

OFFICE USE ONLY

BEAR, STEARNS & CO. • 55 WATER STREET • NEW YORK, N.Y. 10041

USE A BALL POINT PEN  
PLEASE PRINT

# EMPLOYMENT AND PERSONAL HISTORY FORM

TODAY'S DATE  
March 15, 74

SHOULD YOU NEED MORE SPACE FOR YOUR RESPONSES ASK FOR A SUPPLEMENTAL FORM

NAME (LAST, FIRST, MIDDLE, MAIDEN) **EDSTEIN JEFFREY E** SOCIAL SECURITY NUMBER **090 14 3388**

HOME ADDRESS NO. AND STREET APT. NO. **3814 SEVINGE AVE.** TEL. NO. **373-2212-74**

CITY AND STATE **BROOKLYN NEW YORK 11224** ZIP CODE **11224** BUSINESS TEL. NO.

POSITION APPLIED FOR **TRAINER** WHAT SALARY DO YOU EXPECT? WHEN CAN YOU START?

ARE YOU ACQUAINTED WITH OR RELATED TO ANY EMPLOYEE OF BEAR, STEARNS & CO.? YES  NO  IF "YES" NAME **Alan Greenberg** RELATIONSHIP **ACQ**

ARE ANY MEMBERS OF YOUR IMMEDIATE FAMILY EMPLOYED IN THE BROKERAGE INDUSTRY? YES  NO  IF "YES" WHO? POSITION COMPANY

ARE YOU A CITIZEN OF THE U.S.A.? **YES** IF YOU ARE IN THE U.S.A. ON A VISA, WHAT KIND? LOCATION POSITION

HAVE YOU EVER BEEN CONVICTED OF A CRIME BY CIVIL OR MILITARY AUTHORITIES? NO  YES: EXPLAIN

DATE OF BIRTH **1/20/50**

EDUCATION (Begin with High School(s), include Correspondence Schools, etc.)

TYPE OF SCHOOL	NAME AND LOCATION	INDICATE (1) OR (2) SEE INSTRUCTIONS	MAJOR	DEGREE OR DIPLOMA RECEIVED	ATTENDED FROM MO. YR. TO MO. YR.	DID YOU GRADUATE? YES NO
High School	Lafayette High School Brooklyn N.Y. BURGON BAY HND			DIPLOMA	9 63 6 66	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
College	Cooper Union N.Y. N.Y.	D	Physics & MATH	B.S.	9 66 6 70	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
Grad School	N.Y.U. N.Y. N.Y.	D	MATH	M.S.	9 70 6 72	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>

EMPLOYMENT Fill in below a complete, consecutive statement of your business history for the past ten years. All time, including periods of unemployment, must be accounted for. If self employed, show name and address under which business was conducted.

PRESENT OR LAST POSITION	COMPANY	POSITION HELD	STARTING SALARY
FROM TO	DALETON SCHOOLS	Physic Dept - Head	1200 month
MO. YR. MO. YR.	1025 57th ST.	Dept. and SUPERVISOR Dick Greenman	FINAL SALARY 500 month
9 74	School	DUTIES OF POSITION Administrative Teaching Coordination	FULL TIME <input checked="" type="checkbox"/> PART TIME <input type="checkbox"/> TEMP <input type="checkbox"/>
RESIGNED <input type="checkbox"/> LAID OFF <input type="checkbox"/> RELEASED <input type="checkbox"/> DISCHARGED <input type="checkbox"/>	EXPLANATION OF REASON FOR LEAVING		
NEXT PREVIOUS POSITION	COMPANY Univ. of California	POSITION HELD Lecturer	STARTING SALARY 400 p month
FROM TO	EXACT ADDRESS Berkeley	DEPT. AND SUPERVISOR Dr. Wolf	FINAL SALARY
MO. YR. MO. YR.	73 74	DUTIES OF POSITION Teaching	FULL TIME <input type="checkbox"/> PART TIME <input type="checkbox"/> TEMP <input type="checkbox"/>
RESIGNED <input type="checkbox"/> LAID OFF <input type="checkbox"/> RELEASED <input type="checkbox"/> DISCHARGED <input type="checkbox"/>	EXPLANATION OF REASON FOR LEAVING NSF Program - Secured 1972+73		
NEXT PREVIOUS POSITION	COMPANY 12070 INC. 72nd	POSITION HELD Head	STARTING SALARY 1200 month
FROM TO	EXACT ADDRESS 3814 SEVINGE AVE.	DEPT. AND SUPERVISOR	FINAL SALARY
MO. YR. MO. YR.	72 73	DUTIES OF POSITION Teaching	FULL TIME <input type="checkbox"/> PART TIME <input type="checkbox"/> TEMP <input type="checkbox"/>
RESIGNED <input type="checkbox"/> LAID OFF <input type="checkbox"/> RELEASED <input type="checkbox"/> DISCHARGED <input type="checkbox"/>	EXPLANATION OF REASON FOR LEAVING		
NEXT PREVIOUS POSITION	COMPANY JAF N.Y.C. Roofing	POSITION HELD Foreman	STARTING SALARY
FROM TO	EXACT ADDRESS 4050 Atlantic Ave. Bldg 11224	DEPT. AND SUPERVISOR	FINAL SALARY 65 p day
MO. YR. MO. YR.	71 73	DUTIES OF POSITION Administrative	FULL TIME <input type="checkbox"/> PART TIME <input type="checkbox"/> TEMP <input type="checkbox"/>
RESIGNED <input type="checkbox"/> LAID OFF <input type="checkbox"/> RELEASED <input type="checkbox"/> DISCHARGED <input type="checkbox"/>	EXPLANATION OF REASON FOR LEAVING New York Teaching		
NEXT PREVIOUS POSITION	COMPANY	POSITION HELD	STARTING SALARY
FROM TO	EXACT ADDRESS	DEPT. AND SUPERVISOR	FINAL SALARY
MO. YR. MO. YR.		DUTIES OF POSITION	FULL TIME <input type="checkbox"/> PART TIME <input type="checkbox"/> TEMP <input type="checkbox"/>
RESIGNED <input type="checkbox"/> LAID OFF <input type="checkbox"/> RELEASED <input type="checkbox"/> DISCHARGED <input type="checkbox"/>	EXPLANATION OF REASON FOR LEAVING Family business		

16-57-355 AS AN EQUAL OPPORTUNITY EMPLOYER, BEAR, STEARNS & CO. DOES NOT DISCRIMINATE BECAUSE OF AGE, COLOR, CREED, SEX, RELIGION, OR NATIONAL ORIGIN.

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**UNEMPLOYMENT** Account for your time during any periods of unemployment, self employment or family employment, other than those when you were attending school. These periods of time must be verified by someone other than a relative or an employee of Bear, Stearns & Co.

FROM				TO				EXPLANATION	INDIVIDUAL TO VERIFY UNEMPLOYMENT, SELF EMPLOYMENT OR FAMILY EMPLOYMENT.	
MO.	YR.	MO.	YR.	NAME	ADDRESS					

**RESIDENCE RESIDENCE RECORD FOR PAST TEN YEARS - UNITED STATES ONLY**

FROM		TO		STREET ADDRESS	CITY	STATE	APT. #	OWN	RENT	WITH PARENTS
MO.	YR.	MO.	YR.							
		7	72	384 SEAWALK AVE	PLAZA	N.Y.		<input checked="" type="checkbox"/>		
		7	72	300 67th ST.	N.Y.	N.Y.				

**DRAFT STATUS** IA **BRANCH OF SERVICE** \_\_\_\_\_ **YEARS OF ACTIVE MILITARY SERVICE** FROM \_\_\_\_\_ TO \_\_\_\_\_ **TYPE OF MILITARY DISCHARGE**  
 HONORABLE  
 GENERAL, UNDER HONORABLE CONDITIONS. (EXPLAIN ON PAGE 3)  
 OTHER (EXPLAIN ON PAGE 3)

**HAVE YOU EVER BEEN EMPLOYED AT BEAR, STEARNS & CO.?**  NO  YES IF YES, FROM \_\_\_\_\_ TO \_\_\_\_\_

**DO YOU HAVE ANY PHYSICAL LIMITATIONS?** YES  NO  IF "YES," DESCRIBE \_\_\_\_\_ **HAVE YOU HAD A MAJOR ILLNESS IN THE PAST 5 YEARS?** YES  NO  IF "YES," DESCRIBE \_\_\_\_\_

**HOW DID YOU HAPPEN TO APPLY TO BEAR, STEARNS & CO.?**  NEWSPAPER AD  AGENCY  REFERRAL  OTHER \_\_\_\_\_ **IN CASE OF EMERGENCY NOTIFY** NAME MARK EPSTEIN RELATIONSHIP Brother ADDRESS 384 SEAWALK AVE TEL. NO. 373-2262

1. Do you or spouse have any additional income? (If "Yes," explain on Pg. 3) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	5. Have you ever been refused a bond or had one revoked? YES <input type="checkbox"/> NO <input checked="" type="checkbox"/> When _____ Where _____ Are you bondable? YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	8. Have you ever been a party to an arbitration proceeding by a Governmental Administrative Agency or Securities regulatory agency? (If "Yes," explain on Pg. 3) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	11. Are you a Director or Officer of any Corporation? (If "Yes," explain on Pg. 3) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
2. Do you have any outstanding debts to any Corporation, Loan Company, Bank, etc. (Including Mortgage, Auto, Installment and Charge Accounts) (If "Yes," explain on Pg. 3) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	6. Has any judgment or criminal conviction ever been entered against you? YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	9. Has a Mechanic's lien or a Tax lien ever been filed against you? (If "Yes," explain on Pg. 3) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	12. Do you trade in the Securities Market? (If "Yes," what Brokerage Firm?) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/> Do you have an account? YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
3. What is your approximate monthly obligation on debts? <u>0</u>	7. Have you ever been sued or party to a lawsuit? (If "Yes," explain on Pg. 3) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	10. Have you ever failed in business, made a compromise with creditors, or taken advantage of a bankruptcy act? (If "Yes," explain on Pg. 3) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	13. Have you had any industrial accidents covered by Workmen's Compensation? (If "Yes," explain on Pg. 3) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
4. Has your salary ever been garnished? (If "Yes," explain on Pg. 3) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>			14. Do you receive any pension for a past disability? (If "Yes," explain on Pg. 3) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>

**PRE-EMPLOYMENT STATEMENT**

I understand and agree that Bear, Stearns & Co., and/or its agents will make a thorough investigation of my past employment and activities, and I agree to cooperate in such an investigation. I also understand that under the "Fair Credit Reporting Act," Public Law #91-508, I, as an employee, have the right upon written request to know the name of the investigative service employed and the scope of their report should one be used. I further understand that any falsification or misstatement on this application or in connection with the above investigation will be grounds for immediate discharge. I consent to take any pre-employment physical examination and such future physical examinations as may be required by Bear, Stearns & Co. I also understand that the first three months will be considered a probationary period and that, should Bear, Stearns & Co. or I decide, during this period, that a lack of suitability exists with either party, my employment may be terminated by myself or the Firm without notice and without prejudice.

Applicant's Signature [Signature] Date May 15, 76

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<b>POSITION</b> <u>Trainee</u>	<b>DEPARTMENT / BRANCH</b> <u>AMIX Floor Dept.</u>	<b>GRADE</b>	<b>STARTING SALARY</b> <u>225.00 WK</u>	<b>STARTING DATE</b> <u>3/15/76</u>
<b>TYPE OF EMPLOYMENT</b> <input checked="" type="checkbox"/> PERMANENT <input type="checkbox"/> SUMMER <input type="checkbox"/> FULL TIME <input type="checkbox"/> HOURLY TIME <input type="checkbox"/> TEMPORARY		<b>IS THIS A REHIRE?</b> <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		<input type="checkbox"/> ADD TO STAFF <input checked="" type="checkbox"/> REPLACEMENT
<b>IF REPLACEMENT</b> EMPLOYEE REPLACED _____ GRADE _____ SALARY _____		<b>REPLACED EMPLOYEE WAS</b> <input type="checkbox"/> PROMOTED <input type="checkbox"/> SEPARATED ON _____ <input type="checkbox"/> LEAVE OF ABSENCE <input type="checkbox"/> TRANSFERRED TO _____ <input type="checkbox"/> RETIREMENT <input type="checkbox"/> OTHER _____ <input type="checkbox"/> DEATH		
<b>INTERVIEWERS COMMENTS</b>			<b>INTERVIEWED BY</b>	

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