

Message

From: Velmir Vejzovic [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=VEJZVELB3B]
on behalf of PWMUS AMLKYC [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=AMLKPWM-GXS284]
Sent: 10/31/2017 2:27:37 PM
To: PWMUS AMLKYC [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Amlkpwm-gxs284]
Subject: CLEARED: PCR Alert- Darren Indyke [I]
Attachments: PCR Alert - Darren Indyke.pdf; PCR Alert 1 - Darren Indyke.pdf
Flag: Follow up

Classification: **For internal use only**

Hi Darnetta,

AML Compliance has reviewed the attached PCR alert generated on 6/15/17 for Darren Indyke, authorized signor for the following accounts Southern Financial LLC, Southern Trust Company, Inc., Jeffrey Epstein., and J. Epstein Virgin Islands Foundation, Inc. Client of the private bank.

Darren K. Indyke is an attorney practicing law in the State of New York. On a monthly basis, Indyke has routinely cashed a \$7,500 check drawn on Epstein's WM account at the WM Bank branch located at 345 Park Avenue, New York, NY. Occasionally, Indyke withdraws funds from his own accounts at the same time. DBTCA has previously filed CTRs on the cash withdrawal activities of Indyke exceeding \$10,000 on 05/28/2014 and 02/16/2016. According to the WM Bank Teller from 345 Park Avenue, on 07/20/2016, Indyke brought two (2) checks to the teller window: 1) Check number 1054 drawn on Epstein's WM checking account in the amount of \$7,500, and 2) Check number 1045 drawn on Indyke's WM attorney business account in the amount of \$4,000. Indyke presented the \$7,500 check for cashing, and mentioned that he would be cashing the \$4,000 check the next business day to, "avoid all the paperwork and going over his cash limit." On 07/21/2016, Indyke returned to the 345 Park Avenue Branch to cash check number 1045 in the amount of \$4,000 drawn on his business account. Based on Indyke's statement and behavior, it appears that he purposely structured cash transactions in order to avoid the CTR requirement.

Based on the activity described above, a internal investigation from WM AML was conducted, a one-year historical review of the activity in Indyke's multi-account WM relationship, and did not identify any other instances of possible CTR avoidance. Indyke's multi - accounts have remained open. AML Compliance clears this alert from an AML perspective

All the best,
Velmir Vejzovic



Velmir Vejzovic
Associate | AML Compliance Officer

DB USA Core Corporation
Regulation, Compliance and Anti-Financial Crime
Anti-Financial Crime
5201 Gate Parkway, 2nd floor
Jacksonville, Florida 32256. USA

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Tel. [REDACTED]
Email [REDACTED]

From: Shanu Gujaria **On Behalf Of** PWM BIS-Research
Sent: Friday, June 16, 2017 1:46 PM
To: Darnetta Chandler-Johnson <[REDACTED]>
Cc: PWMUS AMLKYC <[REDACTED]>
Subject: PCR Alert- Darren Indyke

Hello Darnetta,

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:
Forward explanation of whether the alert pertains to your client directly to compliance.
Receive confirmation from compliance that alert can be removed from the queue.
Include copies of all responses along with your KYC

Thanks and Regards,
Shanu Gujaria



Shanu Gujaria
Associate | Research and Control – WM
COO Wealth Management Operations
DBOI Global Services Private Limited
A-1 & A-2, Evolve - Mahindra Technology Park,
Inside Mahindra World City - SEZ, 302029 Jaipur, India
Tel. [REDACTED] and [REDACTED] (BCP)
Mobile +91 9636044833
Email [REDACTED]

SDNY_GM_00065134

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EFTA_00175634

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