

Subject: Re: KYC for Brokerage [I]
From: Vahe Stepanian <[REDACTED]>
Date: Wed, 24 Jul 2013 14:15:16 -0400
To: Amanda Kirby <[REDACTED]>
Cc: Jay Lipman <[REDACTED]>

Classification: For internal use only

Thanks Amanda.

From: Amanda [REDACTED]

To: Vahe [REDACTED], Jay [REDACTED]

Date: 07/24/2013 01:59 PM

Subject: KYC for Brokerage [I]

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Hello Gentleman!

I copied you both on an email with account opening docs for Southern Financial, LLC and Southern Trust Company, Inc. Below I am attaching all the documents I have for a KYC on your end. I also have a KYC for the checking accounts so let me know if you have any questions. I'm pretty sure everything is attached below but if you need something else let me know and I'm sure I have it somewhere. I'm out tomorrow and Friday but will have access to my blackberry and can call either of you if you have any questions (minus my flight from noon-4 tomorrow).

Thanks!
Amanda

[attachment "Authoirzation of inquiry capabilities.pdf" deleted by Vahe Stepanian/db/dbcom] [attachment "Certificate of Good Standing - Southern Trust.pdf" deleted by Vahe Stepanian/db/dbcom] [attachment "JEpstein ID and Social.pdf" deleted by Vahe Stepanian/db/dbcom] [attachment "SF Operating Agreement.pdf" deleted by Vahe Stepanian/db/dbcom] [attachment "Shareholder info.pdf" deleted by Vahe Stepanian/db/dbcom] [attachment "Southern Trust-Infomatics Articles of Incorporation and Backgrounds.pdf" deleted by Vahe Stepanian/db/dbcom] [attachment "SouthernFin Cert of Existence- SouthernTry Cert of Good Standing.pdf" deleted by Vahe Stepanian/db/dbcom] [attachment "W-9 ST and SF.pdf" deleted by Vahe Stepanian/db/dbcom]