

Mayur Rathod

From: Bradley Gillin
Sent: Thursday, August 16, 2018 7:46 PM
To: Vijay-A Sawant
Cc: Stewart Oldfield
Subject: FW: Southern Financial/Epstein KYC Remediation [I]
Attachments: Updated FinCEN with SSN.pdf

Importance: High

Follow Up Flag: Follow up
Flag Status: Flagged

Classification: **For internal use only**

Vijay,

Please use this email as my confirmation that there are no material changes on all accounts associated with Southern Financial. See list below.

- Southern Financial, LLC
- Southern Trust Company, Inc
- LSJE, LLC
- Neptune, LLC
- Mort, Inc
- Hyperion Air, LLC
- Zorro Management. LLC
- NES, LLC
- JEJE, Inc
- JEJE, LLC
- Plan D, LLC
- Jeepers, Inc
- J. Epstein Virgin Islands Foundation, Inc
- Gratitude America Ltd
- Butterfly Trust
- The 2007 Jeffrey E. Epstein Insurance Trust #3
- The Haze Trust

Regards,
Brad



Bradley Gillin
Vice President
Deutsche Bank Wealth Management

345 Park Avenue, 24th Fl
New York, NY 10154
Tel. ([REDACTED])
Fax ([REDACTED])
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Bradley Gillin
Sent: Wednesday, August 15, 2018 11:42 AM
To: Vijay-A Sawant <vijay-a.sawant@db.com>
Cc: Stewart Oldfield < [REDACTED] >
Subject: Southern Financial/Epstein KYC Remediation [!]
Importance: High

Classification: **For internal use only**

Vijay,

I hope you enjoyed your Independence Day.

Please confirm below - Once confirmed, I will reach out to Epstein's office with one email. **Thank you.**

We require Certificate of Good Standings (dated within 6 months) for:

- Southern Financial, LLC
- Southern Trust Company, Inc
- LSJE, LLC
- Neptune, LLC
- Mort, Inc
- Hyperion Air, LLC
- Zorro Management. LLC
- NES, LLC
- JEJE, Inc
- JEJE, LLC
- Plan D, LLC
- Jeepers, Inc
- J. Epstein Virgin Islands Foundation, Inc
- Gratitude America Ltd

Please confirm that you do not need COGS/SoS for the three trust below:

- Butterfly Trust
- The 2007 Jeffrey E. Epstein Insurance Trust #3
- The Haze Trust

All executed and verified FinCEN forms are attached. With regards to the J. Epstein Virgin Islands Foundation, Inc - the executed FinCEN form shows this as a 501©(3) tax exempt entity. Will this alone resolve your tax exempt inquiry or do you need a new 501©(3) form?

Finally, please confirm that we have all FinCEN forms except for Gratitude America Ltd.

Regards,
Brad



Bradley Gillin
Vice President
Deutsche Bank Wealth Management
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