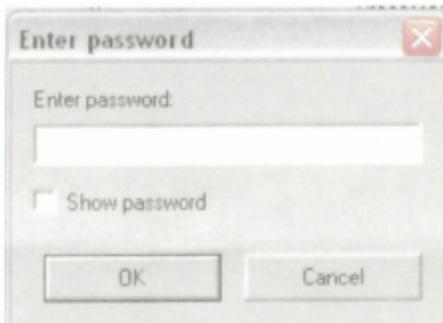
EFTA_00138561

EFTA01252566

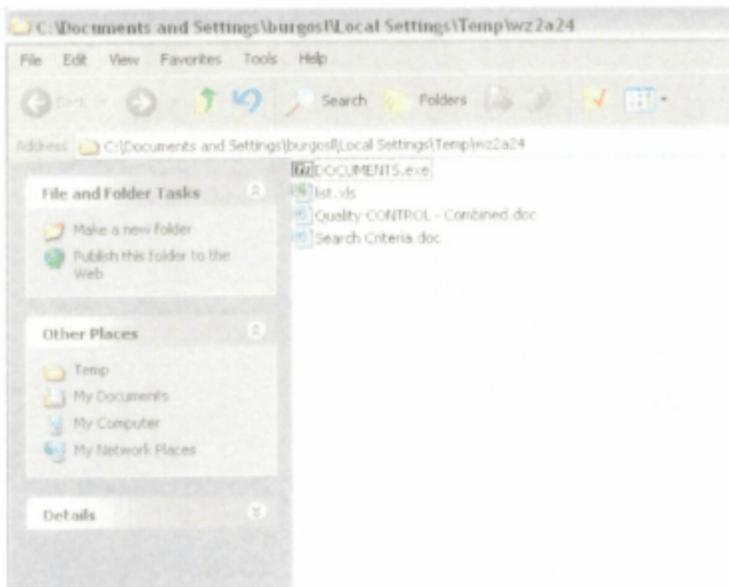
3. The following window will open and have a predefined path where the data will extract to. You can change this to any path desired by clicking the "...” button (recommended). Click Extract once you have the path you wish to use.



4. Enter provided password in the window that appears then click OK.



5. Once extraction is complete, navigate to the path chosen in step 3.



CONFIDENTIAL

SDNY_GM_00027942

EFTA_00138562

EFTA01252567