

Subject: KYC for Brokerage [I]
From: Amanda Kirby <[REDACTED]>
Date: Wed, 24 Jul 2013 13:59:39 -0400
To: Vahe Stepanian <[REDACTED]>, Jay Lipman <[REDACTED]>

Classification: For internal use only

Hello Gentleman!

I copied you both on an email with account opening docs for Southern Financial, LLC and Southern Trust Company, Inc. Below I am attaching all the documents I have for a KYC on your end. I also have a KYC for the checking accounts so let me know if you have any questions. I'm pretty sure everything is attached below but if you need something else let me know and I'm sure I have it somewhere. I'm out tomorrow and Friday but will have access to my blackberry and can call either of you if you have any questions (minus my flight from noon-4 tomorrow).

Thanks!
Amanda

(See attached file: Authoirzation of inquiry capabilities.pdf) (See attached file: Certificate of Good Standing - Southern Trust.pdf) (See attached file: JEpstein ID and Social.pdf) (See attached file: SF Operating Agreement.pdf) (See attached file: Shareholder info.pdf) (See attached file: Southern Trust-Infomatics Articles of Incorporation and Backgrouns.pdf) (See attached file: SouthernFin Cert of Existence- SouthernTry Cert of Good Standing.pdf) (See attached file: W-9 ST and SF.pdf)