

NAME SEARCHED: **Southern Trust Company, Inc.**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC Alert
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR Alert
BIS	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	No information found
		IV. Non-Negative Media	Result found(please see attached)
		V. Other Language Media	No information found
D&B	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VI. D&B	No information found
Smartlinx	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	More than 3000 results
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	No information found

Prepared by: Reena Chandwani Date: 06/15/2017 Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: Martindale-Hubbell <http://www.martindale.com/xp/Martindale/home.xml>
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

For internal use only

SDNY_GM_00058432

OFAC RESULTS

RDC:

11602638	<u>Not Alerted</u>	KYC 1790655	 Southern Trust Company, Inc.	Country: VIRGIN ISLANDS, U.S.
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PCR:

C20170637908754 Southern Trust Company, Inc. 12889707 NCA customised Auto-Closed No-Hit 15/06/2017

BIS RESULTS

Negative Media:

No information found

Non-Negative Media:

Tendersbiz

January 3, 2017 Tuesday

Tender Notice: SOUTHERN TRUST COMPANY, INC. Seeks "Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services"

LENGTH: 159 words

DATELINE: United States Virgin Islands

United States Virgin Islands, Jan. 3 -- **SOUTHERN TRUST COMPANY, INC.** has posted a tender notice for "Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services."

Tender Details: Tenders are invited for Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services ,Legal Services,Insurance Services ,It Services,Pest Control Services ,Air Conditioning Services,Internet Access ,Alarm System Services,Business Center/Copier Services ,Telecommunications Services.

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Tender Notice: SOUTHERN TRUST COMPANY, INC. Seeks "Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services" Tendersbiz
January 3, 2017 Tuesday

Financier: Self Financed

Competition Type: ICB.

The expression of interest should be received by Jan. 10.

Purchaser's contact details: 116 King Street, Frederiksted St. Croix, Virgin Islands
00840 Tel: +1(340) 773-6499 Attn: Lucas Pascal.

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htsyndication@hindustantimes.com

LOAD-DATE: January 6, 2017

LANGUAGE: ENGLISH

PUBLICATION-TYPE: Web Publication

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البوابة **albawaba**

Tenders Monitor Africa-Asia

January 3, 2017 Tuesday

Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services

LENGTH: 119 words

Company : SOUTHERN TRUST COMPANY, INC.

Address : 116 King Street, Frederiksted

St. Croix, Virgin Islands 00840

Tel: +1(340) 773-6499

Attn: Lucas Pascal

Country : United States Virgin Islands

Location : United States Virgin Islands

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SDNY_GM_00058434

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CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0021258

EFTA_00168994

EFTA01255258

Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services Tenders Monitor Africa-Asia January 3, 2017 Tuesday

Dead line : 2017-01-10

Local International : International

Funding Agency : No

Description : Tenders are invited for Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services ,Legal Services,Insurance Services ,It Services,Pest Control Services ,Air Conditioning Services,Internet Access ,Alarm System Services,Business Center/Copier Services ,Telecommunications Services.

Document : <http://www.bidsinfo.com/adminexe/documents/0-VR84022x18JAN1702H547O.htm>

LOAD-DATE: January 4, 2017

LANGUAGE: ENGLISH

PUBLICATION-TYPE: Newspaper

JOURNAL-CODE: 2106

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Other Language Media:

No information found

Public Record:

More than 3000 results (Please provide more information)

D&B:

No information found

LEGAL RESULTS:

Court Cases:

No information found

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