

## Mayur Rathod

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**From:** Velmir Vejzovic  
**Sent:** Tuesday, August 21, 2018 1:19 AM  
**To:** Alka Babu  
**Cc:** PWMUS AMLKYC  
**Subject:** CLEARED: RDC ALERT\_ Richard Kahn [I]  
**Attachments:** RDC ALERT\_ Richard Kahn.pdf; AML Clearance on Richard Kahn RDC alert.pdf

Classification: **For internal use only**

Hi Alka,

BLAFC-WM has reviewed the RDC Alerts generated 8/17/18 for Richard D Kahn, associated with Southern Financial Relationship. (KYC [REDACTED])

BLAFC-WM previously reviewed the subject matter of this alert and provided clearance on November 11, 2013 . I have attached the clearance for your reference. Please attach all attachments to the KYC.

All the best,  
Velmir Vejzovic



Velmir Vejzovic  
Associate | AML Compliance Officer

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Anti-Financial Crime  
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**From:** Mamta-A Choudhary  
**Sent:** Friday, August 17, 2018 4:27 PM  
**To:** Alka Babu [REDACTED]  
**Cc:** PWM BIS-Research [REDACTED]; PWMUS AMLKYC [REDACTED]  
**Subject:** RDC ALERT\_ Richard Kahn

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:  
Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue.  
Include copies of all responses along with your KYC.



**Mamta Choudhary**

Analyst | Research and Control

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