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**From:** Joanne Jensen [REDACTED]  
**Sent:** 4/5/2017 1:14:55 PM  
**To:** [REDACTED]  
**CC:** [REDACTED]

**Subject:** RE: MD approval for ACUs completed for High Risk Clients [I]

Classification: **For internal use only**

Vaishali,

For confidentiality purposes, I do not believe that this list should be broadly distributed. I would request that any correspondence regarding our clients be sent exclusively to me and not the broader group. I'm sure my colleagues would agree.

Kind regards,  
Joanne Jensen



**Joanne Jensen**  
Managing Director | Private Banker

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, 24th Floor 10154-0004 New York, NY, USA

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**From:** Vaishali-P Mehta  
**Sent:** Wednesday, April 05, 2017 12:23 PM  
**To:** Andrew Gallivan  
**Cc:** Mark Gonzalez; Rachel Maglicic; Stewart Oldfield; Brian P Convey; Joanne Jensen; Jennifer Shaw; Andrea Nicoletti; Rosemary Vrablic; Mary A Coleman; Terrence F Tangney; Terri Sohrab  
**Subject:** MD approval for ACUs completed for High Risk Clients [I]

Classification: **For internal use only**

Dear Andrew ,

All the below ACUs were completed for High Risk clients for this month. As you know the new Policy now requires an MD Approval over and above the approval of the account owner / RM. Which means if the RM is an MD, the approval must come from another MD who is in their reporting line.

In that regard, could you please approve the below ACUs?